Appendix A: Definitions

Academic Misconduct
Academic misconduct is considered to have occurred if a student commits an act that brings into question the authenticity of the course work submitted by the student as the student’s own original work. Examples of academic misconduct are listed on the academic misconduct reporting form.

Academic Misconduct Reporting Form
This form is available on the Dean of Students’ website (http://life.iupui.edu/conduct/). A faculty member should use the form to document and report an accusation of academic misconduct lodged against a student. A copy of this report should be presented to the student at the initial meeting with the faculty member where alleged misconduct is discussed. After being signed by the student at the meeting a copy of the form should be sent to the Academic Officer of the faculty member’s School, Unit, Division, or Area.

Note: For those courses that are taught online the meeting with the student concerning academic misconduct may need to occur online. However, a faculty member is strongly encouraged to hold the student meeting with the student physically present whenever possible.

Academic Officer
This is the individual in the School, Unit, Division, or Area who is responsible for enforcing academic policies and student conduct.

Advisor
This is an individual whom the student requests to accompany him or her to a hearing. The advisor may only speak with and advise the student(s). An advisor may not directly address members of the Appeal Board or actively participate in the appeal process.

Appeal Board
The Appeal Board is a group of faculty and students selected by the Academic Officer to hear an appeal by a student accused of academic misconduct.

Dean of Students
The Dean of Students is the individual at the campus level who is responsible for maintaining records on student conduct and who administers the student Code of Rights, Responsibilities, and Conduct.

Note: For graduate or professional students, the Graduate Office or the Dean of Students for Graduate and Professional Students maintains academic records and has the authority to impose additional sanctions.

Preponderance of Evidence
The evidence must prove that it is more likely than not that the student(s) committed an act of academic misconduct.

Presiding Officer

The presiding officer will be a faculty member chosen by the Academic Officer to serve on and chair the Appeal Board. The presiding officer, in consultation with the Appeal Board, is responsible for selecting a date and time for the appeal to be heard, for conducting and maintaining order during the meeting, for making rulings that are necessary for the fair and expeditious consideration of an appeal, and for making reasonable efforts to provide the student with due process.

Sanction

This is a penalty imposed upon a student.

School

Some Schools are Core Schools or System Schools. For the purposes of this document, School refers to the Indianapolis campus (IUPUI) operations.

Standard of Proof

In cases of academic misconduct, the standard of proof is a preponderance of evidence and does not rise to the level of beyond a reasonable doubt that the student(s) committed an act of academic misconduct.

Appendix B: The Appeal Board

1. Composition of the Appeal Board

a. The Academic Officer will select a Board that is as impartial as possible in its composition. The Board will consist of three faculty and two students selected from the Officer’s School, Unit, Division or Area. No member should be from the area, or course section in which the offense occurred. A selected faculty member may be a full time tenure or non-tenure track individual holding a 10 or a 12 month FTE.

b. If the School, Unit, Division or Area should not have enough faculty members or students to fully constitute a Board, they may utilize members from other Schools, Units, Divisions or Areas, but the presiding officer, if possible, should be a faculty member from the School, Unit, Division, or Area in which the appeal is being made.

c. The Academic Officer appoints a faculty member to serve as the presiding officer and to convene the Appeal Board.

d. A hearing may only be held if at least two faculty members and one student member of the Appeal Board are present. If, upon notification of selection, an
Appeal Board member is unable to be present or requests to be excused from serving for any good cause, the member should be replaced with a like member.

2. Role of the Appeal Board

The Appeal Board will hear the case to determine if a preponderance of evidence supports the accusation that academic misconduct did occur [See Appendix A]. It is ultimately the responsibility of the faculty member, the Dean of Students, or the University to provide sufficient information and/or documentation to support their case. The Board may conclude that the evidence and statements provided

1. do support the allegation that the student committed the act of academic misconduct, and support the decision of the faulty member, the Dean of Students, or the University and the sanction(s) imposed.

2. do support the allegation that the student did commit the act of academic misconduct, but that the sanction or sanctions should be reduced or increased [See Academic Misconduct Reporting Form]. Under these circumstances, the Board may recommend that the Dean, Director, or Academic Officer of the School, Unit, Division, or Area impose a different sanction than that imposed by the faculty member.

