A GUIDE FOR IUPUI FACULTY

IUPUI Supplement to the IU Academic Handbook
(INTERIM GUIDE)

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The 2009-2010 Guide is provided for the faculty of IUPUI by the IUPUI Faculty Council and the Dean of the Faculties Office as a supplement to the Indiana University system-wide Academic Handbook. The Faculty Guide does not duplicate the information already printed in the IU Academic Handbook. For complete information on any topic, faculty members should consult both the system-wide and the campus handbooks. For many topics, reference is made to additional sources of detailed information. Such resources are available in the IUPUI Faculty Council Office, the Dean of the Faculties Office, and the IUPUI Home Page.

The guide is updated collaboratively, on an ongoing basis, by the IFC through the IFC Handbook Committee and the Office of the Dean of the Faculties.

_Uday P. Sukhatme_
Executive Vice Chancellor
Dean of the Faculties, IUPUI

_Charles R. Bantz_
Executive Vice President
Chancellor
IUPUI Faculty Council Handbook Committee

Carol Baird (Nursing)
Peg Brand (Liberal Arts)
Todd Daniels-Howell (University Library)
Margherita Fontana (Dentistry)
Kristine Grefsheim (Faculty Appointments and Advancement)
Jennifer Hehman (University Library), Assistant Chair
Giles Hoyt (Liberal Arts)
Richard Humphrey (Law, Library)
Josette Jones (Nursing/Informatics), Chair
Karen Lee (Faculty Council Coordinator)
Narayanan Perumal (Informatics)
Pamela Rettig (Dentistry)
Victoria Rogers (Liberal Arts)
John Tilley (Liberal Arts)
Richard Turner (Faculty Appointments and Advancement)
Section One:

Overall Structure and Governance of IUPUI

A Brief History of IUPUI

Although IUPUI itself was founded in 1969, it traces its origins to several private professional schools that operated in Indianapolis in the late nineteenth century which eventually became part of Indiana University. Indiana University's first permanent presence in the city came in 1905, when its School of Medicine began offering a program of clinical instruction here. The development of what is now the IUPUI campus began nine years later with the opening of Robert W. Long Hospital, the first building of what was to become the Indiana University Medical Center. At about the same time, the University began programs in nursing and social service in Indianapolis associated with the School of Medicine, programs which later grew into the School of Nursing and the School of Social Work.

Other academic units in Indianapolis were developed or acquired by Indiana University over the following half-century. An extension center, opened in 1916, offered programs that would later be organized under the schools of Liberal Arts, Business, Education, and Continuing Studies; while the schools of Art, Dentistry, Law, and Physical Education evolved from pre-existing private schools to become part of the University. IU's schools of Journalism and of Public and Environmental Affairs established programs at IUPUI in the 1970's. In recent years, the presence of IU's schools of Library and Information Science and Informatics have enhanced IUPUI.

Purdue University first established a presence in Indianapolis with its defense training programs during World War II. During the 1950's and 1960's, Purdue expanded its Indianapolis programs and built a regional campus on East 38th Street.

When Indiana and Purdue Universities combined their Indianapolis programs in 1969, each university accepted complementary academic missions. Purdue’s missions at IUPUI are represented by the School of Science and the School of Engineering and Technology. By merging comparable programs, IUPUI strengthened a number of academic departments and eliminated duplication. At the same time, the merger offered students access to a much wider range of opportunities than either university could have offered by itself. There are now 20 academic units at IUPUI. In the last decade, the schools of Journalism, Music (now a department in the School of Engineering and Technology), Health and Rehabilitation Science, and Informatics have either been established at IUPUI or been granted school status. Formation of a School of Public Health at IUPUI is
in process, a long-anticipated maturation of the existing Department of Public Health within the School of Medicine.

The growth of the campus since the formation of IUPUI in 1969 has been dramatic. IUPUI is now the third largest in Indiana and it offers the widest selection of degree programs. Total enrollment has increased from about 13,000 in 1969 to over 30,000 in the fall of 2009, representing students in over 300 degree programs. The 2008-09 operating budget for IUPUI exceeded $1.1 billion. The physical plant of the campus has continued to grow over its 40 years as IUPUI. The most recent additions to the campus map include the Campus Center, Eskenazi Hall, Inlow Hall, Informatics and Communications Technology Complex, student housing complex buildings, Medical Science and Medical Research buildings, and numerous parking garages. In addition, the hospitals have continued to build new additions. At the head of the canal, the Health Information and Translational Science and Fairbanks Hall buildings have extended the campus toward Methodist Hospital, aided in connection by the People Mover.

In 1997, the campus took a bold step to ensure the future of healthcare training opportunities for its Medical Center. The two university hospitals, Riley Hospital for Children and University Hospital, were merged with Methodist Hospital of Indiana into Clarian Health Partners, Inc.; thus ensuring high-quality medical service for the citizens of Indiana and the Midwest and providing the best training opportunities possible for future physicians, nurses, and other healthcare providers. Clarian Health Partners ranks among the largest providers of healthcare in the United States.

IUPUI has inherited traditions of Indiana's two Big Ten universities and has developed its own urban focus, with close ties to its capital city and to communities across the state. Indiana University, founded in 1820 in Bloomington, enrolls more than 92,000 students at eight campuses throughout the state. The Bloomington and Indianapolis campuses form IU’s academic core with a wide range of disciplines and professions. Purdue University, founded in 1869 at West Lafayette, is Indiana’s land-grant institution with many outreach and service programs.

Today, IUPUI is a vibrant and nationally-recognized urban research university. Noted for its engagement with its communities, IUPUI has received numerous awards such as the “2005 College with a Conscience (Princeton Review),” 2006 “Savior of Our Cities,” 2006 “Presidential Honor Roll Award for Community Service,” and 2008 Service Learning “Program to Look For” (U.S. News and World Report). The campus tops all Indiana campuses for research funding ($303 million in 2008) and is recognized for Undergraduate Research as a “Program to Watch” in 2007 (U.S. News and World Report). The Signature Centers Initiative, a strategic part of the campus academic plan, provides competitive seed money to establish 29 research centers. This strategic investment in the campus’s future enhances collaborative and interdisciplinary work that builds on existing strengths to maximize the impact of IUPUI research.

Strategic international partnerships with Moi University in Kenya, the University of Hidalgo in Mexico and Sun-Yat-Sen University in China led to our receiving the 2009
Institute of International Education’s “Andrew Heiskell Award for Innovation in International Exchange Partnerships.” Examples of IUPUI’s emergence as a leader in international partnerships include the School of Liberal Arts’ “Confucius Institute,” designed to promote the teaching of Chinese language and culture and the School of Nursing’s “World Health Organization Collaborating Center in Healthy Cities” designation that spearheads research, education and community development efforts for health promotion partnerships.

RISE to the IUPUI Challenge is a major new initiative to enhance student engagement and success by increasing students’ exposure to experiential learning in the areas of research, international, service learning and experiential learning –IUPUI’s strengths!

More than four out of five of IUPUI’s entering freshmen come from the Indianapolis region. Professional schools at the campus have very close ties to economic, governmental, educational, medical and cultural institutions in the state’s capital city. While Indianapolis boasted a population of 782,000 at the 2000 census, the eight-county, central Indiana metropolitan area had a population of approximately 1.6 million. The city has a tradition of fiscal conservatism, a diversified economy and labor force, and a business climate marked by public-private partnership. It is the city in the U.S. most centrally located to the top 100 markets, and nearly two-thirds of the residents of the U.S. live within 700 miles. These and other demographic factors suggest potential for continued community support for IUPUI and its programs.

“A Brief History of IUPUI (1891 – 1971)” can be found at:  
http://www.iport.iupui.edu/iupui/history/

IUPUI Campus Information:

IUPUI Campus Website: http://www.iupui.edu/

About IUPUI: http://www.iupui.edu/about/
More information about IUPUI can be found in the IUPUI Fact Book: http://ocm.iupui.edu/factbook/ as well as the IUPUI Institutional Portfolio: http://www.iport.iupui.edu/

IUPUI Mission, Vision, and Values: http://www.iupui.edu/about/vision.html

IUPUI Office of Diversity, Equity, and Inclusion: http://www.iupui.edu/~diversity/

IUPUI Academic Schools and Departments: http://www.iupui.edu/academic/schoolsdepts.htm

IUPU Columbus Campus: http://www.iupuc.edu/
Civic Engagement (Centers and Institutes, and Other Academic Programs)

http://www.iupui.edu/civicengagement/

Community Learning Network: http://www.cln.iupui.edu/
Includes Continuing Studies, General Studies, Distance Education/Accelerated Certificate Programs, Community Outreach and Services, and Off-Campus and Weekend College Courses

IUPUI and Ivy Tech Office of Coordinated Programs: http://www.iupui.edu/~ivy/

Honors Program: http://uc.iupui.edu/honors/welcome.asp

Military Science: http://www.iupui.edu/~armyrotc/

Summer Research Opportunity Program: http://www.iupui.edu/~gradoff/srop/

IUPUI Signature Centers:
http://www.iupui.edu/administration/acad_affairs/08_06_fundedsignaturecenters.pdf

IUPUI POLICY ON SCHOOL OR PROGRAM RESTRUCTURING
IFC February 2003

This policy is intended to cover transfer, merger, reorganization, reduction, and elimination of academic programs. Because IUPUI is a dynamic institution that faces the challenge of preserving the important traditions of teaching, scholarship, and service while positioning itself to meet new demands in higher education, it may be necessary to make changes to the organizational structure of the campus, including the transfer and merger of programs between schools and departments, the reorganization of programs, including the division of schools and departments into smaller units, and at times even the reduction or elimination of a program. Although financial considerations may be a factor in the decision to transfer, merge, or reorganize an academic program, clearly the primary determinant must be that such a structural change offers significant enhancement to the educational process. Similarly, decisions to reduce or eliminate programs must be based on strong evidence that such steps are necessary to ensure the long-term viability of the educational mission as a whole, rather than as an adjustment for temporary budget or enrollment variations.

Consultation among all the relevant parties in matters of transfer, merger, reorganization, reduction, or elimination of programs is in the best interest of the
administration, the faculty, the staff, and the students. Although the appropriate locus of decisions regarding school-level units is the school, the complexity of IUPUI requires faculty consultation at the campus level as well. When such structural changes as transfer, merger, reorganization, reduction, or elimination of programs are contemplated, the process must include faculty involvement and input at all stages and must also have a goal of providing affected faculty with the opportunity to be part of the new organizational structure through reassignment and retraining. The dismissal of faculty and librarians with tenure or the termination of faculty or librarians before the expiration of a term of appointment is allowed only when the Chancellor declares the campus in a state of financial exigency. (See Policy on Dealing with the Effects of Financial Difficulties Upon Faculty at IUPUI.)

I. Guiding Principles

A. The decision to transfer, merge, reorganize, reduce, or eliminate an academic program shall be based upon educational considerations, as determined primarily by the faculty as a whole and by the particular schools involved. "Educational considerations" do not include temporary or cyclical variations in enrollment. The decision on whether to transfer, merge, reorganize, reduce, or eliminate a program must be based on evidence that the educational mission of the institution as a whole will be enhanced by the proposed changes. In addition, the benefits of adding new programs must be studied carefully when these programs impinge on or threaten the resources necessary for existing programs.

B. It is expected that financial considerations will be a factor in decisions on the transfer, merger, reorganization, reduction, or elimination of programs when the range of programs offered must be limited in order to have sufficient resources available to ensure acceptable educational quality. However, such changes should not be undertaken if the savings to be realized are inconsequential, and both the tangible and intangible costs of program changes must be addressed.

C. When an academic program is to be transferred, merged, reorganized, reduced, or eliminated, every effort should be made to phase the changes in over an adequate period of time with due notice given to staff and students, and with consideration of the contractual rights of faculty whose appointments will be affected. In cases of program elimination, the phase-in period should not be less than two years. In any such changes, the impact on students, particularly those already enrolled in the affected program(s), must be considered.

D. Proposals to transfer, merge, reorganize, reduce, or eliminate academic programs must include provision for reassigning and retraining faculty members affected by the change including reassignment of faculty members to other campus schools and units in order to preserve their tenure status.

E. No affected faculty member shall be left out of the process or be disenfranchised as a result of the process outlined in this policy.
II. Faculty Authority

Article II of the Constitution of the IUPUI Faculty provides the faculty with legislative authority in the determination of faculty status and the standards and procedures of faculty appointments and faculty promotion and tenure. With this in mind, the following points must be observed:

A. Decisions concerning transfer, merger, reorganization, reduction, or elimination of programs shall occur as a result of a review process in which the faculty has assumed a prominent consultative role and has had an opportunity to vote on the proposed plan by secret ballot.

B. Transfer, merger, reorganization, reduction, or elimination of a program shall proceed according to procedures outlined in Section IV and by the elected policy committee of each school directly affected.

C. When the implementation of a transfer, merger, reorganization, reduction, or elimination of a program results in a change in faculty appointments, changes will proceed according to procedures outlined in V below.

D. Proposals to transfer programs from one campus to another, to merge programs on more than one campus, or to reorganize, reduce, or eliminate programs existing on more than one campus shall be governed by policies that may be developed by the University Faculty Council.

III. Affirmative Action

All procedures shall be applied in a manner that is consistent with Indiana University's commitment to affirmative action, as outlined in the Academic Handbook.

IV. Procedures for Program Transfer, Merger, Reorganization, Reduction, or Elimination of Academic Programs

The review of an academic program for transfer, merger, reorganization, reduction, or elimination shall be in accordance with the following procedures. It is expected that, before the following procedures are undertaken, there has been considerable discussion between the Dean(s) of the affected program(s) and the faculty, staff, and students within the program(s), as well as consultation with alumni and leaders in the particular professional organizations who represent practitioners from the program(s). The degree of concern or opposition will determine whether the procedure to be followed will be Model A, B or C.

Model A
(Model A will be followed when there is strong opposition. In this case, a more comprehensive review process will be required.)

1. The Dean(s) of the affected program(s) must provide notice to the faculty, staff, and students of the affected program(s) when the change is first contemplated. This should be done as a formal meeting between the Dean and the faculty, staff, and students. This meeting shall include discussion of which school and faculty governance bodies are to review the financial viability of the school and its affected programs, and what review processes are to be used and shall include the issuance of a precise statement about the financial and other difficulties associated with the affected programs and the possible impact on the school for not taking action. Sufficient documentation shall be provided to the faculty and to any others who request it to support and explain any proposed plan.

2. The school’s or unit’s faculty governance body may proceed like the Dean as in #1 above to address financial difficulties that they believe are present in either specific programs or within a specific school or unit as a whole. In these situations, the designated leader of a school's faculty governance body should be the one to initiate the meeting following the guidelines in #1 above.

3. The program faculty shall have an opportunity to discuss the proposed plan and vote by secret ballot.

4. The Dean(s) of the affected program(s) shall provide an opportunity for input by faculty, staff and students of the affected program(s) prior to any formal action.

5. The faculty of the school(s) with affected programs shall forward its response to and the results of their voting on the proposed changes to the Dean with a copy to the Chancellor. In preparing the response, the faculty shall address the factors under IV. 6. a-j.

6. Any proposal to transfer, merge, reorganize, reduce, or eliminate a program must be evaluated according to the following considerations:

   a. The centrality of the program to the mission of the institution as a whole, as well as to the school or department in which it is located

   b. The academic strength and quality of the program and its faculty

   c. The complementary of the program and the work done therein to other programs or to essential functions performed at the institution
d. The duplication of work done in the program and the work done in other programs, departments, or schools

e. The current and projected demand for the subject matter taught in the program(s)

f. The current and predicted comparative cost and efficiency analysis of the program(s)

g. The provisions for reassigning and retraining affected faculty and the financial implications of the change

h. The availability (or lack) of program material at other Indiana University campuses or other institutions

i. The importance of the program(s) in meeting the educational or workforce training needs of Indiana’s citizens

j. Other factors as appropriate, such as facilities.

7. Having considered the input from faculty, staff, and students, the Dean(s) shall forward a proposal for the transfer, merger, reorganization, reduction, or elimination of the affected program(s) to the Chancellor with a rationale for why these organizational changes are necessary, how they will enhance the educational process, and what their impact will be on faculty, staff and students. In preparing the proposal, the Dean(s) shall address the factors under IV.6.a-j and include an environmental impact statement that shows how the changes will impact other programs and affect the campus as a whole.

8. If the Dean(s)’ proposals and the faculty responses are in agreement on the rationale for and implementation of the changes and if the changes do not involve the elimination of a degree-granting program, the Chancellor shall forward them to the IUPUI Faculty Council Executive Committee. If the Committee believes that further review is required, a majority vote may result in a request that the Committee review the proposal and its effects on faculty, staff and students. The Committee may choose to appoint an ad hoc committee to review the proposal or assign the proposal to one of the already constituted committees of the IUPUI Faculty Council for further review.

9. The Executive Committee review process must include at least one meeting with members of the faculty from the schools or departments affected by the proposed changes.

10. Persons affected by, or concerned about, the proposed changes shall be
permitted to provide written comments, which will be considered as part of the Executive Committee review process.

11. The Executive Committee or its designee shall prepare a report and recommendations and forward a copy to the Chancellor and to the Dean(s) of the affected program(s).

12. The Dean(s) shall provide a response to the Executive Committee which will submit the proposal, the recommendations of the Committee or its designee, and the response(s) from the Dean(s) to the IUPUI Faculty Council.

13. When the Chancellor of IUPUI declares that there may be a need to transfer, merge, reorganize, reduce, or eliminate a program on campus and that these structural changes may have an impact beyond the affected program(s) and result in the reassignment of faculty or the elimination of degree-granting programs, or when there is disagreement between the Dean(s) of the affected programs and the faculty, the proposal will be submitted to the IUPUI Faculty Council Executive Committee for further review.

14. The Executive Committee review process must include at least one open, campus-wide meeting at which anyone with concerns about the proposed changes may be heard.

15. The IUPUI Faculty Council shall be given a reasonable time to deliberate and make its own recommendations to the Chancellor concerning the proposal.

16. The Chancellor shall make final recommendations on the proposal and forward it to the Dean(s) of the affected program(s) for implementation.

Model B
(Model B will be followed when there is uncertainty regarding the change and further investigation is needed.)

1. The Dean(s) of the affected program(s) must provide notice to the faculty, staff, and students of the affected program(s) when the change is first contemplated. This should be done as a formal meeting between the Dean and the faculty, staff, and students. This meeting shall include discussion of which school and faculty governance bodies are to review the financial viability of the school and its affected programs, and what review processes are to be used and shall include the issuance of a precise statement about the financial and other difficulties associated with the affected programs and the possible impact on the school for not taking action. Sufficient documentation shall be provided to the faculty and to any others who request it to support and explain any proposed plan.

2. The school’s or unit’s faculty governance body may proceed like the Dean in #1 above to address financial difficulties that they believe are present in
either specific programs or within a specific school or unit as a whole. In
these situations, the designated leader of a school’s faculty governance body
should be the one to initiate the meeting following the guidelines in #1
above.

3. The program and/or program faculty shall have an opportunity to discuss the
proposed plan and vote by secret ballot.

4. The Dean(s) of the affected program(s) shall provide an opportunity for input
by faculty, staff, and students of the affected program(s) prior to any formal
action.

5. The faculty of the school(s) with affected programs shall forward its response
to and the results of its vote on the proposed changes to the Dean with a
copy to the Chancellor. In preparing the response, the faculty shall address
the factors under IV.6.a-j.

6. Any proposal to transfer, merge, reorganize, reduce, or eliminate a program
must be evaluated according to the following considerations:

   a. The centrality of the program to the mission of the institution as a whole,
as well as to the school or department in which it is located

   b. The academic strength and quality of the program and its faculty

   c. The complementary of the program and the work done therein to other
      programs or to essential functions performed at the institution

   d. The duplication of work done in the program and the work done in other
      programs, departments, or schools

   e. The current and projected demand for the subject matter taught in the
      Program(s).

   f. The current and predicted comparative cost and efficiency analysis of the
      program(s)

   g. The provisions for reassigning and retraining affected faculty and the
      financial implications of the change

   h. The availability (or lack) of program material at other Indiana University
      campuses or other institutions

   i. The importance of the program(s) in meeting the educational or
      workforce training needs of Indiana's citizens
j. Other factors as appropriate, such as facilities.

7. Having considered the input from faculty, staff, and students, the Dean(s) shall forward a proposal for the transfer, merger, reorganization, reduction, or elimination of the affected program(s) to the Chancellor with a rationale for why these organizational changes are necessary, how they will enhance the educational process, and what their impact will be on faculty, staff and students. In preparing the proposal, the Dean(s) shall address the factors under IV.6.a-j and include an environmental impact statement that shows how the changes will impact other programs and affect the campus as a whole.

8. If the Dean(s)' proposals and the faculty responses are in agreement on the rationale for and implementation of the changes and if the changes do not involve the elimination of a degree-granting program, the Chancellor shall forward them to the IUPUI Faculty Council Executive Committee. If the Committee believes that further review is required, a majority vote may result in a request that the Committee review the proposal and its effects on faculty, staff, and students. The Committee may choose to appoint an ad hoc committee to review the proposal or assign the proposal to one of the already constituted committees of the IUPUI Faculty Council for further review.

9. The Executive Committee review process must include at least one meeting with members of the faculty from the schools or departments affected by the proposed changes.

10. Persons affected by, or concerned about, the proposed changes shall be permitted to provide written comments, which will be considered as part of the Executive Committee review process.

11. The Executive Committee or its designee shall prepare a report and recommendations and forward a copy to the Chancellor and to the Dean(s) of the affected program(s).

12. The Chancellor shall make final recommendations on the proposal and forward it to the Dean(s) of the affected program(s) involved for implementation.

Model C
(Model C will be followed when there is little to no opposition to the program transfer, merger, reorganization, or elimination of academic programs.)

1. The Dean(s) of the affected program(s) must provide notice to the faculty, staff, and students of the affected program(s) when the change is first contemplated. This should be done as a formal meeting between the Dean
and the faculty, staff, and students. This meeting shall include discussion of which school and faculty governance bodies are to review the financial viability of the school and its affected programs, and what review processes are to be used and shall include the issuance of a precise statement about the financial and other difficulties associated with the affected programs and the possible impact on the school for not taking action. Sufficient documentation shall be provided to the faculty and to any others who request it to support and explain any proposed plan.

2. The school’s or unit’s faculty governance body may proceed like the dean in #1 above to address financial difficulties that they believe are present in either specific programs or within a specific school or unit as a whole. In these situations the designated leader of a school’s faculty governance body should be the one to initiate the meeting following the guidelines in #1 above.

3. The program faculty shall have an opportunity to discuss the proposed plan and vote by secret ballot.

4. The Dean(s) of the affected program(s) shall provide an opportunity for input by faculty, staff, and students of the affected program(s) prior to any formal action.

5. The faculty of the school(s) with affected programs shall forward its response to and the results of its vote on the proposed changes to the Dean with a copy to the Chancellor. In preparing the response, the faculty shall address the factors under IV.6.a-j.

6. Any proposal to transfer, merge, reorganize, reduce, or eliminate a program must be evaluated according to the following considerations:
   a. The centrality of the program to the mission of the institution as a whole, as well as to the school or department in which it is located
   b. The academic strength and quality of the program and its faculty
   c. The complementary of the program and the work done therein to other programs or to essential functions performed at the institution
   d. The duplication of work done in the program and the work done in other programs, departments, or schools
   e. The current and projected demand for the subject matter taught in the program(s)
   f. The current and predicted comparative cost and efficiency analysis of the
g. The provisions for reassigning and retraining affected faculty and the financial implications of the change

h. The availability (or lack) of program material at other Indiana University campuses or other institutions

i. The importance of the program(s) in meeting the educational or workforce training needs of Indiana’s citizens

j. Other factors as appropriate, such as facilities.

7. Having considered the input from faculty, staff, and students, the Dean(s) shall forward a proposal for the transfer, merger, reorganization, reduction, or elimination of the affected program(s) to the Chancellor with a rationale for why these organizational changes are necessary, how they will enhance the educational process, and what their impact will be on faculty, staff and students. In preparing the proposal, the Dean(s) shall address the factors under IV.6.a-j. and include an environmental impact statement that shows how the changes will impact other programs and affect the campus as a whole.

8. The Chancellor shall make final recommendations on the proposal and forward it to the Dean(s) of the affected program(s) for implementation.

V. Procedures Relating to Faculty Appointments as a Result of Transfer, Merger, Reorganization, Reduction, or Elimination of Academic Programs

A. Transfer, Reorganization, or Merger of Programs

1. Faculty members with tenure or those with an unexpired appointment shall not be involuntarily terminated as a result of transfer, merger, or reorganization of programs, which includes the division of a school or department into smaller units.

2. Faculty of a transferred, merged, or reorganized program shall be reassigned to another appropriate program at IUPUI.

   a. Tenured faculty shall be reassigned with tenure.

   b. Faculty members with an unexpired appointment shall have the right, when reassigned, to serve no less than the remainder of their current term of appointment in the new program.
c. A faculty member’s reassignment shall not result in a reduced rate of compensation.

d. Other benefits earned before reassignment, such as credit toward a sabbatical leave, shall not be lost as a result of reassignment.

3. If the administrator of a program that is to receive a reassigned faculty member determines that such reassignment should be contingent upon retraining, affected faculty members shall be:

a. automatically eligible for training leave and

b. informed in writing by the administrator of the program to which they are scheduled to be reassigned what specific training must be completed successfully in order to maximize productivity following reassignment.

4. The University shall facilitate retraining for reassignment by approving release time or leaves with pay and fringe benefits for affected faculty members, in addition to requesting tuition-free admission from the Board of Trustees to appropriate courses at Indiana University. If the requisite training is not available at Indiana University, training undertaken elsewhere shall be at Indiana University's expense.

5. Reassigned faculty shall not displace an incumbent in an existing position.

6. If the affected program(s) is part of a school with system-wide responsibilities, faculty may be reassigned to a similar program at another campus, provided that such an assignment is voluntary on the part of the faculty member and shall encompass all of the protections identified in V.A.1-5 above. Faculty member choosing not to accept reassignment to another campus shall not be penalized.

B. Reduction of Programs

1. Reduction of programs shall not result in the involuntary termination of tenured faculty or those with unexpired terms of appointment.

2. Reduction of programs ordinarily shall be accomplished through attrition of faculty by retirement, voluntary resignation, or expiration of terms of appointment.

3. Reduction of programs also may be accomplished by means of negotiated termination of faculty with compensation or by voluntary reassignment in accordance with the applicable provisions identified in V.A.2 - V.A.6 above.
C. Elimination of Programs

1. Except under conditions of financial exigency, as defined by the Policy on Dealing with the Effect of Financial Difficulties Upon Faculty at IUPUI, elimination of a program shall not result in the termination of either tenured faculty or those serving with unexpired terms of appointment.

2. In the event of program elimination under conditions other than those of financial exigency, the University shall reassign affected faculty in accordance with the provisions identified in V.A.2-V.A.6 above. Such reassignment may include employment of faculty members in comparable non-faculty positions, provided that faculty members retain their faculty status, salary, and benefits. However, a reassigned faculty member shall not displace an incumbent employee in that position.

D. Prior Notice

After a decision has been made to reassign a faculty member or not to renew an existing faculty appointment under the provisions of V.A-C, the Chancellor of IUPUI shall pursuant to the “Responsibilities and Privileges of Academic Appointment” in the Indiana University Academic Handbook – provide the affected faculty member with a written notice of such action at least one year prior to initiation of the change.

E. Appeal

1. Faculty members who are affected by the provisions of these procedures shall have the right of appeal to the Faculty Board of Review.

2. An appeal shall be made on the basis of a complaint about the interpretation or implementation of procedures regarding transfer, merger, reorganization, reduction, or elimination of programs as established by the IUPUI Faculty Council and elected policy committees of the Schools located at IUPUI.

3. Review of such appeals shall be in accordance with the existing procedures of the Faculty Board of Review.

APPENDIX A

Procedural steps of resolution

1. The Dean(s) of the affected program(s) shall provide notice to the faculty, staff, and students of the affected program(s) when the change is first contemplated.
2. The Dean(s) of the affected program(s) shall provide an opportunity for input by faculty, staff, and students of the affected program(s) prior to any formal action.

3. The faculty of the school(s) of the affected programs shall forward its response to the proposed changes to the Dean with a copy to the Chancellor. In preparing the response, the faculty shall address the factors under IV.6.a-j.

4. Any proposal to transfer, merge, reorganize, reduce, or eliminate a program must be evaluated according to the following considerations:

   a. The centrality of the program to the mission of the institution as a whole, as well as to the school or department in which it is located
   b. The academic strength and quality of the program and its faculty
   c. The complementary of the program and the work done therein to other programs or to essential functions performed at the institution
   d. The duplication of work done in the program and the work done in other programs, departments, or schools
   e. The current and projected demand for the subject matter taught in the program(s)
   f. The current and predicted comparative cost and efficiency analysis of the program(s)
   g. The provisions for reassigning and retraining affected faculty and the financial implications of the change
   h. The availability (or lack) of program material at other Indiana University campuses or other institutions
   i. The importance of the program(s) in meeting the educational or workforce training needs of Indiana’s citizens
   j. Other factors as appropriate, such as facilities

5. After considering the input from faculty, staff, and students, the Dean(s) shall forward a proposal for the transfer, merger, reorganization, reduction, or elimination of the affected program(s) to the Chancellor with a rationale for why these organizational changes are necessary, how they will enhance the educational process, and what their impact will be on faculty, staff and students. In preparing the proposal, the Dean(s) shall address the factors under IV.6.a-j. and include an
environmental impact statement that shows how the changes will impact other programs and affect the campus as a whole.

6. If the Dean(s)' proposals and the faculty responses are in agreement on the rationale for and implementation of the changes and if the changes do not involve the elimination of a degree-granting program, the Chancellor shall forward them to the IUPUI Faculty Council Executive Committee. If the Committee believes that further review is required, a majority vote may result in a request that the Committee review the proposal and its effects on faculty, staff, and students. The Committee may choose to appoint an ad hoc committee to review the proposal or assign the proposal to one of the already constituted committees of the IUPUI Faculty Council for further review.

7. The Executive Committee review process must include at least one meeting with members of the faculty from the schools or departments affected by the proposed changes.

8. Persons affected by, or concerned about, the proposed changes shall be permitted to provide written comments, which will be considered as part of the Executive Committee review process.

9. The Executive Committee or its designee shall prepare a report and recommendations and forward a copy to the Chancellor and to the Dean(s) of the affected program(s).

10. The Dean(s) shall provide a response to the Executive Committee and the Committee will submit the proposal, the recommendations of the Committee or its designee, and the response(s) from the Dean(s) to the IUPUI Faculty Council.

11. When the Chancellor of IUPUI declares that there may be a need to transfer, merge, reorganize, reduce, or eliminate a program on campus and that these structural changes may have an impact beyond the affected program(s) and result in the reassignment of faculty, or the elimination of degree-granting programs, or when there is disagreement between the Dean(s) of the affected programs and the faculty, the proposal will be submitted to the IUPUI Faculty Council Executive Committee for further review.

13. The Executive Committee review process must include at least one open, campus-wide meeting at which anyone with concerns about the proposed changes may be heard.

14. The IUPUI Faculty Council shall be given a reasonable time to deliberate and make its own recommendations to the Chancellor concerning the proposal.

15. The Chancellor shall make final recommendations on the proposal and forward it to the Dean(s) of the affected program(s) for implementation.
Accreditation of IUPUI

The Higher Learning Commission (HLC) of the North Central Association of Colleges and Schools accredits IUPUI as a whole in terms of its mission, educational programs, governance and administration, financial stability, admissions and student services, institutional resources, student learning, institutional effectiveness, and relationships with internal and external constituencies.

The HLC oversees the processes of academic evaluation and approval for the campus as a separate unit and as a component of the Indiana University and Purdue University multi-campus systems.

Some degree programs receive additional accreditation by various national agencies with relevant review and approval responsibilities in their fields. A list of accredited programs can be found at: http://www.planning.iupui.edu/accountability/ (click on IUPUI Accredited Programs by School).

The IUPUI campus was first accredited by the North Central Association in 1972, and decennial approval has been conferred subsequently in 1982-83, 1992-93, and 2002-03. The latest self-study document can be found here: http://iport.iupui.edu/selfstudy/.

Governance of IUPUI

IUPUI in the State System

Indiana University is a statewide institution of eight campuses, the largest of which are at Bloomington and Indianapolis. Together, these two sites constitute the core campus of Indiana University. Six smaller campuses are located at Fort Wayne, Gary, Kokomo, New Albany, Richmond, and South Bend.

IUPUI was established by the Boards of Trustees of the two universities in January of 1969 through adoption of a joint resolution which assigned complementary academic missions and stated that Indiana University would have management responsibility. The original resolution was reaffirmed with a resolution of continued commitment to unification and advancement of Indiana University and Purdue University operations in Indianapolis, at the celebration of the 20th anniversary of IUPUI in January 1989. Indiana University's management functions include budgetary matters (including the preparation of operational and capital requests to the Indiana General Assembly), business operations, employment of staff, maintenance of academic and other records, administration of sponsored programs, provision of land and facilities, and the operation of central services (including such student services as admissions, registration, and financial aid).
The chief executive of Indiana University, the President, is directly accountable to the Trustees of Indiana University. The Trustees set policy for students, faculty members, and staff. They also have responsibility for faculty and administrative appointments, for disposition of University property, for curricular and organizational development, for capital development, for the setting of fees and other charges, and for a wide range of other matters.

For certain decisions related to academic missions assigned to Purdue University, the Trustees of Purdue carry responsibilities. These include concurrence in the promotion of IUPUI faculty members in Purdue mission areas and the approval of pertinent degree programs.

The President of Indiana University is assisted by several administrative officers, including the Executive Vice President and Chancellor (IUPUI), the Vice President and Provost (Bloomington), the Vice President and Chief Administrative Officer, the Vice President and Chief Financial Officer, the Vice President and General Counsel, the Vice President for Diversity, Equity, and Multicultural Affairs, the Vice President for Engagement, the Vice President for Information Technology, the Vice President for International Affairs, the Vice President for Life Sciences, the Vice President for Public Affairs and Government Relations, the Vice President for Research Administration, in addition to the Chancellors of the other campuses. The Office of the President centrally provides certain services, including budgetary planning and analysis, legal services, information and computer services, international programs, research and development, and public and governmental relations.

Some of the professional and graduate programs at Indianapolis and Bloomington are consolidated or coordinated administratively to maximize academic effectiveness. The Indianapolis and Bloomington vice presidents have administrative responsibilities at the two locations and supervise programs unique to their respective campuses. One or the other of these vice presidents also directs assigned programs that are conducted at both campuses, or at several or all Indiana University campuses. These arrangements integrate the educational operations of the Indianapolis and Bloomington campuses, which form the academic core of the University.

The Executive Vice President and Chancellor (Indianapolis) provides supervision and academic leadership at IUPUI on behalf of the President, participating also in central planning and related functions of the President’s Office. Ranking second to the Chancellor in the IUPUI administrative structure is the Executive Vice Chancellor and Dean of the Faculties.

The Office of the Executive Vice President and Chancellor (Indianapolis) is the channel through which IUPUI officers communicate to the central Indiana University administration. Requests and recommendations in regard to budgets, personnel appointments and promotions, establishment of new programs, and other substantive items move from the Vice President’s Office to and through the Office of the President before submission to the Board of Trustees.
Deans head the schools that are responsible for assigned academic missions. Each of these missions is conducted by either Indiana or Purdue, in disciplines and professions where each University has traditionally and widely recognized strengths. Organization charts for the schools are available along with detailed information on their programs and faculties. Reports from each of the schools are included in the NCA Self-Study documents, as are reports from a number of administrative services departments.

An elected IUPUI Faculty Council has a central role in providing for the faculty's participation in institutional governance. Each academic division also has a faculty governance organization. There also is an elected Staff Council, which represents the clerical, technical, and professional staff.

There are a number of administrative committees, made up of faculty, staff, and student representatives, which are described briefly in this section under IUPUI Administrative Organization. An important administrative and policy group is the Council of Academic Deans, which considers problems, issues, and programs of campus-wide significance.

The Board of Advisors for IUPUI is composed of representative local citizens appointed by the President of Indiana University. They provide counsel to campus administrators on academic and physical development, relationships with the community, and related matters.

**The Indiana Commission for Higher Education**
The Indiana Commission for Higher Education (ICHE), appointed by the Governor, coordinates the planning and development of post-high school public education throughout the state. The Commission was legislatively established in 1971 and advises the Governor, the State Budget Committee, and the General Assembly. It has authority to approve establishment of new campuses or new degree programs. It also reviews and makes recommendations on proposed budgets of the state’s seven public institutions of postsecondary education (Ball State University, Indiana State University, Ivy Tech Community College, Purdue University, Vincennes University, and the University of Southern Indiana). Members of the Commission include individuals from each of Indiana’s 12 Congressional districts and a faculty representative appointed by the Governor.

**IUPUI Faculty Governance**
The Indiana University Faculty Constitution gives the faculty legislative and consultative authority over a broad range of university activities. The Constitution delegates that authority at university-wide, campus, and school levels. The faculty at all levels exercises its authority through a variety of elected councils.
At the University-wide level, faculty governance operates through the University Faculty Council (UFC), which includes elected and ex officio faculty representatives from all eight campuses plus ex officio administrative members. The UFC has several standing committees, and the work of the UFC is directed by its Agenda Committee. The membership of the Agenda Committee includes the elected faculty leaders from each of the campuses, five elected members from the UFC, and the President of the University. Faculty governance for the IUPUI campus operates through the IUPUI Faculty Council (IFC), which comprises elected unit representatives from each of the schools, elected at-large representatives from the campus faculty, and ex officio administrative members. The IFC is guided by a faculty president and vice president with an Executive Committee elected from its ranks by the council. The IUPUI Faculty Council has the following standing committees:

- Academic Affairs
- Fringe Benefits
- Athletic Affairs
- Library Affairs
- Board of Review Pool
- Metropolitan Affairs
- Budgetary Affairs
- Nominating Committee
- Campus Planning
- Promotion and Tenure
- Constitution and Bylaws
- Staff Relations
- Distance Education
- Student Affairs
- Faculty Affairs
- Student Appeals Pool
- Faculty Grievance Advisory Panel
- Technology
- Faculty Handbook
- Undergraduate Curriculum Advisory Committee

More information about the Faculty Council and these committees appears in the Constitution and Bylaws below. Faculty participation in the work of faculty governance is essential to faculty ownership of the institution. With the help of the IUPUI Nominating Committee, the Executive Committee of the IUPUI Faculty Council composes the slates for elected positions and appoints members to the standing committees. Assignments are based primarily on the responses to the annual Committee Preference Sheets circulated by the IUPUI Faculty Council Office. Faculty members with particular interests may also contact the Executive Committee individually.

Faculty governance within the schools occurs in various forms. The pertinent school faculty constitution should be consulted for details.

**Constitution of the IUPUI Faculty**

Note: The first IUPUI Faculty Constitution was adopted in 1969. The present Constitution, with accompanying Bylaws, was adopted in April of 1978, and has been amended several times. This version includes amendments through May 2004.

**PREAMBLE**

We, the faculty members of Indiana University Purdue University Indianapolis, in recognition of our common goal to better human conditions through the process of education, and in recognition and appreciation of the rights and responsibilities bestowed upon us by the State of Indiana through the Indiana University and the Purdue University Boards of Trustees for the accomplishment of this goal, do
establish this Constitution for the purpose of creating a system which will aid in the identification, definition, and accomplishments of major specific objectives of this faculty.