3. do not support the allegation that the student committed the act of academic misconduct, and direct the Dean, Director, or Academic Officer of the School, Unit, Division, or Area to set aside the sanction(s) imposed. If the decision of the faculty member concerning the student's alleged act of misconduct is reversed by the Board, any additional sanction(s) imposed by the Dean of Students is automatically reversed.

Appendix C: Prior to the Appeal Board Hearing

1. Within Seven Business Days after Receiving the Appeal

The presiding officer will first consult with other members of the Board and then send a letter to the student, the faculty member who initiated the sanction(s), and to the Dean of Students if the Dean of Students has imposed a sanction(s).

All notifications will include the date, time, and place the appeal will be heard. The student’s letter will be sent to the student’s permanent address on record and the student’s University e-mail account. The letter to the faculty member and, if appropriate, the Dean of Students, will be sent via e-mail to the faculty member’s or Dean’s University account.

The letter will
(a) include the date, time, and place the appeal will be heard, and a statement that the faculty member will be present. If the appeal involves an academic sanction by the Dean of Students, the letter should state that the Dean of Students will be present at the meeting.

(b) state that

i. the appeal will be a closed meeting, unless the student notifies the presiding officer within 5 business days of receiving notification of the Appeal Board meeting that he or she desires the appeal to be open to the public.

ii. if the student requests an open hearing, the presiding officer is authorized to make a final decision concerning the place where the appeal meeting is to be held and the number of observers to be accommodated.

iii. the faculty member or Dean of Students must prepare a list of any witnesses that they may present at the hearing and/or whose statements may be offered as evidence at the hearing;

iv. the list must be submitted to the presiding officer and the student involved no later than 5 business days before the hearing;

v. the faculty member may be precluded from presenting witnesses or evidence at the appeal if the information has not been provided prior to the meeting.

The letter to the faculty member and, if appropriate, the Dean of Students will be sent via e-mail. The letter will

(a) include the date, time, and place the appeal will be heard and that the faculty member or Dean of Students is required to attend the hearing or the accusation of academic misconduct will be nullified.

(b) state that

i. the faculty member or Dean of Students must prepare a list of any witnesses that they may present at the hearing and/or whose statements may be offered as evidence at the hearing;

ii. the list must be submitted to the presiding officer and the student involved no later than 5 business days before the hearing;
iii. the faculty member may be precluded from presenting
witnesses or evidence at the appeal if the information has not been
provided prior to the meeting.

2. **Five Business Days Prior to the Hearing**

The presiding officer will send a letter to the student’s permanent address on record and
the student’s University e-mail account. The letter will

1. inform the student of any witnesses who may appear at the meeting of the appeal
board, and/or whose statements may be offered as evidence, and a summary of the
information upon which the allegation is based;

2. state that

   (a) the student is expected to be present at the appeal

   (b) the student may provide witnesses at the appeal

   (c) any statements or evidence that the student may present must be
provided to the presiding officer and the faculty member no later than 5
business days before the appeal board meeting

   (d) the student may be precluded from presenting witnesses or
evidence at the appeal if the information has not been provided prior to the
meeting

   (e) the student may be accompanied by an advisor, and that the
advisor will not be allowed to address any other participants involved in
the appeal process

   (f) the student will have an opportunity to address the Appeal Board
and to respond to the testimony and information provided concerning the
alleged misconduct

   (g) that a decision not to address the Appeal Board will not be
considered as an admission of guilt

   (h) that a failure to appear before the Appeal Board shall be sufficient
to justify the dismissal of the appeal if the Board determines that the
failure to attend was without good cause.
Appendix D: The Appeal Board Hearing

During the appeal board meeting the presiding officer will

1. make an official voice recorded transcript of the appeal meeting,
2. provide both the student and the faculty member with an opportunity to present evidence to support their side of the case.

In hearings where the student has chosen to have the faculty member present throughout the hearing, the presiding officer will

1. provide the student an opportunity to make a concluding statement in support of the appeal,
2. provide the faculty member with an opportunity to respond to the student’s final statement concerning the appeal.