ARTICLE I. FACULTY MEMBERSHIP

Section A.
1. Individuals serving full-time who hold instructor (including lecturer) or professorial (including clinical and research) rank, as well as librarians of comparable rank, and who perform their functions primarily in Indianapolis, or who, having their principal functions elsewhere have rank in an academic unit which is primarily situated at IUPUI, shall be considered members of the faculty.
2. The faculty is divided between, on the one hand, tenured and tenure-track faculty members, and, on the other, non-tenure-track faculty members.

Section B.
1. All tenured and tenure-track faculty members shall be voting members of the faculty.
2. Regarding issues that are in the broadest sense of the term relevant to IUPUI, voting privileges can be granted to full-time non-tenure-track faculty members, but these privileges must be made explicit in this Constitution or its Bylaws.

Section C.
The Office of Academic and Faculty Records at IUPUI shall be responsible for maintaining a census of the voting faculty. It shall send a certified list of voting faculty as of October 1 to the President of the Faculty, and to the President of each academic unit not later than the middle of October each year.

Section D.
Anyone wishing to challenge any inclusion or omission from the official list shall first petition the Office of Academic and Faculty Records. If that Office holds against the petitioner, the petitioner may present his or her case to the Faculty Council, which may rule for the petitioner by a 2/3 vote of those present and voting.

ARTICLE II. FACULTY RIGHTS AND RESPONSIBILITIES

The faculty shall:
1. advise the Chancellor of IUPUI, the Indiana University and the Purdue University Presidents, and the Boards of Trustees concerning policies for admission, retention, and academic placement of students;
2. establish policies governing conduct and discipline of students;
3. develop curricula, course content, academic procedures, and degree requirements, and nominate candidates for degrees, subject to the rights of review by appropriate governing bodies within the universities and by appropriate external bodies when their prerogatives are affected;
4. fix the academic calendar and the general policies for scheduling classes;
5. establish policies for institutional and student participation in extracurricular activities;
6. advise the Chancellor of IUPUI, the Indiana University and the Purdue University Presidents, and the Boards of Trustees concerning policies and administration of the libraries;
7. recommend to the Chancellor of IUPUI procedures for implementing at Indianapolis all-university criteria and procedures for appointments to the faculty, general faculty welfare, dismissal from the faculty, non-reappointment, promotion in academic rank, tenure, and sabbatical leaves of absence;
8. consult with decision-making administrators regarding proposed changes in academic organizations;
9. continually review educational policies;
10. consult with decision-making administrators regarding planning of physical facilities and staffing;
11. participate in the process of selecting candidates for major executive academic positions; and,
12. have the right to petition the Boards of Trustees through appropriate channels regarding views of the faculty on any matter pertaining to the conduct and welfare of the institutions.
ARTICLE III. EXERCISE OF RIGHTS AND RESPONSIBILITIES

Section A. Academic Unit Organization
The faculty of academic units within the University exercise their rights and responsibilities through faculty organization established by the faculty of those units, subject to the limitations of this Constitution.

Section B. The Faculty Council
1. Authority shall be exercised on behalf of the faculty by the Faculty Council in regular and special meetings, subject to the limitation of this Constitution.
2. The Council shall adopt Bylaws of the IUPUI Faculty Council.
3. The Council shall fill vacancies for unexpired terms of any of its elected officers.

Section C. Review of Council Actions
1. If, at a duly called regular or special meeting of the voting faculty, a majority of those present and voting shall oppose an action of the Council, that matter will be remanded to the Council for reconsideration. To become effective, it must then be reaffirmed by 2/3 of the entire membership of the Council. However, by a majority vote the Council may submit the matter to a mail ballot of the faculty where a majority of those voting shall suffice for passage.
2. Fifty voting members of the faculty may mandate a referendum concerning an action of the Council. The Executive Committee of the Council shall conduct the referendum.

Section D. Meeting of the Faculty
1. There shall be at least one meeting of the voting faculty during the academic year. The time and place of the meeting shall be determined by the Vice President of the Faculty in conjunction with the Executive Committee. The Vice President of the Faculty shall preside at any meeting of the faculty. The Chancellor of IUPUI shall report on the state of the Indianapolis campus at the annual meeting.
2. Special meetings of the faculty may be called by the President of the Faculty, the Chancellor of IUPUI, the Vice President of the Faculty, the Executive Committee of the Council, the Council itself, or by petition of at least fifty voting members of the faculty, said petition to be delivered to the President of the Faculty. A special meeting shall be called within thirty days of receipt of such a petition. Any special meeting of the faculty must be called for a specific purpose and may depart from that purpose only by a suspension of the rules of order. Faculty must be given notice of time, date, place, and agenda of any meeting of the faculty at least ten days in advance. The Vice President of the Faculty shall be responsible for keeping minutes of such a meeting and for distributing a copy of the same to each member of the faculty.
3. One hundred voting members of the faculty shall constitute a quorum for a meeting of the faculty.

ARTICLE IV. FACULTY COUNCIL

Section A. Membership
Faculty Council shall be composed of elected and ex officio members.

1. Elected members. Faculty members dedicated to teaching, research, creative work, and service, and librarians dedicated to performance, professional development, and service shall represent academic units. To be entitled to representation on the Faculty Council, an academic unit shall have its faculty organization documents on file with the President of the Faculty, be headed by an Academic Dean, and be certified by the IUPUI Faculty Council. The University Libraries of IUPUI shall be considered an academic unit.
   a) Elected members of the Faculty Council shall consist of unit representatives and at-large representatives.
b) If the Council selects as President or Vice President faculty members who are not otherwise members of the Council, this shall confer membership and their presence on the Council shall be disregarded in apportioning unit and at-large representatives.

c) If the Council elects to its Executive Committee a faculty member or librarian who is not otherwise a member of the Council, that person shall serve ex officio as a member of the Faculty Council. His or her presence on the Council as an ex officio member shall be disregarded in apportioning unit and at-large representatives.

d) Elected members of the Council shall serve a term of two years, commencing with the first Council meeting of the fall semester. No elected member shall be eligible to serve more than two terms consecutively.

e) Defining “N.” At its November meeting of each odd-numbered year the Faculty Council will select a number N, as the apportionment base for election of unit representatives. Each academic unit will be entitled to elect one representative for each N persons in that unit and one representative for any fraction thereof comprising its eligible voting faculty. The “eligible voting faculty” will consist of the voting faculty as of October 1, as officially listed and certified by the Office of Academic and Faculty Records. The Faculty Council Coordinator, at the direction of the Executive Committee, will notify the president or chair of each unit no later than the middle of November the number of unit representatives it may elect for the following term.

f) Election of unit representatives. Each academic unit shall conduct its election of unit representatives by procedures it shall itself establish. The results of the unit elections shall be reported by each academic unit president or chair to the Faculty Council Coordinator and the President of the Faculty no later than the middle of March. The President shall announce the results of the elections at the April Council meeting.

g) Election of at-large representatives. Election of at-large representatives shall be conducted in accordance with the procedures specified by the Faculty Council Bylaws, provided that the number of tenured or tenure-track at-large representatives shall be equal to the number of unit representatives, and provided further that the number of elected tenured or tenure-track representatives from any academic unit shall be less than one-half of the total number of elected members of the Council. Ten additional at-large representatives shall come from the ranks of the full-time non-tenure-track faculty (NTTF) and be elected by their peers; they will have the same rights and duties as other at-large representatives.

2. Ex officio members. The Chancellor of IUPUI, two Indianapolis administrative officers having campus-wide responsibilities and having been designated by the Chancellor, the head of each academic unit located in Indianapolis, and any elected member of the Executive Committee as well as any elected IUPUI representative to the University Faculty Council who is not otherwise a member of the Council shall be ex officio members of the Council.

3. Alternate members. A member of the Council who must be absent from any meeting of the Council may be represented at that meeting by an alternate, who will be permitted voice and vote. The alternate, who is not currently a member of the Council, must be a voting member of the Faculty (Constitution Article I, Section A). The alternate may have only one vote and may not represent more than one member of the Council.

4. Non-voting, ex officio members

   a) An elected representative of the Faculty organization of academic units utilizing existing IUPUI faculty shall be non-voting, ex officio members of the Council. The voting rights of each IUPUI faculty member shall be vested solely with the department and school or college of his or her primary academic appointment. Each Faculty organization shall be entitled to elect one ex officio non-voting representative for each N persons in the organization and one non-voting representative for any fraction thereof.

   b) The President or, in his or her absence, the Vice President of the IUPUI Student Assembly shall be a non-voting, ex officio member of the Council.

   c) The President of the IUPUI Staff Council shall be a non-voting, ex officio member of the Faculty Council. When the Staff Council President is unable to attend meetings of the Faculty Council, he or she may send a designated alternate.

   d) An elected representative of the IUPUI Senior Academy (an organization of retired IUPUI faculty and staff members) shall be a non-voting, ex officio member of the Council.
Section B. Officers
The Officers of the Faculty Council shall be the President of the Faculty, the Chancellor of IUPUI, the Vice President of the Faculty, and the Parliamentarian of the Faculty Council. The Presiding Officer shall be the Vice President of the Faculty or his or her designee. The President of the Faculty, the Vice President of the Faculty, and the Parliamentarian shall be selected in accordance with the procedure specified by the Faculty Council Bylaws.

Section C. Meetings of the Council
1. Regular meetings of the Council shall be held monthly during the academic year, starting in September.
2. Special meetings may be called by the President of the Faculty, the Chancellor of IUPUI, the Vice President of the Faculty, the Executive Committee, or by petition of at least 20 members of the Council, said petition to be delivered to the President of the Faculty. The person(s) calling the meeting shall state the reason(s) for calling it, and the business of the meeting shall be restricted to items relevant to the matters for which it is called. Members of the Council must be notified at least one week in advance of the meeting.

Section D. Quorum
A majority of the Faculty Council shall constitute a quorum.

Section E. Record
The Vice President of the Faculty shall be responsible for preparing minutes of the Faculty Council meetings and the President of the Faculty shall be responsible for preparing minutes of the Executive Committee meetings. The original copies of all minutes and the verbatim recording of the Faculty Council meetings shall be retained cumulatively in the Faculty Council Office for a period of at least one academic year. At the end of a year the original copies of the minutes and the verbatim recordings shall be sent to the IUPUI Archives for preservation.

Section F. Executive Committee
1. Composition. The Executive Committee shall consist of eight members elected by the Faculty Council. The President of the Faculty, who will Chair the Committee, the Chancellor of IUPUI, or that Officer’s designee, and the Vice President of the Faculty, shall serve ex officio. The Immediate Past President of the Faculty shall also serve as an ex officio non-voting member.
2. Eligibility. Any person who has served as an elected member of the Council, as Chair of a Council Committee, or as the presiding officer of a school faculty governance body within the past four years is eligible for election to the Executive Committee. No two elected members of the Committee shall be from the same academic unit, except from the School of Medicine which may have two members: one each from the basic science and clinical departments.
3. Election. Four members of the committee shall be elected each year for staggered terms of two years, at the Council’s May meeting, from a slate of nominees prepared by the Nominating Committee and submitted at the Council’s April meeting. They shall be eligible for re-election, provided that no person shall serve more than two terms consecutively.
4. Duties. The Executive Committee shall:
   a) determine the agenda for its own meetings and for regular meetings of the Council;
   b) solicit, with the help of the Faculty Council Coordinator, the interest of faculty in serving on IUPUI Faculty Council Standing Committees by the middle of March;
   c) serve as the Committee on Committees for the Council;
   d) conduct the elections which are governed by the provisions of the Faculty Council Bylaws, and rule on matters of dispute relating to election procedures;
   e) recommend to the Council the size of N for the coming year; and,
   f) perform such other duties as may be assigned to it by the Council or by the Council’s Bylaws.
Section G. Procedures
1. A member of the Council may appeal an action of the Executive Committee to the Council, which may overrule the Executive Committee by majority vote.
2. Matters which the Council deems to be of extraordinary significance may, by majority vote, be termed "important," thereby requiring a 2/3 vote of those voting in the Faculty Council to ratify.

ARTICLE V. REVIEW FUNCTIONS OF THE FACULTY

Section A.
The faculty shall express its judgment on any administrative action brought to its attention which raises an issue of academic freedom, tenure, promotion, salary, the nature and conditions of work, non-reappointment, or dismissal.

Section B.
The Faculty Boards of Review shall consider grievances of faculty members or librarians concerning academic freedom, tenure, promotion, salary adjustment, the nature or conditions of work, or reappointment. Any faculty member or librarian desiring a review of university action in these stated areas shall request, in writing, a review by a Faculty Board of Review.

Section C.
Collective faculty judgment on major issues affecting faculty interests may be expressed via a referendum. A referendum shall be initiated by delivery to the President of the Faculty of a petition by the Chancellor of IUPUI, the Vice President of the Faculty, the Executive Committee of the Council, the Council itself, or at least 50 voting members of the faculty. The Executive Committee of the Faculty Council shall conduct the mandated referendum within four weeks of the delivery of such petition. The question (or series of questions) comprising the referendum shall be answerable by the word "yes" or "no." A majority vote shall be necessary to sustain or reject the question(s). The President of the Faculty shall inform the faculty of the results of the referendum within a period of no longer than 30 days after the final receipt date of answer specified on the circulated referendum.

ARTICLE VI. AMENDMENTS

Section A.
A constitutional amendment may be brought to the Council by any member thereof, or by a petition signed by twenty voting members of the faculty.

Section B. Adoption
1. If an amendment is approved by two-thirds of those present and voting at any regular meeting of the Council, copies of the amendment shall be distributed by campus mail by the President of the Faculty to the voting faculty within one week of this approval.
2. If fifty or more voting members of the faculty so request by a written petition delivered to the President of the Faculty within three weeks after Council approval, the President of the Faculty shall then call a special meeting (Constitution Article IV, Section C, Subsection 2) of the entire voting faculty within no less than two weeks nor more than four weeks to consider the amendment. The amendment may be returned to the Council (with or without instructions) by a majority vote of those present and voting at this special meeting. If the amendment is not returned to the Council, the President of the Faculty shall conduct a mail ballot within one week after the meeting.
3. If no meeting is requested, a mail ballot shall be conducted within four weeks after Council approval and counted no earlier than four weeks later.
4. The ballots shall be distributed within a time frame to assure that both ten-month and twelve-month faculty will have the opportunity to cast their ballot.
5. An amendment shall become effective at the beginning of the academic year following its adoption, unless otherwise specified.
Amended: 06/26/86; 10/07/87; 06/25/92; 01/01/93; 03/04/93; 07/01/98; 03/02/00; 5/31/04, 3/3/09.

Bylaws of the IUPUI Faculty

BYLAW ARTICLE I. OFFICERS OF THE COUNCIL: DUTIES

Section A. President
The President of the IUPUI Faculty shall:

1. be the primary representative of, and spokesperson for, the Faculty;
2. represent the IUPUI Faculty at the University Faculty Council meetings;
3. serve as informal intermediary between aggrieved faculty members and the Administration;
4. be an ex officio member of the Executive Committee and serve as its chair;
5. be responsible for reviewing, editing, and distributing the minutes of the Executive Committee meetings, including reporting in the minutes those present at and those absent from the committee meetings; and,
6. at the end of the elected term, the President shall normally serve as the Immediate Past President for one year.

Section B. Chancellor
The Chancellor of IUPUI shall be an ex officio member of the Faculty Council and shall represent the University Administration.

Section C. Vice President
The Vice President of the IUPUI Faculty shall:

1. preside at all regular and special meetings of the Faculty Council;
2. be responsible for reviewing, editing, and distributing the minutes of the Council meetings, including reporting in the minutes those present at and those absent from the Council meetings;
3. report in the minutes of the Council meetings on the status of all Council actions which require subsequent implementation but have yet to be completed, and shall continue to report the status of implementation of such actions until they are completed or the Council authorizes their discontinuation from the minutes;
4. be the liaison with the Faculty Council Coordinator to circulate the next Council meeting agenda and documents at least two days in advance of the meeting to all members of the Faculty Council and to such other persons as the Executive Committee may designate. Members of the Council shall be notified of the time, place, and agenda of any special meeting at least six days in advance of the meeting;
5. prepare an annual summary of the activities of the Council for the faculty;
6. serve as an ex officio member of the Executive Committee;
7. perform such other duties as may be delegated by the President; and,
8. in the President's absence, serve in the President's stead.

Section D. Parliamentarian
The Parliamentarian shall be appointed by and serve at the pleasure of the Vice President of the Faculty in conjunction with the President of the Faculty and the Chancellor of IUPUI, and he or she shall:

1. serve as advisor on parliamentary procedure to the Presiding Officer;
2. serve as a resource to faculty committees at their requests; and,
3. serve as an ex officio member of the Constitution and Bylaws Committee.
**Section E. Immediate Past President**
The Immediate Past President shall:
1. serve as an advisor to the newly elected Presiding Officer;
2. serve as a resource to faculty committees, at their request; and,
3. normally serve as an ex officio non-voting member of the Executive Committee for one year.

**BYLAW ARTICLE II. ELECTIONS**

**Section A. Election of Unit Representatives to the IUPUI Faculty Council**
Unit representatives to the IUPUI Faculty Council shall be elected in accordance with the procedures specified by the IUPUI Faculty Constitution Article IV, Section A, Subsection 1, Paragraph f.

**Section B. Elections of At-Large Representatives to the IUPUI Faculty Council**
For the purpose of the election of at-large representatives, a distinction is to be made between two groups of voting faculty:
1. Full-time tenured or tenure-track faculty (hereafter Group 1) and
2. Full-time non-tenure-track faculty (hereafter Group 2).

For each group, two elections are required to choose at-large representatives to the IUPUI Faculty Council: one for nominating candidates for the available at-large representatives' positions, and a second to elect the at-large representatives. For the first ballot, for each group the slate of candidates will consist of all eligible voting members of that group. In the subsequent voting, at-large representatives will be elected by each group from a slate resulting from the popular vote in the first election by that group (Constitution Article IV, Section A, Subsection 1, Paragraph g.).

1. Nomination to the at-large ballot
   a) Each voting member of Group 1 shall be eligible to nominate no more than three persons from a list of the tenured or tenure-track voting faculty prepared by the Faculty Council Coordinator under the supervision of the Nominating Committee. Each voting member of Group 2 shall be eligible to nominate no more than three persons from a list of non-tenure-track voting faculty prepared by the Faculty Council Coordinator under the supervision of the Nominating Committee.
   b) These lists shall be distributed no later than the middle of November and the nominating votes shall be returned no later than the middle of December to the Faculty Council Office for counting under the supervision of at least two members of the Nominating Committee.
   c) The Nominating Committee shall submit to the Faculty by the end of January two ballots.
      1. One ballot for the tenured or tenure-track voting faculty containing twice the number of nominees as the number of persons to be elected.
      2. One ballot for the non-tenure-track faculty also containing twice the number of nominees as the number of persons to be elected, and securing that the results of each election be such that of the ten non-tenure-track faculty representatives on the Faculty Council no more than two shall come from the same school and that there are at least two representatives from each of the clinical, research, and lecturer ranks.
      3. Each ballot shall contain the names of persons receiving the most nominations. In the case of a tie for the last position on a ballot, the Nominating Committee shall select persons for the ballot from among those tied.

2. Elections
   a) For each group the ballots containing the names of the nominees shall be distributed by the Faculty Council Coordinator no later than the end of January. The two ballots shall identify each nominee by name, academic title, school, department, and administrative title, if any. Each voter may vote for as many at-large representatives on their ballot as there are positions to be filled and this number shall be specified on the ballot. No candidate may receive more than one vote per ballot. Votes shall be returned to the Faculty Council Office no later than the end of February for counting under the supervision of at least three members of the Nominating Committee before the middle of March. For each group the candidates receiving the greatest number of
votes shall be declared elected. In case of a tie, the Executive Committee shall vote by secret ballot to break the tie.

b) The chair of the Nominating Committee shall announce the results of the election at the Council’s April meeting.

Section C. Election of the President and the Vice President of the Faculty
1. Eligibility. Members of the voting faculty holding the rank of assistant professor or higher and librarians of comparable rank shall be eligible for nomination by the Nominating Committee as President or Vice President of the Faculty, provided that any nominee shall have served, or shall be presently serving, as a member of the Faculty Council. The slate shall be announced to the Council at its March meeting.
2. Term of office. The President and the Vice President of the Faculty shall serve a term of two years starting immediately after the last meeting of the academic year. They shall be eligible for re-election, provided that no person shall serve more than two terms consecutively in the same office.
3. Election. The Faculty Council shall elect the President and the Vice President of the Faculty at its April meeting by a vote of those present and voting.
4. Vacancy. In case of a vacancy in the office of the President or the Vice President of the Faculty, the Executive Committee shall appoint a President or a Vice President pro tem until another election can be held.
5. Privileges. The President and the Vice President shall have all the privileges of membership on the Council, including the right to participate in debate and to vote in the business of the Council.

Section D. Election of IUPUI Representatives to the University Faculty Council (UFC)
1. Number of members - UFC. The number of members elected from IUPUI to the University Faculty Council will be determined in accordance with the provisions of Article 4, Section 4.1, Subsection B of the Indiana University Faculty Constitution.
2. Term of office - UFC. All elected members shall serve terms of two years, starting with the first meeting of the academic year, and shall be eligible for re-election, provided that no member shall serve more than two terms consecutively, or until their successors are elected, and whereby any extension beyond two terms may not exceed the length of one term.
3. Apportionment - UFC. The President of the IUPUI Faculty shall serve ex officio. The remaining members shall be elected from the IUPUI faculty at-large, provided that not more than 40% of the total number of members may be from the same academic unit. Half, or the number nearest to half, of the at-large members shall be elected each year.
4. Nomination - UFC representatives from IUPUI.
   a) The faculty of each academic unit may nominate two candidates. These nominations shall be submitted by the president or chair of the unit to the Nominating Committee by the middle of January.
   b) Additional nominations may be made by filing with the Nominating Committee, by the middle of January, a nominating petition signed by at least 25 members of the voting faculty.
   c) The Nominating Committee may supplement the list of nominees if necessary to provide a reasonable balance between academic units and to select nominees with current or recent experience as members of the IUPUI Faculty Council. The ballot shall slate at least twice the number of persons as the number to be elected. Any candidate nominated for membership on the University Faculty Council shall be provided the opportunity to file a written statement of not more than fifty (50) words to accompany the ballot.
5. Elections - UFC.
   a) The Faculty Council Coordinator shall distribute the ballot to the voting faculty by the end of January. The ballot shall identify each nominee by name, academic title, school, administrative title, if any, and term of service on the IUPUI Faculty Council, if any, and it shall designate the number of candidates to be elected. The ballot must be returned to the Faculty Council Office before the end of February.
   b) A subcommittee of no fewer than three members of the Nominating Committee appointed by the President of the Faculty with the concurrence of the Executive Committee shall open and verify the returned ballots and assure that votes are properly tallied and reported to the
President by the middle of March. The President shall report the results to the Council at the April meeting. In case of a tie, the Executive Committee shall vote by secret ballot to break the tie.

6. Vacancies - UFC. In case an at-large member of the University Faculty Council cannot complete his or her term of office, the Executive Committee shall decide if, and by what method, the vacancy is to be filled, provided that if an election is held to fill the vacancy, the nominees shall be drawn from the slate of nominees prepared for the last election of the at-large members.

**Section E. Election of the Executive Committee**

1. Election of members of the Executive Committee shall be made in accordance with the provisions of Article IV, Section F of the Constitution of the IUPUI Faculty Council.

2. In case an elected member of the Executive Committee cannot complete his or her term of office, the Executive Committee shall choose the replacement from the eligible slate of nominees from the last two elections to the Executive Committee.

**BYLAW ARTICLE III. COMMITTEES OF THE FACULTY**

**Section A. Committee on Committees**

1. Composition. The Committee on Committees shall be composed of the members of the Executive Committee of the Faculty Council.

2. Responsibilities. The Committee on Committees shall:  
   a) determine the size, appoint the members, and designate the chairs of each standing committee of the faculty, except as provided otherwise by the Constitution or the Bylaws. At least one member of each standing committee shall be a member of the Faculty Council, and no committee shall have a majority of its members appointed from the same academic unit;  
   b) specify the terms of service of each person appointed to a standing committee, provided that no appointment shall be for a term exceeding two years, and that no person shall be eligible to serve more than three terms consecutively on the same committee;  
   c) make recommendations to the Council concerning the establishment of new committees, abolition of existing committees, or modification of the charge to any committee; and,  
   d) solicit and receive from the faculty, nominations for committee appointments, provided that the nominees shall have indicated their willingness to serve if appointed.

**Section B. Standing Committees**

The standing committees of the faculty shall be:

1. Academic Affairs. This committee shall make recommendations to the Council on matters relating to general, not school specific, educational curriculum matters, establishing and revising academic calendars, degree formats, graduation requirements, the academic structure of IUPUI, and other related matters. The Executive Committee of the IUPUI Faculty Council may appoint one or more students as non-voting members of the standing committee. The Executive Committee of the IUPUI Faculty Council shall make this appointment based on nominations submitted by the Undergraduate Student Government and Graduate Student Organization.

2. Athletic Affairs. This committee participates in the development of general athletics policies related to academic matters, team competition, and practice schedules within the scope of athletics conference and association rules. The committee participates in the approval of plans for addition / elimination of sports and plans for significant modification of athletics facilities. The Chancellor shall appoint the IUPUI Athletic Affairs Committee on the recommendation of the IUPUI Faculty Council Executive Committee. The Committee shall consist of 27 voting members. The Committee’s voting membership shall have a majority of faculty.

3. Budgetary Affairs. This committee shall act as a representative of the Council in offering to the IUPUI Chancellor and the Campus Administration its continuing advice and the Faculty perspectives on all aspects of the IUPUI budgetary policy and the allocation of the IUPUI financial resources, especially
those proposed allocations and re-allocations of financial resources that have bearing on the economic well-being of the faculty and the academic programs.

Among others, the committee’s responsibilities shall include:

a) Assessing the fiscal health of all academic and administrative support units, through its participation in the Campus Planning and Budgetary Hearings, and by other means including direct communication with faculty budgetary committees at the school or unit level.

b) Considering and reviewing the general academic priorities of IUPUI and the reflection of such needs in capital outlays and in the creation of budgets.

c) Considering the relative allocations of the Campus financial resources with respect to new programs and the implications to existing programs.

d) Alerting the Council to all matters of budgetary importance internal or external to IUPUI.

e) Facilitating coordination and communication among school level budgetary affairs or equivalent committees.

4. Campus Planning.

a) Composition. This committee shall include at least one representative from the IUPUI Executive Committee and from the other IUPUI Faculty Council standing committees, plus other members appointed by the Executive Committee. The IUPUI Vice Chancellor for Planning and Institutional Improvement (or Senior Advisor to the Chancellor) shall be an ex officio member. The Executive Committee of the IUPUI Faculty Council may appoint one or more students as non-voting members of the standing committee. The Executive Committee of the IUPUI Faculty Council shall make this appointment based on nominations submitted by the Undergraduate Student Government and Graduate Student Organization.

b) Duties. Broad faculty involvement in institutional planning is valued. The Committee is not itself the faculty planning body, but works to facilitate involvement and communication between the Faculty Council, Executive Committee, Budgetary Affairs Committee, and committees and academic units concerning institutional planning and improvement at IUPUI. This Committee shall be responsible for continued communication with the Administration, particularly the Vice Chancellor for Planning and Institutional Improvement.

5. Constitution and Bylaws. This committee shall periodically review the Constitution and Bylaws, draft revisions when necessary and provide, in conjunction with the Parliamentarian, interpretations of those documents when questions arise.

6. Distance Education. This committee represents the IUPUI Faculty Council and administrative interests in programs of distance education, including those that involve collaboration with other institutions. The Committee coordinates its activities with those of the IUPUI Faculty Council Technology Committee, the Office of Professional Development, the Community Learning Network, and individual schools. The Executive Committee of the IUPUI Faculty Council may appoint one or more students as non-voting members of the standing committee. The Executive Committee of the IUPUI Faculty Council shall make this appointment based on nominations submitted by the Undergraduate Student Government and Graduate Student Organization.

7. Faculty Affairs. This committee shall advise the Council on matters involving the faculty, including but not limited to, issues of academic freedom, appointments, and tenure and promotion policies and procedures.

8. IUPUI Faculty Handbook.

a) Composition. This committee shall include faculty members appointed by the Executive Committee and administrative members appointed by the IUPUI Chancellor as appropriate for review and production of the Handbook.

b) Duties. This committee shall be responsible for developing the IUPUI Faculty Handbook as a supplement to the Indiana University Academic Handbook. This committee then shall review both handbooks annually and make recommendations concerning revisions to the Faculty Council.

9. Fringe Benefits. This committee shall be responsible for reviewing needs unique to the IUPUI campus and recommending to the Council policies to deal with such needs, and shall participate in policy development and ongoing reviews of fringe benefits matters affecting the total Indiana University system.
10. Library Affairs. This committee shall review and advise the Council on policies and resources of the IUPUI University Library. It shall also consider issues that might affect the quality of the campus libraries. This committee may serve as a forum for the discussion of existing or proposed policies and for the critique of planning and budgetary proposals. The Executive Committee of the IUPUI Faculty Council may appoint one or more students as non-voting members of the standing committee. The Executive Committee of the IUPUI Faculty Council shall make this appointment based on nominations submitted by the Undergraduate Student Government and Graduate Student Organization.

11. Metropolitan Affairs. This committee shall monitor IUPUI's community service activities, identifying needs and stimulating interest in additional interaction. The Executive Committee of the IUPUI Faculty Council may appoint one or more students as non-voting members of the standing committee. The Executive Committee of the IUPUI Faculty Council shall make this appointment based on nominations submitted by the Undergraduate Student Government and Graduate Student Organization.

12. Research Affairs. This Committee shall periodically review research policies and procedures, draft revisions when necessary, and provide interpretations about those policies and procedures when questions arise. The Committee shall include faculty members from a broad range of schools across campus reflecting both faculty and student research interests and artistic/scholarly activities. The Committee shall coordinate its activities with other relevant committees with a goal of improving the environment for research at IUPUI. The Committee shall be responsible for continued communication with the administration through the IUPUI Vice Chancellor for Research.

13. Staff Relations. This committee shall be responsible for setting up the two Constitutionally-mandated annual joint meetings in conjunction with the Staff Council's Faculty Relations Committee. This committee shall serve in conjunction with the Staff Council's Faculty Relations Committee as a clearinghouse for information of common interest. The Committee shall, when necessary, meet independently to formulate faculty positions on faculty-staff matters.

14. Student Affairs. This committee shall review and make recommendations to the Council regarding matters involving student affairs. The committee shall provide advice and guidance to the Vice Chancellor for Student Life and to the Dean of Students in the areas of student administrative and campus life services. The committee shall maintain liaison with IUPUI student governing bodies. The committee membership shall include two full-time students: one enrolled as an undergraduate and one enrolled in either the Graduate School or one of the graduate professional programs. Appointments of student members will be made from nominations submitted to the Executive Committee of the IUPUI Faculty Council by the IUPUI Undergraduate Student Assembly and the Graduate Student Organization. Student members shall have the same responsibilities and privileges as the other members of the Student Affairs Committee.

15. Technology. This committee shall examine overall planning, use, and funding of technology at IUPUI; and advise and act as liaison with administration, as well as faculty and other technology committees including those which are university-wide (e.g., University Faculty Council, University Information Technology Services). The Executive Committee of the IUPUI Faculty Council may appoint one or more students as non-voting members of the standing committee. The Executive Committee of the IUPUI Faculty Council shall make this appointment based on nominations submitted by the Undergraduate Student Government and Graduate Student Organization.

   a) Composition. This committee shall consist of seven members who are or were members of the Faculty Council serving staggered two year terms. No more than two members of the committee shall be elected from the same academic unit.
   b) Election.
      (1) Members of the voting faculty shall be nominated by the Nominating Committee. The slate shall be announced to the Council at its April meeting.
      (2) Election of the members of the Nominating Committee shall be by vote of the Faculty Council at its May meeting. Those persons elected will commence their terms of committee service on July 1, following their election. The nominee receiving the highest number of votes in any year should chair the committee during the second year of his or her term.
   c) Duties. The Nominating Committee shall:
(1) solicit and receive from members of the faculty nominations for at-large representatives to the IUPUI Faculty Council (Bylaws Article II, Section B) and for members of the University Faculty Council (Bylaws Article II, Section D);

(2) nominate members of the faculty and librarians for elections by the Faculty Council to the positions of:
   (a) President and Vice-President of the Faculty (Bylaws Article II, Section C)
   (b) membership on the Executive Committee (Bylaws Article II, Section E),
   (c) membership on the Nominating Committee (Bylaws Article III, Section B, Subsection 13, Paragraph c, Subparagraph 2, Clause c),
   (d) at-large membership on the IUPUI Promotion and Tenure Committee (Bylaws Article III, Section C, Subsection 2, Paragraph b), and
   (e) membership in the pool for appointment to Faculty Boards of Review (Bylaws Article IV, Section E, Subsection 2);

(3) verify in every case that any nomination is made with the prior consent of the person(s) nominated; and

(4) oversee the election of the at-large IUPUI representatives and the UFC representatives. The members of the Faculty Grievance Advisory Panel shall be nominated by the Executive Committee for election by the Faculty Council at their January meeting (See Bylaws Article IV, Section C, Subsections 1-2).

Section C. Promotion and Tenure Committee

1. Composition. The IUPUI Promotion and Tenure Committee shall consist of the following members:
   a) one representative from each school with five or more full-time members who are based at IUPUI except from the School of Medicine which shall have two representatives, one each representing the basic science and the clinical departments;
   b) one librarian representative who shall be elected by the IUPUI Library Faculty;
   c) three members elected at-large by the IUPUI Faculty Council; and
   d) the Dean of the Faculties who shall serve as a member ex officio without vote.

   All members of the committee shall be tenured and to the extent practicable shall hold appointment at the rank of professor or librarian. No person with the authority and responsibility to sign an administrative document concerning the title, pay, or working conditions of a faculty member or librarian may serve on the Promotion and Tenure Committee. The Chair of the Committee shall be elected from and by the members of the Committee.

2. Election
   a) School representatives shall be elected by the respective promotion and tenure committee of each school from among the school’s eligible members in accord with procedures established by each school. The librarian representative shall be elected by the IUPUI Library Faculty in accord with procedures which that group shall establish. Elections shall be held in time for the representatives to be named prior to November 1. The head of the faculty governance of each school and of the IUPUI Library Faculty shall notify the Dean of the Faculties of the name of the representative by November 1 of each academic year.
   b) Three at-large members of the Promotion and Tenure Committee shall be nominated by the Nominating Committee. The slate shall be announced to the Council at its September meeting for election at the October meeting.

3. Term of office. Term of office shall start December 1. Terms for school or librarian representatives may be up to three years and shall be determined by school or IUPUI Library Faculty procedures. The term for at-large representatives shall be three years. No elected member of the committee may serve more than six consecutive years. The chair will be elected annually.

4. Responsibilities. The IUPUI Promotion and Tenure Committee shall:
   a) monitor the promotion and tenure policies of the University and recommend, as may be desirable from time to time, such modifications as the Faculty Council may seek thereof;
   b) recommend on the promotion and tenure of those candidates who are presented for consideration by the schools or libraries. Voting shall be by secret ballot, and the results recorded for each candidate;
c) review, as needed or requested, methods to establish primary and unit promotion and tenure committees, and, when such methods are deemed to be deficient, recommend changes to the schools or libraries;
d) review, as needed or requested, primary and unit level procedures for promotion and tenure and, when such procedures are deemed deficient, recommend changes to the schools or libraries;
e) review, as needed or requested, documents which specify standards that are used at the primary, unit, and campus levels to evaluate whether candidates meet the criteria for tenure and promotion and, when such documents are deemed deficient, recommend changes to the schools, libraries, or campus;
f) establish such internal committee procedures as may be necessary to assist the committee and the Dean of the Faculties in providing for reviews of candidates for promotion and tenure; and,
g) receive requests from any faculty member or librarian, and, if appropriate, investigate and evaluate the promotion and tenure process of any department or unit, and make a written report to the faculty member or librarian making the request and to the department chairperson or the chief administrative officer of the unit.

5. The Dean of the Faculties shall provide the administrative support necessary for the operation of the committee.

Section D. Undergraduate Curriculum Advisory Committee

1. Composition.
   a) The Undergraduate Curriculum Advisory Committee shall consist of the following members:
      (1) nine tenured or tenure track faculty members constituting a representative group from across the campus, and serving three-year terms;
      (2) one representative of the Academic Policies and Procedures Committee (preferably the chair or designee), who shall serve ex officio without vote; and
      (3) the Dean of the Faculties, or the Dean’s designee, who shall serve ex officio without vote.
   b) The Chair of the Committee shall be elected from and by the members of the Committee.
   c) At any time there may be no more than two committee members from any one school.

2. Election. Three members rotate off the Committee every year with three new members replacing them. Two of the three new members will be elected by the IUPUI Faculty Council from a slate of nominees prepared by the Nominating Committee; the third will be appointed by the Dean of the Faculties. Elections are held in the spring and new members begin their term in the fall. A member may serve consecutive terms.

3. Nomination.
   a) Each school on campus with undergraduate programs will recommend to the Nominating Committee candidates for a slate to be prepared by the Nominating Committee. Preference is to be given to those who chair or serve on a school’s curriculum committee or other appropriate committees. Associate deans or their equivalent from the different schools on campus may not be considered for this committee.
   b) The Nominating Committee will slate candidates in such a way as to ensure balance across programs and schools on the IUPUI campus.

4. Responsibilities. The Undergraduate Curriculum Advisory Committee shall:
   a) review all new campus undergraduate programs with particular consideration of proposed courses that may overlap with or duplicate existing courses or programs in other schools, and oversee the undergraduate course remonstrance process;
   b) mediate and propose solutions to resolve curricular disputes between undergraduate programs, in particular when remonstrance cannot be resolved between units;
   c) encourage interdisciplinary work in teaching, curriculum development, and research, particularly in the life sciences;
   d) provide collaborative support for the development of IUPUI’s general education program and its guiding Principles of Undergraduate Learning;
   e) review changes in program requirements that have the potential to affect course enrollments in other schools and programs; and,
f) screen all activities involved in the creation, revision, and elimination of undergraduate degree programs with a view to upholding the integrity of the Mission and Vision of IUPUI, as well as the value of undergraduate degrees, certificates, and programs at IUPUI.

5. The Committee reports to both the IFC Executive Committee and the Dean of Faculties.

6. The Dean of the Faculties shall provide the administrative support necessary for the operation of the committee.

Section E. Reports
Chairpersons shall supply the President of the Faculty the minutes of committee meetings on a continuing basis, and each committee shall make an annual report to the Council toward the close of the academic year.

BYLAW ARTICLE IV. FACULTY GRIEVANCES PROCEDURES

Section A. Purpose
1. To further the aims of IUPUI in teaching/performance, research/scholarly activity/creative work/professional development, and professional/public service, the faculty has established grievance procedures. These grievance procedures serve the full-time tenured and tenure-track faculty and librarians, full-time clinical and scientist/scholar rank faculty, and full-time lecturers of the IUPUI campus, by providing peer evaluation with respect to administrative actions of dismissal, academic freedom, non-reappointment, tenure, promotion, salary adjustment, and the nature or conditions of work. Equity for the individual and the good of the university shall always be considered.

2. The IUPUI Faculty Grievance Advisory Panel is an elected faculty group designed to be available early on in the course of developing or potential grievances.
   a) The Faculty Grievance Advisory Panel members are available to serve as impartial consultants for faculty/librarians and administrators who seek confidential informed advice from senior faculty colleagues.
   b) The Panel members also are available to help resolve situations informally by encouraging and facilitating discussions between the parties to the grievance.
   c) At the conclusion of its work, the Faculty Grievance Advisory Panel shall not compile any report or file containing the specific information of any grievance brought to it.