Appendix E: Appeal Board Report

Report of Appeal Board

Within 10 business days after the hearing, the presiding officer must prepare a written decision which includes an explanation of the board’s action and the findings of fact upon which the action is based. The decision must be sent via both regular mail and e-mail to

1. the student;
2. the faculty member;
3. the Academic Officer of the School, Unit, Division, or Area in which the offense occurred;
4. the Academic Officer of the School, Unit, Division or Area in which the student is enrolled;
5. the Dean of Students.

Unless a documentable procedural error has occurred, all decisions and/or recommendations made by the Board are considered to be final and the appeals process is terminated with the filing of the Board’s report.

Appendix F: Action by the Office of the Registrar

If the penalty includes a failing grade for the course, the Registrar will be notified that the grade was given because of academic misconduct. The Registrar will record the grade of
"F" on the student's permanent academic transcript without any notation concerning the reason for the grade. In accordance with other academic policies or procedures, such as the “FX” or grade replacement policy, the Registrar must, however, follow procedures to ensure that the grade of "F" will not thereafter be removed from the transcript. An “F” given because of academic misconduct must be calculated in a determination of the student's grade point average, but the grade will not prevent the student from repeating the same course for credit.

Appendix G: Action by the Dean of Students

Note: The Dean of Students is required to maintain a confidential record of all sanctions imposed by, or reported to, the Dean of Students in order to determine if a particular student is developing a record of repeated acts of misconduct.

Note: The Dean of Students may not place a student on disciplinary probation, suspend the student, or expel the student from the University, from a School, or from a Unit or Area within the University because of an act of academic misconduct unless the Dean of Students and the Academic Officer of the School, Unit, Division, or Area in which the student is enrolled agree that the circumstances justify the sanction.

Note: The Dean of Students has no authority to reconsider an academic decision.

1. The Dean of Students

   a. is required to review a faculty member's report concerning a student's act of academic misconduct to determine whether the act might warrant probation, suspension, or expulsion. (See above.)

   b. is required to determine if the student has a record of any previous acts of academic or personal misconduct and to decide whether probation, suspension, or expulsion might be imposed on the student because of any previous acts of misconduct.

   c. must, within 5 business days (excluding university recognized holidays and breaks) after receiving the faculty member's report, notify the student that a date has been set for a conference or that a decision not to impose additional sanctions has been made. The student will be notified by e-mail (to the student’s University account) and by letter (to the student’s permanent address on record).

2. If the Dean of Students is considering additional sanctions, the notification to the student will include

   (a) a statement that the Dean of Students has been notified of the academic proceedings taken by the student’s School, Unit, Division, or Area
(b) a statement that the student is required to appear in the Office of
the Dean of Students at the specified date and time for a
conference

(c) a statement that the student may, at the student’s own expense,
have an adviser present during the conference. An advisor may
only speak with the student and not other individuals involved in
the conference.

3. The conference will be limited to a consideration of the seriousness of the
academic misconduct involved, any record of student's relevant misconduct as
maintained by the Dean of Students, and any additional sanction(s) the Dean of
Students is considering.

(a) If the student fails to appear for the conference and if the Dean
reasonably concludes that the failure to appear is without good
cause, the Dean of Students may impose any of the authorized
additional sanctions.

(b) The student has the right to appeal a decision of the Dean of
Students to impose an additional sanction(s).

4. At the conference, the Dean of Students will remind the student of the
conference’s purpose and, if applicable, of the student's record of misconduct.
The student will be given an opportunity to respond.

Note: This conference is not an appeal, and any previous sanctions are not to be
reconsidered in the discussion.

5. After the conference, the Dean of Students and, if the sanctions include
probation, suspension, or expulsion, in consultation with the Academic Officer of
the relevant unit, has the authority to impose an additional sanction.

(a) The Dean of Students must inform the Academic Officer of the
academic unit(s) involved of the Dean of Students’ dispensation of
the case.

(b) If a sanction of suspension or expulsion from the University is
imposed, the Dean of Students is required to notify the Office of
the Registrar to indicate the suspension or expulsion on all copies
of the student's academic transcript. In cases of suspension, the
Registrar will remove the notation from the transcript when the
term of the suspension has ended.