3. A Faculty Board of Review is to consider grievances, via a Formal Hearing, to gather appropriate information, and to consider its findings in light of existing policies and principles of fairness. The Board of Review shall file a written report of its findings and recommendations in a timely and expeditious manner.

4. In each formal grievance case, the Faculty Board of Review acts in an impartial way. It is not an advocate for the faculty member or librarian, nor is it an advocate for the administration. The Board shall determine:
   a) whether appropriate procedures were followed;
   b) whether the grievance arose from inadequate consideration of the qualifications of the faculty member or librarian;
   c) whether presentation of erroneous information substantially affected the decision; and
   d) whether essential fairness was accommodated throughout the decision-making process.
   e) The Boards of Review may consider the issues set forth in 4 a-d regarding promotion and/or tenure grievances, but a Board of Review shall not function as a substitute Promotion and Tenure Committee.

5. In those cases in which the Board of Review concludes that the rights of a faculty member or librarian have not been adequately protected, the Board is expected to formulate a recommendation for remediation.

Section B. Submission of Grievances
1. A faculty member or librarian seeking advice about or informal assistance with review of an administrative action may contact:
   a) the President of the IUPUI Faculty; or,
b) the Chair or any member of the Faculty Grievance Advisory Panel.

(1) The Panel roster will be available in the IUPUI Faculty Council Office.

2. A faculty member or librarian may consult informally with a member of the Faculty Grievance Advisory Panel before filing a formal grievance for a Board of Review.

3. A faculty member or librarian may request that a grievance be considered by a Faculty Board of Review without first presenting it to the Faculty Grievance Advisory Panel.

Section C. Composition and Election of Faculty Grievance Advisory Panel

1. The Faculty Grievance Advisory Panel shall consist of seven members of the IUPUI tenured faculty and librarians nominated by the Executive Committee and elected by the IUPUI Faculty Council at their January meeting. Members of the IUPUI Senior Academy who have served as tenured faculty or librarians are also eligible for election. The President of the IUPUI Faculty serves as a member ex officio.

2. In offering nominations for election to the Faculty Grievance Advisory Panel, the Executive Committee should give consideration to representation across the academic units of IUPUI.

   a) At least four members of the Panel shall be tenured full professors.

   b) At least five members should have served on the IUPUI or a Unit Promotion and Tenure Committee, on a Faculty Board of Review, or as President of the IUPUI Faculty.

3. Panel members shall hold office beginning February 1, for staggered terms of two years. Members should complete their work on any grievance on which they have begun work, even if their terms have expired.

4. The members of the Panel shall elect their own chairperson, who should be a tenured full Professor or Librarian.

5. No faculty member serving on the Faculty Grievance Advisory Panel may serve concurrently on a Faculty Board of Review or as the Grievant’s representative before a Faculty Board of Review.

Section D. Procedures of the Faculty Grievance Advisory Panel

1. When a Panel member has been contacted by a faculty member/librarian or an administrator seeking advice, that member will:

   a) meet with the Individual to discuss the case; and

   b) inform the Individual concerning the types of further assistance the Panel members can provide and other resources available on campus.

2. The Panel members who are contacted by or designated to assist the Grievant shall keep all information shared by the Grievant in confidence.

3. The faculty member or librarian may terminate the assistance of the Faculty Grievance Advisory Panel at any time.

4. The meetings of the Panel and the process of assistance employed by the Panel members should be informal.

5. The full Panel should meet regularly to review their methods and update facilitation techniques.

6. Reports.

   a) The Panel shall not report case-related or summary data that include any specifics of individual cases.

   b) The Panel shall prepare an annual summary of its work for the Executive Committee of the IUPUI Faculty Council. This report shall include only the number of cases, the categories of the grievances, the number or cases in which the Panel was successful in resolving grievances, and the number of cases in which the Grievant withdrew the request for assistance prior to the Panel completing its work.

7. A faculty member requesting the assistance of the Panel may also utilize assistance offered by other faculty or other organizations, except that an attorney representing the Grievant or the Administration may not participate in the Faculty Grievance Advisory Panel facilitation process.

Section E. Composition and Election of Faculty Boards of Review

1. Each Faculty Board of Review shall consist of five members appointed by the Executive Committee of the IUPUI Faculty Council from a group of 20 faculty members and librarians elected by the Faculty Council.
a) Members should be appointed to a Board of Review as needed on a rotating basis.
b) No more than two members of a Board may be from the same academic unit.
c) No more than four members should hold the same academic rank.
d) At least four of the members shall be tenured.
e) No person with the authority and responsibility to sign an administrative document concerning the title, pay, or working conditions of a faculty member or librarian may serve on a Board of Review.

2. At its January meeting, the Faculty Council shall elect members to serve on the Boards of Review from a slate of eligible faculty members and librarians presented by the IUPUI Nominating Committee.
   a) The number of nominees should be at least half again as many as the number of positions to be elected.
   b) If there is a tie vote that affects the election of a member, an individual vote of the Council involving only the tied nominees shall be taken.
   c) If during the course of the year the Executive Committee determines that there is a need for additional Board members, the nomination and election process may be repeated.

3. Faculty members and librarians elected to be members of Boards of Review shall hold office beginning February 1 for staggered terms of two years. Members should complete the review of any case that they have begun to consider, even if their terms have expired. (If a member leaves after the Formal Hearing has begun, that member shall not be replaced. The Board shall continue with four members and may continue with only three members with the consent of the parties concerned.)

4. An orientation session for all elected members shall be provided annually by the President of the IUPUI Faculty or his/her designee. An IUPUI Faculty Board of Review Current Practices Manual, approved by the Executive Committee of the IUPUI Faculty Council and the Dean of the Faculties, will be provided.

5. Faculty members or librarians elected to be members of Boards of Review shall be eligible for re-election, except that no person may serve more than two terms consecutively.

6. In the event legal actions are brought against faculty members or librarians in connection with or as a result of their membership on a Board of Review, the Trustee's Liability Insurance Policy, resolution of May 22, 1971, shall apply.

Section F. Procedures for Beginning a Formal Board of Review Hearing

1. A faculty member or librarian desiring a formal review of administrative action shall submit to the President of the Faculty a specific written request for review stating:
   a) the category or categories of the grievance actions involved (dismissal, academic freedom, non-reappointment, tenure, promotion, salary adjustment, and/or nature and conditions of work);
   b) the nature of the grievance in a concise summary of the grievance scenario;
   c) the steps taken to have the grievance redressed prior to contacting the President; and
   d) the redress of the grievance sought.

2. The Dean of the Faculties of IUPUI shall immediately be informed of the request. If discrimination or sexual harassment is alleged in the complaint, a copy of the complaint shall also be sent to the IUPUI Affirmative Action Office.
   a) The determination of whether discrimination or sexual harassment has occurred is in the purview of the Affirmative Action officer. The Board of Review shall not render an opinion concerning the existence of discrimination or sexual harassment.
   b) The Board of Review can proceed, however, with a formal hearing concerning the conditions of work, essential fairness of treatment, and other aspects of the grievance generally in the purview of Boards of Review. A simultaneous investigation of charges of discrimination or sexual harassment by the Affirmative Action Officer shall not delay the Board of Review process.
   c) A faculty member or librarian may not file a Board of Review grievance against the Affirmative Action Officer. Rather, any faculty or librarian complaint concerning the Affirmative Action office may be brought to the Executive Committee of the IUPUI Faculty Council, which shall gather appropriate information and advise the Chancellor.
3. If the Dean of the Faculties of IUPUI is unable to resolve the problem to the satisfaction of the faculty member or librarian within two weeks, the President of the Faculty shall submit the grievance to the Faculty Council Executive Committee to determine that:
   a) administrative reviews have been completed;
   b) the complaint was brought within one year, for good cause; and
   c) the complaint falls within the purview of a Faculty Board of Review.
4. If the conditions of Section F.3 have been met, the Executive Committee of the IUPUI Faculty Council shall constitute a Board of Review to consider the grievance (See Section E).
5. Disqualification
   a) A potential member of a Board of Review who is a member of a department (or a school which is not departmentalized) from which a case arises is disqualified from considering that case.
   b) A member of a Board who believes that he/she may not be impartial shall disqualify himself or herself, and a replacement shall be appointed by the Executive Committee provided that the formal hearing has not yet been initiated.
6. The Grievant may withdraw a complaint at any time.
7. Among other things, Boards may be asked to review cases of Dismissal and Non-Reappointment
   a) Dismissal shall mean the involuntary termination of a tenured faculty member’s or librarian’s appointment prior to retirement or resignation, or the termination of the appointment of a non-tenured faculty member or a librarian prior to the expiration of his or her term of appointment. Dismissal shall be deemed legitimate only by reasons of:
      (1) incompetence,
      (2) serious personal professional misconduct, or
      (3) extraordinary financial exigencies of the University.
   b) Non-reappointment shall mean the involuntary termination of a non-tenured faculty member or librarian at the time of the expiration of his or her term of appointment.

Section G. Board of Review Meetings and Reports
1. Before the first meeting
   a) Upon notice that a Board of Review will be convened, the Dean of the Faculties of IUPUI shall have the appropriate administrator promptly furnish a written statement of the reasons for the action which led to the grievance. This document should be a concise narrative that provides pertinent background information and that addresses all of the points made in the Grievant’s written request for review of administrative action.
   b) The Grievant may provide for the Board of Review a written response to this statement of reasons.
   c) In setting the date for a Formal Hearing, sufficient time must be allowed for the Grievant and other parties involved to prepare their case. Boards should strive to finish each case in as timely a manner as possible, usually within eight weeks of the Board having been convened.
2. General Considerations
   a) The Faculty Council Office will provide logistical support for the Boards of Review.
   b) The Board of Review proceeding does not delay the timing of administrative actions related to other policies and procedures.
   c) Throughout the Board of Review process, the Grievant and the Administration should communicate only with the Chair of the Board and not with the other members of the Board.
   d) The Board may consult concerning clarification of legal matters at any time with the members of the Law School faculty who have been designated as the IUPUI Board of Review consultants by the School of Law Executive Committee.
3. The President shall call the initial meeting of the Board of Review. At the first meeting;
   a) the President shall respond to procedural questions;
   b) the President shall present the Grievant’s written statement, the Administration’s written response, and the Grievant’s written response to that (if any); and
   c) the presiding officer of each Board shall be elected by the Board’s members from among its members.
4. At formal hearings before the Board of Review,
a) Both parties shall have the right to counsel or a representative of their choice. If external or University legal counsel are present, they shall offer private advice to their clients but may not speak during the hearing unless special permission to do so is granted by the Chair of the Board of Review. If the Grievant wishes to have another faculty member or librarian present as a representative, that person may speak during the hearing to help the Grievant present his/her case effectively, as long as the Chair of the Board deems that the representative’s participation is not disruptive to the Board of Review process.

b) The faculty member or librarian and the administrative parties shall be permitted to present witnesses and other evidence relevant to the case, and to hear and question all witnesses who are called to appear before the Board. Witnesses shall not be present in a hearing during the presentation of other witnesses unless all parties concur.

c) The faculty member or librarian making the complaint is responsible for stating the grounds upon which he or she bases the complaint.

d) The hearing may also include observers, but observers will not be permitted to attend the hearing of the Board of Review if either the Grievant or the University Administration objects.

5. The Board of Review may request and secure further information from the Grievant and/or the university Administration when it feels this is necessary to render a proper decision. The Dean of the Faculties (or Chancellor) Administration and Grievant shall make available to the Board of Review all materials relevant to the decision against which the faculty member or librarian had complained, provided that:

a) confidential faculty records of other faculty members and librarians shall not be made available to the Board of Review; and

b) all further information obtained by the Board shall be shared with the parties to the grievance.

6. An electronic record of the hearing shall be prepared at the University’s expense through the Office of Academic and Faculty Records. The tape will be available to the Board during their deliberations. It also will be made available for confidential listening in the Office of Academic and Faculty Records on request to either party in the dispute. Copies of the tape may not be made for either party in the dispute. Upon completion of the Board’s review, this tape, along with the Board’s written documentation and correspondence, shall be kept in the Office of Academic and Faculty Records; provided that if the Board reviewed any letters of recommendation that had been obtained under pledge of confidentiality, such letters shall be returned to the original confidential file and shall not be part of the Board’s stored materials. Four years after the completion of the Board of Review, the material shall be destroyed.

7. Board recommendations.

a) Upon completion of the Formal Hearing and submission of additional written materials, the Board of Review shall meet in executive session to assess:

(1) whether a reasonable case has been made by the Administration to support the decision complained of by the aggrieved faculty member or librarian;

(2) whether essential fairness was accommodated in observing the formalities and in following the procedures; and

(3) whether the challenged actions are inconsistent with the policies of Indiana University or the policies of the school or division involved.

The Board shall render a decision within two weeks.

b) If the Grievant withdraws the grievance, the Board of Review process shall cease and no Board of Review report shall be compiled.

c) If the Grievant voluntarily leaves the University (not because of dismissal or non-reappointment) during the period of time in which the Board is considering the grievance, the Board of Review may choose to continue its work when doing so appears to be in the best interest of the University. If the Board chooses not to continue, it shall report the decision and reasons to those listed Section G, Subsection 9, paragraph b. If the Board continues, it shall submit a Final Report as specified in Section G, Subsection 9.

8. The final report.

a) The Board must make a Final Report that includes:

(1) the nature of the grievance and redress sought,

(2) a summary of the findings of the Board,

(3) conclusions of the Board based upon the findings,
4. recommendations of the Board based upon the conclusions, and
5. signatures of the Board members.
b) Copies of the Final Report must be communicated to:
   (1) the Chancellor of IUPUI;
   (2) the Grievant;
   (3) the appropriate School administrative officer;
   (4) the President of the Faculty;
   (5) the Dean of the Faculties;
   (6) the Office of Academic and Faculty Records; and
   (7) each member of the Faculty Board of Review.

9. Administrative response (Revised and passed by the IFC: May 6, 2008).
a) Any review by the University Administration of the final report of the Board of Review shall be limited to information that has been presented to the Board of Review, and shall remain within the confines of sections A.4 and G.7.a of the present article, as will any determination by the Administration to agree or disagree with the recommendations of the Board.
b) Should the Administration in its review chance upon any information that affects in any way the decision-forming process but that was not previously communicated to the Board of Review, this new information must be shared promptly with all parties to the grievance. The President of the IUPUI Faculty Council, upon consultation with the Board of Review, shall then determine whether the new information warrants a reexamination of the grievance.
c) In cases where any such information cannot be legally disclosed to all parties, the Administration shall promptly inform all parties to the grievance of its existence, identify it under a general nondisclosure category, and clarify the extent to which that information influences its final decision.
d) The Chancellor of IUPUI shall report the Administration’s final decision within four weeks after receiving the report of the Board of Review. Copies of this report shall be sent to all parties that received the final report of the Board of Review in accordance with section G.8.b of the present article.
e) If a recommendation of the Board of Review is not followed by the Administration, the Chancellor’s report shall state in detail the reason(s) that the Administration disagrees with said recommendation, pointing out divergent interpretations of facts or erroneous representations of procedural handlings.
f) If the Administration fails to state its reasons against the Board of Review’s recommendations, the President of the IUPUI faculty shall
   1. inform in writing all parties that received the final report of the Board of Review of the fact, and
   2. include it in his or her report for the May meeting of the IUPUI Faculty Council as described in section G.12 of the present article.
g) Should the Administration agree with the findings and recommendations of the Board of Review but form in the end a decision not in harmony with the latter, the Administration shall clarify the extent to which its final decision was shaped by reasons foreign to the Review.

10. Further appeal by the Grievant may be made to the President of the University and the Board of Trustees.
11. A copy of each final Faculty Board of Review Report and the Chancellor’s response shall be kept in confidence in the Office of Academic and Faculty Records.
12. The President of the IUPUI Faculty shall prepare a report for the May meeting of the IUPUI Faculty Council on Faculty boards of Review activity for the year. The report shall include no confidential information related to any case but shall include:
a) the number of cases brought to the Faculty Boards of Review in each of the following categories: dismissal, academic freedom, non-reappointment, tenure, promotion, salary adjustment, and the nature or conditions of work; and
b) in each category for each Board of Review:
   1. the number of cases in which the findings and recommendations of the Board supported the position of the grievant,
   2. the number of cases in which the recommendations did not fully support the grievant,
3. the number of cases in which the recommendations of the Board were sustained by appropriate and sufficiently documented Administrative action,
4. the number of cases and the extent to which the recommendations of the Board were denied by the Administration and, within the latter,
5. the number of cases that involved violations by the Administration of section 9 of the present article, and the general character of those violations.

Item 12 Revised and Passed by the IFC: May 6, 2008

Section H. Confidentiality
1. The activities of the Boards and the Faculty Grievance Advisory Panel shall be carried out in confidence.
2. Confidential material shall be treated in accord with the Indiana University Academic Handbook: "Policy governing access to and maintenance of academic employee records."
3. Public statements concerning the details of any case are to be avoided by the principals involved, including Board members, Faculty Grievance Advisory Panel members, the Grievant, witnesses, observers, and administrative officials, prior to and during the hearing, and to the extent practicable at all times thereafter.

BYLAW ARTICLE V. PARLIAMENTARY AUTHORITY
Robert’s Rules of Order (Most Recent Edition) shall govern the conduct of the meetings of the faculty legislative bodies except as the Constitution or the Bylaws may otherwise provide.

BYLAW ARTICLE VI. AMENDMENTS

Section A. Introduction of Amendments
A resolution to amend the Bylaws may be introduced at any regular meeting of the Faculty Council by any member thereof, by the Constitution and Bylaws Committee, or by written petition of at least 20 members of the voting faculty submitted to the President of the Faculty. Any resolution to amend the Bylaws not arising from the Constitution and Bylaws Committee shall be referred to that committee for review. The Constitution and Bylaws Committee shall report to the Council at its regular meeting.

Section B. Adoption
Adoption of an amendment to the Bylaws shall require a favorable vote of 2/3 of those present at a regular meeting of the Faculty Council, if prior notice of the intention to conduct a vote is given to members of the faculty and to members of the Council. If no prior notice is mailed at least two weeks prior to the meeting adoption will require a favorable vote of 2/3 of the total membership of the Faculty Council.

Section C. Clerical Modifications
The President is authorized, after consultation with the Chair of the Constitution and Bylaws Committee, to make simple clerical modifications to the Bylaws—such as corrections to spelling or punctuation, insertion or removal of cross-references, or updating the Bylaws to reflect changes in the names of administrative offices or positions—provided that doing so in no way alters the intent of the text thus modified.

Adopted 6/1/82. Amended: 07/26/84, 08/21/85, 06/26/86, 10/07/87, 04/05/90, 08/05/91, 03/05/92, 05/07/92, 06/25/92, 01/01/93, 02/03/94, 05/07/97, 05/28/98, 04/06/00, 02/01/01, 03/06/03, 04/06/04, 04/05/05, 03/06/07, 05/06/08, 01/13/09, 03/03/09, 05/05/09, 06/01/09.

Administrative Organization
This section includes a brief description of the administrative committees and a statement about Responsibility Center Management.

**Administrative Committees**

In addition to the standing committees of the IUPUI Faculty Council, a large number of administrative committees are involved in shaping IUPUI and directing its activities. Faculty member participation in this committee work is critical to faculty ownership of the institution. Faculty members wishing to serve on a campus administrative committee should contact their school dean and the Executive Committee of the IUPUI Faculty Council. Brief descriptions of the IUPUI administrative committees appear below.

**Academic Policies and Procedures Committee (APPC)**

This committee functions as an implementing group of academic program officers, primarily undergraduate, concerned with the application of academic policies and procedures including admissions. It maintains a close connection to the Academic Affairs Committee and the Undergraduate Curriculum Advisory Committee through the chairperson of those committees. It refers to the Academic Affairs Committee its recommendations on those matters which require action by the Faculty Council. It refers to the Undergraduate Curriculum Advisory Committee its recommendations regarding new undergraduate certificate, courses and programs. One member of the APPC serves on the Undergraduate Curriculum Advisory Committee as a non-voting member.

**Calendar Subcommittee of the APPC**

The purpose of this subcommittee is to develop and coordinate academic calendars for review by the APPC and the Academic Affairs Committee of the IUPUI Faculty Council.

**Accreditation Steering Committee**

This committee leads the accreditation review process for the campus, creating the self-study report and preparing for the site visit. It begins its work several years prior to a scheduled site visit.

**Animal Care and Coordinating Committee**

This committee is responsible for assisting the Institutional Animal Care and Use Committees (IACUCs) with the implementation of federal regulations and University policies as they pertain to the care and use of animals maintained for teaching and research purposes.

**Athletic Affairs Committee**

This committee is responsible for exercising institutional control of the intercollegiate athletic program at IUPUI as outlined by the applicable national, regional, and state athletic associations. It serves concurrently as a standing committee of the Faculty Council.
Campus Center Advisory Board
The Campus Center Advisory Board provides advice to the Campus Center Director and staff with regard to policies, procedures, and opportunities to best serve the IUPUI campus community. The Campus Center staff bring issues to the Advisory Board for discussion and recommendations; the members of the Advisory Board can also bring issues, ideas and suggestions to the Board meetings or meet with the Director in between meetings.

Campus Sustainability Committee
As stewards of the IUPUI campus and of all its resources, this committee recognizes the interdependence of humans with the environment. This committee applies thoughtful and creative planning to achieve a thriving campus community built on the principles of sustainability. This committee seeks to foster conservation, protection and enhancement of natural resources through campus policy and personal behavior. This committee seeks to promote a common agenda for IUPUI as a green campus. This committee seeks to preserve and enhance the quality of life for our campus community and future generations in ways that enhance teaching and learning, research, civic engagement and administrative practices.

Built Environment Committee
This committee seeks to design, build, restore and manage our facilities and grounds through the use of sustainable materials and practices. The United States Green Building Council’s Leadership in Energy and Environmental Design (LEED) rating system or an equivalent rating system will be considered and implemented to the extent possible. Total life cycle costs, energy use, and impact on the environment are other important factors that will influence selection of materials and practices.

Energy and Utility Management Committee
This committee seeks to conserve energy and improve the energy efficiency of our buildings, vehicles, and equipment as well as the goods and services we use.

Land, Air, and Water Management Committee
This committee values the conservation of natural resources and seeks to preserve and make sustainable use of our air, water, and land. It will protect and conserve non-renewable natural resources through efficient use, careful planning, collaborative land management programs and regulatory compliance. It will minimize use of substances that may cause environmental damage to the air, water, land or its inhabitants and seek alternative substances that are more environmentally friendly. It will safeguard habitats affected by our facilities and operations and promote biological diversity; especially on and near the public lands we manage. It will conserve and increase green space through comprehensive planning.

Public Health Committee
This committee seeks to raise awareness about the ways the environment impacts individual and community health. It will monitor our policies and practices to assess environmental and occupational hazards that can adversely affect public health. When
potential risks are identified, it will promote the identification and implementation of effective solutions.

**Recycling and Waste Reduction**
This committee seeks to elevate the environmental consciousness of the IUPUI community. The subcommittee advocates sustainability programs that advance reduction, reuse, and recycling of waste materials, as well as promotes environmentally sound purchasing practices.

**Transportation Committee**
This committee seeks to minimize transportation demands to and from campus and continue to incorporate alternative fuels in the campus fleet. It will work with the Central Indiana Clean Cities Alliance, Central Indiana Commuter Services, and IndyGo to encourage increased use of carpooling and public transportation by IUPUI students and employees and will work with Central Indiana Bicycling Association, Indy Greenways and similar programs to encourage bicycling as a commuter option.

**Center for Teaching and Learning Advisory Board**
The Center for Teaching and Learning Advisory Committee consists of faculty and administrators from various schools and departments at IUPUI. The Board advises the Director of the Center for Teaching and Learning about strategic planning and programming priorities. Members have three year appointments with approximately five members rotating off each year.

**Chancellor’s Professor Selection Committee**
This committee is responsible for reviewing applications for Chancellor’s Professor and recommending finalists to the IUPUI Chancellor. The award recognizes senior faculty who display a record of extensive balanced accomplishment with special emphasis on impact to IUPUI’s missions.

**Common Theme Steering Committee**
As the intellectual hub of Central Indiana, IUPUI invites its students, staff, and faculty, the local community, and regional leaders to join in an annual conversation about a theme and book of broad interest and timely importance. This committee meets to determine the book or theme and plan related events.

**Council of Deans**
This council is advisory to the IUPUI chancellor and acts with appropriate regard for the prerogatives of the various faculties, the Faculty Council, the University administration and the Board of Trustees of Indiana University. The Council of Deans concerns itself primarily with matters of academic administration.

**Double the Numbers Task Forces**
These task forces were formed (or continued their work) following the inauguration of the current Chancellor who introduced “The Power of Two” and encouraged the faculty to double the numbers of graduates at IUPUI.
Enrollment Management Council
This council is charged with implementing a sustained, systematic, campus-wide process to manage our enrollments through an information-based plan that (1) matches unit goals with the campus mission; (2) coordinates discrete activities across academic and administrative units; (3) monitors progress; and (4) adjusts plans in light of evolving state and community needs.

Council on Retention and Graduation
This council is to provide the campus-wide leadership and coordination necessary (1) to attain a first to second year retention rate of at least 75% for all full-time students entering in fall 2008; (2) to attain a six-year graduation rate of 40% for full-time students entering in fall 2004; and (3) to award at least 4,000 baccalaureate degrees in 2010.

Civic Engagement Council
If IUPUI is to help make central Indiana one of the world's best places to live, to work and to learn through the discovery and use of knowledge, how should the campus organize itself to play a role in this transformation? What specific steps should we take to achieve this vision? How will we know we are making adequate progress on this objective? We should seek to (1) define and systematically measure civic engagement, including community-based student learning; (2) double community-based learning by 2010; (3) document that by 2010 every graduate of an IUPUI degree program has completed a reflective experience that enhances their understanding of the responsibilities of citizenship.

Council on Lifelong Learning
The council advocates and champions policy issues and good practices that impact adult learners at IUPUI, focusing on four primary areas of responsibility: (1) Provide academic oversight of the General Studies undergraduate degree program; (2) Advise on the development of accelerated programs, weekend college, online learning, prior learning assessment and related support services to retain and graduate adult learners; (3) Promote continuing education and professional development (non-credit) opportunities available through the Community Learning Network and enhancing collaboration between all IUPUI continuing education units; and (4) Coordinate with the Councils on Enrollment Management, Retention & Graduation, and Civic Engagement in the recruitment and retention of adult students.

Environmental Health and Safety
Campus Safety Committee
The Health and Safety Committee promotes occupational health and safety in all areas of the IUPUI Campus for the benefit of employees, students, and visitors. In general, the committee is responsible for:

- Identifying unsafe work practices and conditions and suggesting appropriate remedies
- Promoting OSHA compliance within work areas
• Recommending policies, procedures and training related to health and safety issues
• Reviewing specific health and safety related situations
• Conducting reviews to evaluate effectiveness of health and safety programs
• Educating co-workers regarding health and safety issues in the workplace

Emergency Planning Steering Committee
The committee develops and recommends policy, advises on activities across areas of emergency responsibility, directs the development of comprehensive emergency and recovery plans, assures high levels of emergency preparedness, and provides recommendations for preparing for emergencies, subsequent recovery and business continuity.

The committee’s objective is implementation of IU’s fundamental approach to emergency preparedness: IU is committed to providing the greatest degree of safety for all members of the IU community, consistent with a university mission and environment, applicable legal and professional standards, and the resources available. Emergency planning must consider the wide range of potential emergencies – weather, chemical, fire, criminal, contagious disease – and must focus effort and resources on the most likely threats.

Environmental Safety Committee
The committee is concerned with chemical use, storage, and disposal; handling of hazardous and infectious waste; compliance with federal, state, and local statutes and ordinances concerning environmental and employee health and safety issues; reviews of laboratory safety programs, and of requirements of monitoring agencies such as Environmental Protection Agency, Occupational Health and Safety Agency, Department of Environmental Management, State Fire Marshall, and State Board of Health; recommended policies and procedures dealing with environmental and employee health and safety issues; and recommended revisions to existing procedures to insure compliance.

Laboratory Safety Committee
The Laboratory Safety Committee is a subcommittee of the Environmental Safety Committee. Its main purpose is to develop policies and procedures dealing with laboratory safety. The committee devises mechanisms to monitor and advises departments as to compliance with policies and regulations.

Indoor Air Quality Committee
The Indoor Air Quality (IAQ) Committee is a joint collaboration between Environmental Health and Safety and Campus Facility Services. The committee was developed to address indoor air quality complaints and concerns that arise within campus buildings and to work to identify and remediate the problems.

Institutional Biosafety Committee
This committee is responsible for implementing the federal guidelines for review
and approval of all research activities involving recombinant DNA. Research studies using recombinant DNA must be approved by this committee.

**Public Safety Committee**
This committee reviews existing policies, recommends changes, or proposes new policy relative to all public safety issues other than those which would be in the areas covered by the Environmental Safety Committee.

**Recycling Committee**
The Recycling Committee was created to expand recycling initiatives on campus. The goal of this committee is to promote the reduction, reuse, and recycling of waste materials.

**Equal Opportunity Council**
The Equal Opportunity Council represents faculty and staff from academic and administrative units at IUPUI. The Equal Opportunity Council seeks to assist the Office of Equal Opportunity by serving as a conduit and a resource of information between the Office and the Campus community. The Council further seeks to be an independent advocate with respect to achieving the goals of equal opportunity.

**Minority Enhancement Subcommittee of the Equal Opportunity Council**
The Minority Enhancement Subcommittee was established under the auspices of the Equal Opportunity Council. This committee has responsibility for advising the University’s administration and faculty on matters of minority enhancement as well as responding to University initiatives related to equal opportunity, affirmative action, and minority enhancement.

**Committee on Ethics in Research**
The purpose of this committee is to be responsible for making initial inquiries concerning alleged or apparent instances of misconduct in research activities and, where warranted, conducting a full investigation.

**External Affairs Coordinating Council**
The External Affairs Coordinating Council brings together persons from diverse components of the campus who have direct contact with the public, including potential students; clients for various services; alumni; donors and potential donors; civic, government, and community leaders; and the general public. The Council is charged with recommending to the campus policies to help present a coordinated pattern of interaction and public relations from the diverse schools and programs of the campus to its external constituencies. The Council also undertakes such projects as the 40th Anniversary Celebration and studies of campus identity.

**Fee Refund Appeals Committee**
This committee deals with appeals on fee waivers, reduction, or refund policy with respect to involuntary withdrawals.
**General Studies Degree Advisory Committee**
The advisory committee provides faculty advice and oversight for the General Studies Program at IUPUI.

**Graduate Affairs Committee**
This committee provides a campus forum to support and enhance graduate education at IUPUI in cooperation with the Indiana University and Purdue University Graduate Schools. It provides a central coordinating body and makes recommendations regarding fellowships, fee remission, and curricula.

**Graduate Affairs Curriculum Subcommittee**
The Curriculum Subcommittee reviews all Indiana University Graduate School, Purdue University Graduate School, and “for graduate credit” courses offered at IUPUI.

**Graduate Affairs Fellowship Subcommittee**
The Fellowship Subcommittee reviews University and Travel Fellowship applications as well as Block Grant applications.

**Honors Council**
The Honors Council helps to determine IUPUI Honors College policies, advises the Dean of the IUPUI Honors College, and makes recommendations concerning the development of honors opportunities at IUPUI.

**Institutional Data Coordinating Committee**
This committee oversees the maintenance of an institutional database on students, faculty, and staff, as well as data pertaining to other activities and programs of IUPUI. In addition, this committee coordinates the collection of data to reduce duplication of effort and to provide data in an efficient, accountable manner.

**Institutional Review Board (IRB)**
The IRB is charged with ensuring that those individuals participating in research are not subject to undue or inappropriate risks, that participation remains a voluntary right, and that the conduct of research is upheld as a privilege. The campus has several IRB Boards that review various segments of campus research and are assigned their reviews by the Research Administration Office.

**International Council**
The council constitutes a campus-wide forum for recognizing, supporting, and enhancing internationalization at IUPUI. It advises the Chancellor, Executive Vice Chancellor, and Office of International Affairs on matters of international policy and strategy. Council members are drawn from all schools, relevant administrative offices, and centers with international activities at their core. Members represent their particular units: raising issues of relevance to the unit, reporting on unit activities, and conveying information back to the unit.
Learning Technologies Steering Committee
The committee is charged to (1) Advise the appropriate Associate Vice President(s) and Associate Deans about priorities for the initiation and implementation of new teaching and learning technologies, enhancements to existing technologies, and the retirement of these technologies and services; (2) Provide an annual report to the appropriate Faculty Council committee(s) and the university community; (3) Consider policy issues related to the use of learning technologies and make recommendations to the appropriate governing organizations for consideration and possible action; and (4) Recommend improvements in services and support for learning technologies that may be suggested from the committee’s review of feedback from faculty, students and staff.

Oncourse Priorities Committee
The committee considers proposals for improvements, new features and tools in the Oncourse environment. Additionally, the committee considers policy questions that may arise from time to time and refers those with a recommendation to the appropriate governing organization for consideration and possible implementation.

P-20+ Council
This council is to encourage and facilitate collaboration among campus units on initiatives involving P-12 schools and related community organizations.

Parking Advisory Committee
The purpose of the IUPUI Parking Advisory Committee is to make recommendations on parking policies, regulations, procedures, and physical facilities to provide for efficient, safe, and relatively convenient parking for University faculty, staff, students, patients, and visitors.

Parking Appeals Committee, Faculty-Staff-Student
The purpose of this committee is to provide an opportunity for all persons cited for violations of the University Motor Vehicle Regulations to be heard. The process involves appealing their citations and seeking redress through due process. The first step is a written appeal to be read and decided upon by the Committee. The next and final step would then be to appeal in person. The committee is empowered, in accord with specified procedures, to sustain, deny, or reduce the severity of the violation.

Committee for People with Disabilities
The charge of this committee is to provide overall, campus-wide guidance and to develop recommendations to campus administration to achieve the goal of making IUPUI a place of choice for people with disabilities to work, go to school, receive health care, and visit. The work of the committee is conducted through five subcommittees, which in turn report to an overall steering committee.

Program Review and Assessment Committee
This committee establishes guidelines for comprehensive program review for academic and administrative units and provides guidance for student outcomes assessment throughout the institution. It also provides a forum for the exchange of program review
and assessment information and strategies among graduate and undergraduate programs and administrative units. This committee, which has faculty leadership, funds grants that promise innovative approaches or improved practice in assessment. It also has responsibility for preparing campus assessment plans and reports that may be required by the North Central Association.

Radiation Committees

Radiation Safety Council (RSC)
The RSC has overall responsibility for the University's radiation safety program. The RSC coordinates the activities of various radiation safety committees, reviews the University's radiation safety program, and makes policy decisions.

Machine-Produced Radiation Safety Committee (MPRSC)
The MPRSC is the administrative body responsible for the safe use of machine-produced radiation.

Radionuclide Radiation Safety Committee (RRSC)
The RRSC is the administrative body responsible for the safe handling of radioactive materials within the University. The establishment of this committee is required by the Nuclear Regulatory Commission in order to assure that appropriate Federal regulations concerning radioactive sources are implemented.

Radioactive Drug Research Committee (RDRC)
The RDRC is the administrative body responsible for the utilization of radioactive materials in human research subjects for the purpose of obtaining basic information regarding human physiology, pathophysiology, or biochemistry, but not intended for immediate therapeutic, diagnostic, or similar purpose or to determine the safety and effectiveness of a drug in humans. This committee serves as an in-house extension of the Food and Drug Administration (FDA), thus expediting review of protocols and eliminating the need to submit a Notice of Claimed Investigational Exemption for a New Drug (IND) form to the FDA in certain circumstances.

Sabbatical Leaves Committee
This committee reviews applications for sabbatical leaves of absence and makes recommendations, based on specified criteria and standards, to the IUPUI Chancellor through the Dean of the Faculties.
Administrative Titles for Academics

Associate Dean
Specific duties are identified for each area of responsibility, such as Associate Dean for Research, for Undergraduate Studies, for Academic Affairs, for Financial Affairs, for External Affairs, for Development, for Technology Services, for Clinical Programs, for Civic Engagement, and so forth.

Classification
This is a title used within a School or other academic unit, which is the “appointing unit.” It is not itself a classification; and the title can be used only in conjunction with an academic appointment. The duties of an academic appointee as associate dean may be part-time, but the actual academic appointment ordinarily must be full-time (e.g., as associate dean might have half-time administrative duties and teaching, research and professional service duties for half-time). The holder of this title will have a full-time academic appointment. If the appointee is not a tenured faculty member, the appointment may be a full time academic appointee as lecturer, professor (any rank), clinical professor (any rank), research professor (any rank), or academic specialist or may be a mix of academic and faculty appointment, such as an academic specialist with a part-time co-appointment as a lecturer or assistance or associate professor. Clinical faculty or academic specialist may not hold appointment as Associate Dean for Academic Affairs, although appointees in these classifications may hold associate dean titles in other areas. In the special case of an Associate Dean for Academic Affairs, the rank of tenured associate or full professor is required. Academic Affairs includes full or partial responsibility for academic appointments, promotion, tenure, or annual review of other academic appointees, new course or degree approval, program review, or other functions that directly impact the academic mission of the unit.

Description
This position is associated with oversight for administrative work such as teaching, research, civic engagement, student services, financial management, development and so forth. As noted above, academic units will be best served by requiring that those individuals holding administrative appointments with direct authority for academic programs have the full range of academic qualifications associated with the tenure track, as well as the fuller protection of academic freedom that tenure provides.

Qualifications
A tenured faculty appointment is required for an Associate Dean for Academic Affairs (or other Associate Dean position with responsibility for academic affairs as defined above). The master’s degree is the minimum academic credential for all associate dean titles. Other requirements appropriate to the particular responsibilities of the Associate Dean may be added by the school.
Appointment Procedures
Associate Deans may be recruited from within the school or external to IUPUI. In either case, normal academic search procedures must be followed, and a recommendation to offer appointment must be approved by the Dean of the Faculties.

Term
The term of an Associate Dean appointment will be stipulated at the time the appointment is made, but the title may be discontinued at any time at the discretion of the appointing officer. Non-reappointment or termination of appointment is made in accord with the policies applicable to the academic appointment. In each instance, the notice requirements will be observed as stipulated for each academic classification. Tenured faculty may have the title reviewed at the discretion of the appointing officer but may be terminated from the faculty appointment only in accord with applicable policies.

Responsibilities, Rights and Privileges
Appointment as Associate Dean will not alter, increase or diminish the responsibilities, rights and privileges already inherent in the fact of being an academic employee of IUPUI and Indiana University. The title of associate dean is awarded by the appointing officer after approval by the Dean of the Faculties and may be removed by the appointing officer by the same process at any time without affecting the right to notice or a grievance procedure as appropriate to the academic appointment.

Assistant Dean
Specific duties are identified for each area of responsibility, such as Assistant Dean for Development, for Resource Management, for Facilities, for Advising, or for Student Services.

Classification
The Assistant Dean position may be posted as either an academic position or a professional administrative position (PA). If it is posted as a PA position, it must be at the rank of PA14 or higher. If it is an academic appointment, the appointee must qualify for an academic classification. In the instance of academic appointments, the appointee must have a full-time appointment in an academic classification; duties as Assistant Dean may be part-time in combination with other academic responsibilities.

Description
This position/title may be used for administrative duties associated with the coordinating and management functions of the school.

Qualifications
If position is to be PA, then consultation with Human Resources must be held in order to create the position at the appropriate level (PA14 or higher). If position is to be academic, the expected academic credential associated with the position is minimally a masters degree. (If the academic specialist classification is used for the position, a
master’s degree is required.) However, in some cases, exceptions will be made for candidates holding only a baccalaureate, based on the duties of the position and relevant past experience of the candidate; in these instances, the appointment will be as a PA.

Appointment Procedures
Assistant Deans may be recruited from within the school, or external to IUPUI. In either case, if the appointment is to be academic, normal academic search procedures must be followed, and the recommendation to offer must be approved by the Dean of the Faculties. If the position is to be a professional administrative staff appointment, the appointing unit must follow the guidelines set by Human Resources and also submit the proposed candidate’s documentation to the Dean of the Faculties for approval.

Term
If the appointment is a professional administrative staff appointment, there is no stipulated term definition required. Notice of non-reappointment or termination is made in accord with establish HR policies. In the case of an academic appointment, the title may be discontinued at any time at the discretion of the appointing officer. Non-reappointment or termination is made in accord with the policies applicable to the academic appointment. In each instance, the notice requirements will be observed as stipulated for each academic classification. Tenured faculty may have the title reviewed at the discretion of the appointing officer but may be terminated from the faculty appointment only in accord with applicable policies.

Responsibilities, Rights and Privileges
Appointment as Assistant Dean will not alter, increase, or diminish the responsibilities, rights and privileges already inherent in the fact of being either an academic or professional administrative staff employee, as applicable, of IUPUI and Indiana University. The title of assistant dean is awarded by the appointing officer after approval by the Dean of the Faculties and may be removed by the appointing officer by the same process at any time without affecting the right to notice or a grievance procedure as appropriate to the academic appointment or the PA appointment as appropriate.

Honorary Appointments

Community Scholar
The Community Scholar title may be modified by the use of one of the terms: Teaching, Research, or Service. Alternative titles: Field Experience Supervisor; Clinical Supervisor.

Classification
Honorary without remuneration.

Description
Civic engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service. A Community Scholar represents a formal, flexible, and term appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful contributions to meeting educational objectives for IUPUI students through community-based learning activities, research, or professional service. A Community Scholar is expected to engage in these activities though such roles as supervising community-based internships, clinical placements, field experiences, or service learning; teaching in an approved curriculum of the Community Learning Network or other formally recognized school-based continuing education program; serving as a co-teacher from another state or nation via distance education; participating in organized research conducted under the auspices of an academic or academic service unit; or providing direct services at a high level of responsibility in cooperation with an academic unit of the campus. The Community Scholar is differentiated from an adjunct appointment by not having direct responsibility and authority for teaching (i.e., awarding credit), research (i.e., receiving grants or contracts), or implementation of service (i.e., obligating the institution).

Qualifications
Community Scholars are expected to have expertise through experience or training that prepares them to contribute to the educational achievement of students in community-based learning. A record of sustained participation with academic units of IUPUI is expected, ordinarily of at least a year’s duration. Recommendation for appointment should be based on a documented record of significant contribution (e.g., certification as a qualified internship or field experience supervisor). The candidate’s expertise and training must be broadly consistent with clearly understood learning objectives, research objectives, or professional service. Ordinarily, a Community Scholar will hold a baccalaureate or advanced degree.

Appointing Unit
A Community Scholar may hold appointment in a department, school, center, or academic service unit subject to the approval of the senior academic administrative officer of the unit and the Dean of the Faculties.

Term
Appointments are for up to five years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment whether full or part-time.

Appointment Procedures
The initiative for applying to become a Community Scholar may come from either the candidate or an IUPUI faculty member or academic administrator. In either case, a complete application for initial appointment will include (1) a completed cover sheet; (2) a letter from the responsible academic administrator stating how the nominee is prepared to contribute to the educational goals of students, courses, programs, initiatives, centers, or other activities at IUPUI based on previous experience; (3)
resume of the candidate’s professional background and documentation of at least one year’s prior involvement with the academic mission of the campus; and (4) a draft letter of appointment. Renewed appointments need only approval of the dean or appropriate academic administrator. Recommendations for appointment should be made through the usual academic appointment process and be completed with the entry of an electronic appointment form.

Rights and Privileges
Community Scholars are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily commit to observing IUPUI policies regarding Academic Ethics, Sexual Harassment, and Non-Discrimination; and they will consent to a criminal background check. When applicable, Community Scholars may be asked to observe other specific policies (e.g., Human Subjects) contingent on the nature and scope of their affiliation. Community Scholars may have the privileges of academic appointment with regard to computer access with a personal account, library resources, parking, identification card, business cards, use of facilities, and other privileges as may be stated in the letter of appointment approved by the Dean of the Faculties. In some instances, Community Scholars may be located in other cities or nations, making remote access to resources important. Community Scholars are not eligible for salary, fringe benefits, leaves, tenure, participation in faculty governance, or other privileges reserved for employees. Community Scholars may be terminated for cause at any time. Extensions or renewals of appointment are to be based on a review of mutual benefit.

Community Associate

Classification
Honorary without remuneration

Description
Civic engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service. A Community Associate represents a formal yet flexible appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful alignment with and support of the mission of IUPUI in cooperation with full time faculty or academic administrators. Community Associates, however, do not have direct responsibility for supervising IUPUI students or staff.

Qualifications
Community Associates are expected to have specific knowledge and expertise deriving from their roles in the community that allow them to support the mission of IUPUI.

Appointing Unit
A Community Associate may hold appointment in a department, school, center, or other academic service unit subject to the approval of the senior academic administrative officer of the unit and the Dean of the Faculties.
Term
Appointments are for up to two years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment whether full or part-time.

Appointment Procedures
The initiative for applying to become a Community Associate may come from either the candidate or an IUPUI faculty or staff person. In either case, a complete application for the initial appointment will include (1) a completed appointment recommendation cover sheet; (2) a letter from a center director, chair or dean stating how the nominee is prepared to contribute to the mission of the appointing unit; (3) a resume of the candidate’s professional background; and (4) a draft letter of appointment. Renewed appointments need only approval of the dean or appropriate academic administrator. Recommendations for appointment should be made through the usual academic appointment process and be completed with the entry of an electronic appointment form.

Rights and Privileges
Community Associates are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily consent to observing IUPUI policies regarding Academic Ethics, Non-Discrimination, and Sexual Harassment. Contingent on the scope and nature of their affiliation, Community Associates may be asked to observe other specific policies upon initial appointment or renewal of appointment. Community Associates may be accorded certain academic privileges as specified in the letter of appointment. Community Associates do not have any rights or privileges implied by an employment relationship and may be terminated at any time at the discretion of the appointing unit. Renewal or extensions of appointments are to be based on a review of mutual benefit.

Visiting Community Associate

Classification
Honorary without remuneration

Description
Civic engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service. A Visiting Community Associate represents a formal yet flexible appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful alignment with and support of the mission of IUPUI in cooperation with full time faculty or academic administrators. Visiting Community Associates, however, do not have direct responsibility for supervising IUPUI students or staff. The visiting status is designed to accommodate a person with a short-term involvement with IUPUI of six months or less. It may appropriately be used for
members of program review or accreditation teams, for government officials with specific limited needs and roles, members of a task force or commission, and the like.

Qualifications
Visiting Community Associates are expected to have specific knowledge and expertise deriving from their roles in the community that allow them to support the mission of IUPUI.

Appointing unit
A Visiting Community Associate may hold time-limited appointment in a department, school, center, or other academic service unit of up to six months subject to the approval of the senior academic administrative officer of the unit.

Term
Appointments are for up to six months. Appointments may be renewed but persons with involvement beyond a short time should be appointed as a Community Associate or Community Scholar. This appointment may not be held concurrently with any other academic appointment whether full or part-time.

Appointment Procedures
The initiative for applying to become a Visiting Community Associate may come from either the candidate or an IUPUI faculty or staff person. In either case, a letter from a center director, chair or dean stating how the nominee will contribute to the mission of the appointing unit and stating the privileges should be sent to the appointee with a copy to the Dean of the Faculties. Recommendations for appointment should be completed with the entry of an electronic appointment form.

Rights and Privileges
Visiting Community Associates are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily consent to observing IUPUI policies regarding Academic Ethics, Non-Discrimination, and Sexual Harassment. Contingent on the scope and nature of their affiliation, Visiting Community Associates may be asked to observe other specific policies upon initial appointment or renewal of appointment. Visiting Community Associates may be accorded certain academic privileges as specified in the letter of appointment, including temporary parking permits, computing access, or library privileges. Visiting Community Associates do not have any rights or privileges implied by an employment relationship and may be terminated at any time at the discretion of the appointing unit.

International Scholar

Classification
Honorary with or without remuneration.

Title
The International Scholar title is similar to the Community Scholar and should be viewed as its equivalent. On occasion, the title may be modified by the use of one of the terms: Teaching, Research, or Service.

Description
International engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service. An International Scholar represents a formal, flexible, and term appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful contributions to meeting IUPUI’s mission through internationally-based learning activities, research, or professional service. An International Scholar is expected to engage in these activities through such instructional roles as supervising students in study abroad programs including service learning, internships, and clinical rotations, serving as a mentor to graduate students or faculty in sustained academic work in a nation or region outside the United States, or participating in an instructional program through distance education on more than an occasional basis; through collaborating in research that continues beyond a year; or through providing direct services at a high level of responsibility in cooperation with an academic unit of the campus. The International Scholar is differentiated from an adjunct appointment by not having direct, sole responsibility and authority for teaching (i.e., awarding credit), research (i.e., receiving grants or contracts), or implementation of service (i.e., obligating the institution). The International Scholar will have another nation as the principal site for work and living and will not hold any permanent visa status with the United States.

Qualifications
International Scholars are expected to have expertise through experience or training that prepares them to contribute to the academic mission of IUPUI. Recommendation for appointment should be based on a documented record of prior significant contribution for a sustained period (e.g., certification as a contributor to distance learning, collaboration on externally funded research) or an expectation that the candidate will be in residence at IUPUI for at least a semester. The candidate’s expertise and training must be broadly consistent with clearly understood learning objectives, research objectives, or professional service. Ordinarily, an International Scholar will hold a terminal degree appropriate for a professorial appointment.

Appointing Unit
An International Scholar may hold appointment in a department, school, center, or academic service unit subject to the approval of the senior academic administrative officer of the unit and the Dean of the Faculties.

Term
Appointments are for up to five years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment at IUPUI, whether full or part-time.
Appointment Procedures
The initiative for applying to become an International Scholar may come from either the candidate or an IUPUI faculty member or academic administrator. In either case, a complete application for initial appointment will include (1) a completed appointment recommendation cover sheet; (2) a letter from the responsible academic administrator stating how the nominee is prepared to contribute to the educational goals of students, courses, programs, initiatives, centers, or other activities at IUPUI based on previous experience; (3) a resume of the candidate’s professional background and documentation of substantial prior involvement with the academic mission of the campus; and (4) a draft letter of appointment. Any appointment involving residence at IUPUI that requires a visa will need to be cleared in advance with the Office of International Affairs. Initial appointments require the approval of the dean of the academic unit (or equivalent) and the Dean of the Faculties. Renewed appointments need only approval of the dean or appropriate academic administrator. Recommendations for appointment should be made through the usual academic appointment process and be completed with the entry of an electronic appointment form.

Rights and Privileges
International Scholars are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily commit to observing IUPUI policies regarding Academic Ethics, Research Integrity, Sexual Harassment, and Non-Discrimination; and they will consent to a criminal background check under some circumstances when warranted. When applicable, International Scholars may be asked to observe other specific policies (e.g., Human Subjects) contingent on the nature and scope of their affiliation; when funded collaborative research is involved, International Scholars will have to be approved through the established IUPUI procedures for research integrity. International Scholars may have the privileges of academic appointment with regard to computer access with a personal account, library resources, identification card, business cards, use of facilities, and other privileges as may be stated in the letter of appointment approved by the Dean of the Faculties. Except for occasional short visits to IUPUI, International Scholars are located in other nations, making remote access to resources important. International Scholars are not eligible for salary, fringe benefits, leaves, tenure, participation in faculty governance, or other privileges reserved for employees. International Scholars may be terminated for cause at any time. Extensions or renewals of appointment are to be based on a review of mutual benefit.

International Associate

Classification
Honorary without remuneration

Description
International engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching,
research, and service. An International Associate designation represents a formal yet flexible appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful alignment with and support of the international dimensions of the mission of IUPUI in cooperation with full time faculty or academic administrators. International Associates, however, do not have direct responsibility for supervising IUPUI students or staff.

Qualifications
International Associates are expected to have specific knowledge and expertise deriving from their roles in their home nations or international organizations that allow them to support the mission of IUPUI. Ordinarily, an International Associate will hold a terminal degree appropriate for appointment at the lecturer or higher rank.

Appointing Unit
An International Associate may hold appointment in a department, school, center, or other academic service unit subject to the approval of the senior academic administrative officer of the unit and the Dean of the Faculties.

Term
Appointments are for up to two years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment at IUPUI, whether full or part-time.

Appointment Procedures
The initiative for applying to become an International Associate may come from either the candidate or an IUPUI faculty or staff person. In either case, a complete application for the initial appointment will include (1) a completed appointment recommendation cover sheet; (2) a letter from a center director, chair or dean stating how the nominee is prepared to contribute to the mission of the appointing unit; (3) a resume of the candidate’s professional background; and (4) a draft letter of appointment. Any appointment involving residence at IUPUI that requires a visa will need to be cleared in advance with the Office of International Affairs. Initial appointments require the approval of both the dean of the academic unit and the Dean of the Faculties. Renewed appointments need only approval of the dean or appropriate academic administrator. Recommendations for appointment should be made through the usual academic appointment process and be completed with the entry of an electronic appointment form.

Rights and Privileges
International Associates are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily consent to observing IUPUI policies regarding Academic Ethics, Research Integrity, Non-Discrimination, and Sexual Harassment. Contingent on the scope and nature of their affiliation, International Associates may be asked to observe other specific policies upon initial appointment or renewal of appointment. International Associates may be accorded certain academic privileges as specified in the letter of appointment, including
but not limited to computing access or library privileges. Ordinarily, International Associates are located in other nations and conduct their work through remote means. International Associates do not have any rights or privileges implied by an employment relationship and may be terminated at any time at the discretion of the appointing unit. Renewal or extensions of appointments are to be based on a review of mutual benefit.

**International Affiliate**

Classification  
Honorary without remuneration

Description  
International engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service. An International Affiliate represents a formal yet flexible appointment with academic status at IUPUI for a short term of six months or less. The appointment reflects a colleague’s significant and meaningful alignment with and support of the international dimensions of the mission of IUPUI in cooperation with fulltime faculty or academic administrators, typically in conjunction with a project, course, or activity during a semester or summer session. International Affiliates, however, do not have direct responsibility for supervising IUPUI students or staff. The Affiliate status may appropriately be used for members of program review or accreditation teams, for government officials with specific limited needs and roles, members of a task force or commission, persons teaching in a distance education course for a year or less, a collaborator on a research project for a year or less, and the like.

Qualifications  
International Affiliates are expected to have specific knowledge and expertise deriving from their roles in the community that allow them to support the mission of IUPUI. Ordinarily an advanced degree is expected but exceptions can be made.

Appointing Unit  
An International Affiliate may hold time-limited appointment in a department, school, center, or other academic service unit of up to six months subject to the approval of the senior academic administrative officer of the unit.

Term  
Appointments are for up to six months. Appointments may be renewed but persons with involvement beyond a short time should be appointed as an International Associate or International Scholar. This appointment may not be held concurrently with any other academic appointment at IUPUI, whether full or part-time.

Appointment Procedures  
The initiative for applying to become an International Affiliate may come from either the candidate or an IUPUI faculty or staff person. In either case, a letter from a center director, chair or dean stating how the nominee will contribute to the mission of the
appointing unit and stating the privileges should be sent to the appointee with a copy to the Dean of the Faculties. Any appointment involving residence at IUPUI that requires a visa will need to be cleared in advance with the Office of International Affairs. All International Affiliates must be approved by the dean of the appointing school or by the Dean of the Faculties in academic service units. Recommendations for appointment should be completed with the entry of an electronic appointment form.

Rights and Privileges
International Affiliates are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily consent to observing IUPUI policies regarding Academic Ethics, Non-Discrimination, and Sexual Harassment. Contingent on the scope and nature of their affiliation, International Affiliates may be asked to observe other specific policies upon initial appointment or renewal of appointment. International Affiliates may be accorded certain academic privileges as specified in the letter of appointment, including computing access or library privileges. International Affiliates do not have any rights or privileges implied by an employment relationship and may be terminated at any time at the discretion of the appointing unit.

Review Procedures for IUPUI Administrators

June 13, 1994 (IFC Faculty Affairs Standing Committee)

I. Introduction
Under the leadership of the Chancellor, senior campus administrative officers and school deans, in conjunction with the faculty, are responsible for advancing the objectives and mission of the IUPUI campus. The Chancellor has specific responsibility for ensuring that the incumbents of these offices perform effectively. Consequently, the Chancellor will arrange for the periodic evaluation of administrative officers holding positions that bear directly on the campus-wide teaching and research mission of IUPUI and of deans with multiple campus responsibilities who report to the Chancellor in the latter’s capacity as Vice President of Indiana University. Their performance, and the performance of their offices, will be evaluated regularly by a review process. The review applies to those officers reporting directly to the Chancellor of the IUPUI campus, specifically including the deans of schools and the directors of support units organized as responsibility centers; school associate deans who are the directors of IUPUI responsibility centers are to be reviewed by their respective deans through procedures developed in consultation with the Chancellor, generally following these guidelines. In addition, the Executive Committee of the IUPUI Faculty Council may also recommend to the Chancellor that other campus administrative officers be reviewed.
The purpose of these reviews is to assist the Chancellor and other senior administrative officers in meeting the responsibilities of their respective offices and in advancing the mission of the campus (or campuses) by identifying opportunities for greater effectiveness in a collegial fashion. The processes of the academic community must be characterized by reasoned discourse, intellectual honesty, mutual respect, and openness to constructive change. An important aspect of administrative leadership is the candid exchange of views between administrators and their constituents. Although such discussion should occur continuously, periodic reviews offer a special opportunity to evaluate accomplishments and to renew commitments. The review process has thus been established to promote the greater effectiveness of administrative officers by ensuring that they understand and reflect the highest goals and aspirations of the academic community. Reviews are conducted in the expectation that incumbents will become more effective in their roles as a result of constructive evaluation.

The review will be conducted at an initial time to be selected by the Chancellor but not later than early in the fifth year in office and in recurring intervals of at least every five years thereafter. Every possible effort should be made to synchronize administrative reviews with program reviews and periodic reviews by accrediting agencies. School deans and most senior campus administrators serve without fixed terms at the discretion of the Board of Trustees on the recommendation of the Chancellor and President. Periodic reviews afford the Chancellor an opportunity to ensure that these administrators remain effective. The review of administrators within Schools (e.g., assistant and associate deans, directors, or department chairs) should be determined by each School in accord with its own procedures.

II. Committee Selection

Review committees will be appointed, according to the following provisions, by the Chancellor.

A. A majority of the members of the review committee will consist of tenure-track and, where appropriate, clinical faculty. The committee will normally consist of no less than five nor more than eleven members. Review committee size should be kept to a minimum consistent with representing all necessary constituencies. Ordinarily, a dean of comparable rank will be appointed to committees reviewing School deans.

B. In reviews of academic administrative officers of a particular school, a majority of members of the review committee will be chosen from a list of faculty from that school recommended by an appropriate elected faculty body of the school; the list should contain roughly one-third more names than requested by the Chancellor. In reviewing administrative officers other than school deans, the faculty members will be identified by the IUPUI Faculty Council Executive Committee as noted below. The deans of schools with programs offered on other campuses in addition to IUPUI will be reviewed in accord with the procedures outlined below, except that faculty from other campuses will be
included on the review committee in approximate proportion to the degree they comprise the faculty of the school. The elected school body should make its recommendations in accord with this principle.

C. The IUPUI Faculty Council’s Executive Committee will submit a list of prospective review committee members for the balance of the faculty committee membership in the case of school deans and for the full faculty committee membership in the case of campus administrative officers; other members may be appointed as noted below. The list should contain roughly one-third more names than requested by the Chancellor.

D. In addition to receiving nominations for the review committee from the IUPUI Faculty Council, the Chancellor may solicit nominations from representative student and staff bodies as well as other constituencies, as appropriate, including representatives from other campuses when the deans of schools with multi-campus programs are being reviewed.

E. The Chancellor will appoint the review committee chair, ordinarily from among the faculty. With rare exceptions, the chair of the review committee should be a senior, tenured faculty member.

F. The Chancellor may appoint external consultants with the advice of the review committee to prepare reports which would assist members in their work and provide a national perspective on the unit under review.

G. Review committees will normally be established early in the fall semester and each review process will normally be completed early in the succeeding spring semester, or before. Most reviews should take only a matter of weeks or a few months to complete, but each review committee will be assured of enough time to complete its work in a manner consistent with its charge.

H. Each spring the Chancellor will confer with the Faculty Council Executive Committee about the administrators to be reviewed during the next academic year; a tentative list of officials to be reviewed will be announced by the Chancellor at the last meeting of the IUPUI Faculty Council in the spring.

I. The Chancellor and President of the Faculty Council will confer with their counterparts on the Bloomington or other campuses as necessary to ensure that review committees of school deans with multi-campus responsibilities are constituted and charged in a manner consistent with the respective deans’ responsibilities.

III. Committee Charge

Although reviews are conducted to assist the Chancellor in evaluating the effectiveness of senior administrators, faculty (pursuant to the IUPUI Faculty Constitution, Article I.A.) and other constituents have an interest in both the review process and the results. To ensure that the broad interests of the faculty are adequately and routinely addressed, the Chancellor will confer with the Secretary of the IUPUI Faculty Council about reviews. Specifically, the Chancellor and the Secretary of the IUPUI Faculty Council will convene the committees for reviews; in the case of deans with multi-campus responsibilities, the
Secretary of the IUB Faculty Council (or other campus council when appropriate) may be asked to participate if the Chancellor deems that circumstances warrant.

The Chancellor will provide the review committee with a description of the duties and responsibilities of the administrator under review and reports of previous reviews. Individuals to be reviewed will provide a statement of their own goals and objectives. The Chancellor will assure that the administrator under review meets reasonable requests by the review committee for information as well as arrange for reasonable and adequate staff and financial support for the activities of the review committee. The review committee will establish its own procedures, provided that it responds with data to the following questions as a minimum:

A. Has the administrator exercised appropriate leadership of the unit in establishing, maintaining, and facilitating clear goals and objectives?
B. How effectively does the administrator represent the unit to persons outside the unit, including peers nationally?
C. How successful has the administrator been in managing the human and financial resources of the unit in the face of competing pressures or uncertainty?
D. How is the unit perceived by its faculty and staff? How is the unit perceived on campus, system, state, and national levels?
E. How effectively has the administrator led the unit in carrying out unit and campus policies, including affirmative action plans and the unit’s five-year plan?
F. What are the administrator’s strengths and weaknesses and their impact upon effectiveness?

All faculty (or employees of a service unit) should be given an opportunity to comment on the administrator’s effectiveness by responding to a survey that includes the above questions (among others developed by the committee) and by providing additional narrative comments. Administrators being reviewed must be given access to survey results and to other materials considered by the review committee along with an opportunity to respond or to comment before the committee prepares its report. Review committees will not consider anonymous submissions. Surveys should be administered in accord with customary practices designed to ensure the integrity of the process and to protect the identity of respondents by removing the names of respondents before survey results are released to the administrator under review, the Chancellor, or others. Other University officials with whom the administrator interacts routinely should also be asked to comment, particularly in the case of school deans with multi-campus responsibilities.

IV. The Report
Prior to submitting a final report to the Chancellor, the review committee should meet separately with the official being reviewed and then with the Chancellor to discuss the findings of the report. The administrator under review should be given an opportunity to respond to the committee’s findings before the committee meets with the
Chancellor. The review committee then should make its report in writing to the Chancellor. The Chancellor will respond in writing to the review committee, noting any actions to be taken as a result of the committee’s findings and recommendations. The Chancellor will provide the official reviewed with a copy. The report should consist of a narrative and critique, a summary of the committee’s findings, any external consultant’s reports, and recommendations. In the case of campus officers, the Chancellor will meet with the IUPUI Faculty Council Executive Committee in executive session to discuss the report. In the case of School deans, the Chancellor will provide a report to the faculty of the school.

NOTE: The language of the introduction and of paragraph II.H. has been designed to mandate the periodic review of certain campus administrative officers while permitting flexibility in including other campus officers as circumstances warrant. The following list is indicative of officers who would be eligible for review under the procedures proposed for adoption. The Chancellor is already covered by University Procedures and thus is not included.

- Executive Vice Chancellor and Dean of the Faculties
- Vice Chancellor for Administration and Finance
- Vice Chancellor for External Affairs
- Deans of Schools
- School Associate Deans responsible for campus program administration
- Dean, Student Affairs
- Dean, University Libraries
- Director, Computing Services
- Vice Chancellor, IUPUI Columbus
- Director, Undergraduate Admissions
- Director, Learning Technologies
- Director, Physical Plant
- Director, Human Resources
- Director, Intercollegiate Athletics

(Revised by the Faculty Council June 13, 1994)

Program Review

In Fall 1993, academic deans, the Planning Committee of the IUPUI Faculty Council, and the faculty-led Program Review and Assessment Committee developed guidelines for academic program review. Prior to this time, several individual academic units had been reviewed by discipline-specific accrediting bodies or Graduate School, but the campus had not conducted its own comprehensive reviews. The first academic review
conducted under the new guidelines took place during 1993. The first academic support unit was reviewed in 1994.

Academic program review is a collaborative process designed to bring to bear the judgment of respected colleagues in assessing and improving the quality of academic and service units. This process involves students, faculty, community members, school and campus administrators, and external specialists in the discipline in (1) gathering information about a program (i.e., a department, or a school if the school has no departments), (2) reviewing and analyzing this information during a site visit, (3) synthesizing all available information and making judgments about overall program quality and recommendations for improvements, and (4) following up to ensure that the unit is fully supported in its efforts to address the outcomes of the reviews.

While self-study and peer review are also fundamental components of the external process of accreditation, program review at Indiana University-Purdue University Indianapolis (IUPUI) serves important internal purposes. In its statement of mission and goals this campus has committed itself to continuous improvement of its programs and services, to setting new standards for collaboration and interdisciplinary work, and to strengthening community connections that promote academic and cultural activities as well as economic and human development. Program review at IUPUI places emphasis on (1) involvement of campus administrators and faculty from IUPUI units other than the one undergoing review; (2) linkages between the program and the community it serves; and (3) connections between the review and planning, decision-making and resource allocation at departmental, school, and campus levels. These emphases ensure that the reviews contribute in a fundamentally important way to the attainment of the campus mission and that warranted recommendations for improvement stemming from them are carried out. Programs that are strengthened through peer review will enhance the overall quality and reputation of IUPUI.

Program review at IUPUI increases the sense of shared purpose among its many diverse academic programs and reinforces the need for coordinated planning for the future by all campus units. The involvement of campus administrators in the reviews ensures that meaningful and effective follow-up for each review will occur. The involvement of IUPUI faculty from academic units outside the one being reviewed promotes campus-wide understanding of the contributions of each unit to the mission of the institution. The involvement of community members who have an interest in the program emphasizes the importance of IUPUI's connections with the community it serves and, at the same time, furthers community understanding of the program and of IUPUI. It should be noted that the term 'community' may be construed broadly in this context; some programs may perceive their community to be Indianapolis and central Indiana, others may wish to involve community members from throughout the State, while still others consider the region or the nation as their community.
IUPUI Formal Ceremonies

Formal ceremonies at IUPUI include the New Student Convocation held in August to recognize outstanding entering students and their families; the Chancellor’s Honors Convocation, held each April to honor outstanding performance by IUPUI students, staff, and faculty; and the IUPUI Commencement ceremonies, which are held in May. All faculty, full-time and part-time, are encouraged to participate in these ceremonies. Faculty members also are invited to attend the Indiana University Founders Day ceremonies which are held in Bloomington in the spring of each year as well as the Chancellor’s Employee Recognition Ceremony.

Commencement ceremonies, dedications of new buildings, and other public occasions are planned and implemented through the office of IUPUI’s Vice Chancellor for External Affairs in collaboration with the University offices for University Relations and Alumni Activities. The IUPUI Commencement and Special Ceremonies Committee coordinates such events at the Indianapolis campus.
Section Two

The Academic Appointee and IUPUI

Voting Faculty for IUPUI Governance

The various faculty/librarian ranks and titles used in the Indiana University system are discussed in the IU Academic Handbook as well as in this Supplement, but some clarification regarding what constitutes the "voting faculty" should be made.

For Indiana University system-wide faculty governance, the voting faculty comprises those full-time tenured or tenure-track faculty in the ranks of Professor, Associate Professor, Assistant Professor, and Instructor plus full-time librarians in comparable ranks.

For IUPUI governance; however, additional faculty may vote and participate on the IUPUI Faculty Council and its standing committees. These additional categories include those in Research Ranks and those considered to be "geographic full-time whose voting rights were "grandfathered." New appointments in these categories do not have voting rights at the university. These individuals are primarily School of Medicine faculty at the VA Hospital or Regenstrief Health Center who serve full-time at the University but who on record are categorized as part-time or volunteer because they are paid from non-university accounts. Faculty in these additional categories may not be representatives to the University Faculty Council. Full-time faculty members holding Clinical Ranks do not have IUPUI Faculty Council voting rights.

Academic Appointments at IUPUI

As noted in the academic handbook, the academic work of the university is done by individuals holding academic appointments in different classifications including (among others) tenured and tenure track faculty and librarians, clinical faculty, lecturers, scientist/scholars. While information in the academic handbook thoroughly addresses the expectations and requirements for most types of academic appointments, the following sections are included to address important changes in policies regarding lecturers as well as specifics relevant to special types of appointments established at IUPUI.

Lecturer Appointments
Description of Lecturer track appointments

Lecturers and Senior Lecturers are responsible primarily for teaching and the scholarship of teaching. They are also expected to provide service that supports the academic mission of IUPUI.

**Lecturer:** At the entry level, Lecturers will have completed an appropriate advanced degree or have the appropriate credentials as determined by the hiring department. Lecturers may have organizational and oversight responsibility for the courses in which they teach. They will also perform service for the department or school. Senior members of the department will supervise and mentor Lecturers, according to department policies. After having completed a probationary period satisfactorily, Lecturers are eligible for long-term contracts. Lecturers may apply for promotion to Senior Lecturer by going through the promotion and tenure process appropriate to their current rank (See the Dean of Faculty Guidelines (found at [http://faa.iupui.edu/newFaa/resources/2009PTGuidelinesCLEAN.pdf](http://faa.iupui.edu/newFaa/resources/2009PTGuidelinesCLEAN.pdf)).

**Senior Lecturer:** Promotion to Senior Lecturer is based on demonstration of excellence in teaching, with at least satisfactory performance in service. Senior Lecturers are ordinarily expected to provide leadership in teaching and to contribute to course and curriculum development. Senior Lecturers may have organizational and oversight responsibilities for a course, participate in course and curriculum development, and, where appropriate, provide workshops for colleagues. They may oversee and provide mentoring for full and part-time non-tenure track faculty. Senior Lecturers may also make school and campus contributions beyond the classroom, such as campus service or other professional activities related to teaching or service.

Appointment and qualifications

The qualifications of people hired for Lecturer positions will depend on the needs and standards of departments. Minimal qualifications may be:

1. an advanced degree in a relevant field,
2. experience and instruction in effective teaching within the discipline,
3. an enthusiasm for teaching undergraduates, and
4. a commitment to developing as an educational professional.

Lecturer positions are not intended to lead to tenure-track ones. Appointees who have extensive responsibilities for research or creative endeavors outside their teaching
Responsibilities should be appointed to tenure-track positions. Creation of a new Lecturer position is not intended to be a means of retaining a tenure-probationary faculty appointee who has not been able to demonstrate the performance levels required for tenure.

Orientation, supervision, and mentoring
New Lecturers should be oriented adequately to their responsibilities during their first year in the position. Mentors should be assigned within that first year to assist the Lecturers in their work and to prepare for eventual promotion. Each school and department should establish clear reporting lines of authority for Lecturers and Senior Lecturers.

Appointment contracts
At the time of a Lecturer’s initial appointment, the length of the probationary period shall be specified. Reappointments will depend on performance reviews and the educational needs of a department.

After a maximum of six years of a probationary appointment, the individual’s work and contributions will be reviewed to assess whether to promote the individual to Senior Lecturer with a renewable multiyear contract awarded. (The probationary period may be waived or shortened as agreed upon by the appointee and the department.) Multiyear contracts should be awarded to Lecturers and Senior Lecturers whose professional characteristics indicate that they will continue to serve with distinction in their appointed roles.

Evaluation practices and criteria
All Lecturers and Senior Lecturers will be reviewed annually following standard faculty review procedures. Senior Lecturers should participate in providing peer reviews for lecturers. This evaluation will be based on teaching and service activities.

Appropriate evidence to demonstrate teaching and service achievements may include:
- Student and peer evaluations of classroom teaching
- Review of classroom materials
- Demonstrated student learning
- Teaching and mentoring awards
- Review of instructional innovations
- Presentations at conferences and workshops
- Publications dealing with teaching
- Participation in conferences and workshops relevant to teaching
- Mentoring of other non-tenure track faculty
- Involvement with entry-level students in courses that assist them in transitions to the university
- Mentoring of students, especially those planning to train as teachers
- Participation in grants that fund the scholarship of teaching
Promotion considerations
Promotion recognizes past achievement and indicates confidence that the individual is capable of greater responsibilities and accomplishments. Promotion considerations must take into account the individual’s contribution to the school/campus mission as well as differences in mission of varying primary and unit levels.

Contract renewal/termination or dismissal
For probationary Lecturers, notice of non-reappointment or dismissal shall be given under the same terms as apply to tenure-track faculty during the probationary period (i.e., three months notice if in the first year of appointment, six months notice if in the second year of appointment, twelve months’ notice after two or more years of service.)

The notice periods for dismissal of Lecturers and Senior Lecturers shall be the same as for tenure-probationary faculty.

Lecturers and Senior Lecturers may appeal these decisions to the School’s Faculty Grievance Committee once all administrative levels of appeal within the School have been exhausted. In appealing beyond the level of the school, Lecturers should follow the procedures for requesting a Faculty Board of Review described herein on page 76 of the current edition of the Indiana University Academic Handbook: IUPUI Supplement.

Equitable salaries and fringe benefits
Salaries for Lecturers and Senior Lecturers should be appropriate to their education, qualifications, experience, and responsibilities within their positions. Benefits such as retirement plans, access to health insurance, leaves of absence, and vacation should be equivalent to those offered to tenure-track faculty members.

Professional development
Schools and departments should put into place structures that provide Lecturers with on-going exposure to content and pedagogical developments within their fields. Professional development support should contribute to developing pedagogical practice and be equivalent to that which is provided to tenure-track faculty members.

Creation of new Lecturer positions
The chair of a department or director of a division will recommend the establishment of new Lecturer positions. These recommendations should be based on the teaching and service mission, goals, and needs of the department or division. The Dean of the school and the Dean of the Faculties for IUPUI will review and act upon the requests.

Rights and privileges of Lecturers
Lecturers must follow and are protected by university policies, including those pertaining to faculty hiring and faculty annual reviews.
Lecturers and Senior Lecturers have the right to petition the School Grievance Committees and the IUPUI campus Faculty Board of Review for redress of grievances concerning dismissal, non-reappointment, academic freedom, salary adjustment, or other conditions of work. Lecturers and Senior Lecturers will follow the same procedures as tenure track faculty members in doing so. [Approved at FC020207]

IUPUI Policies Concerning Adjunct Academic Appointments

Most adjunct academic appointees are hired by schools on a temporary basis for term appointments based on changing needs. Subject to review by the Dean of the Faculties, each School is responsible for appointing, evaluating, and reappointing adjunct academic staff in accord with the policies found in the Indiana University Academic Handbook. Consistent with the Academic Handbook and the following general policies, each School should develop its own policies and procedures statement for adjunct academic staff; in the absence of school statements, the following general policies will apply to adjunct academic appointees.

Although most adjunct appointments are made at the rank of lecturer, appointment at other ranks may be approved when credentials and circumstances warrant. Within that framework, the following guidelines apply to lecturers, research associates, scientists, scholars, and other faculty (instructors, assistant professors, associate professors, professors) who are appointed for short-term, less than full-time work. Schools may elect to cover other academic or professional appointees within their policies.

Exceptions
These policies do not apply to part-time persons appointed to line-numbered positions on a continuing basis even though their appointments are less than 100%. Similarly, student academic appointees (i.e., associate instructors, graduate assistants, faculty assistants, and research assistants) are a distinct group and are covered by separate policies; however, policies for student academic employees should reflect the same concerns addressed below and should be stated in writing.

In brief, each academic appointee, whether full- or part-time, should have the benefit of and be advised of policies that govern her or his conditions of employment. The policies are not the same for full-time and part-time academic appointees.

Evaluation
The performance of each adjunct appointee should be reviewed according to a systematic plan. A written statement summarizing the substance of each evaluation should be maintained in department or school files and a copy given to the adjunct faculty member. The Office of the Dean of Faculties should receive a copy of the evaluation protocol for the unit and written notification that reviews have been
completed (and filed) according to the protocol; copies of individual evaluations should not be forwarded unless requested.

Each unit should design its evaluation protocol to meet the needs of the unit and the adjunct appointee for the purposes of professional development and personnel decision. A common component of the evaluation system should be that all courses taught by adjunct persons are evaluated regularly using assessment materials and processes as prescribed by the unit; student evaluations and full-time faculty peer evaluations should ordinarily be components. The adjunct appointee should have the opportunity to attach comments to the evaluation data which become a part of his or her file. Adjunct academic staff should have the option to submit sample materials to demonstrate teaching performance and the obligation to submit specific materials based on the school or department evaluation protocols. Class visitation is strongly encouraged as a part of the evaluation of adjunct lecturers new to the unit or those seeking or needing developmental guidance.

Based on evaluation materials, the responsible unit administrator should provide an evaluation summary and a recommendation regarding future appointment. This summary should be shared with the adjunct appointee and a copy should be filed in the Office of the Dean. Reappointment should not occur in the absence of evaluation data and a positive reappointment recommendation.

Adjunct appointees must recognize that class visitation by the department chair or designee is expected. Adjunct appointees are required to cooperate in evaluation of teaching, including class visitation.

**Continuing Reappointment**

In unusual circumstances, some adjunct academic staff may be reappointed on a continuing basis. Such reappointment should be for a fixed term to meet specific needs, along with other conditions. Specifically, there are no indefinite term appointments for adjunct lecturers. Further, any appointment in excess of 60% for longer than one semester or term is an exception and is subject to written prior approval by the Dean of the school.

Reappointment is based on unit need and performance. When dismissal is based on performance, the adjunct academic appointee may seek a review of the decision within the unit of appointment and according to applicable review procedures of that unit. There is no review process for non-reappointment. In all cases, the review of the Dean of the school will be final; there is no appeal process for dismissal or other grievances beyond the Dean of the appointing school.

**Academic Freedom**

Adjunct academic appointees must observe the Code of Academic Ethics statement of rights, responsibilities, and enforcement procedures found in the Indiana University
Academic Handbook. Complaints by students involving adjunct academic appointees will be resolved in the same manner and with the same assurance of due process as is followed for full-time faculty and as specified in the Code of Student Ethics (or approved School alternative).

**Salary**
Each school will establish salary schedules for adjunct academic appointees annually. The salary schedule must be made available in writing upon request. Salary complaints must be addressed within the school in accord with established procedures.

**Appointments in Non-Teaching, Non-Research Academic Roles**

**Academic Specialist**
This classification requires a specific title. Currently approved titles include, but are not limited to: Director, Manager, Coordinator, Advisor, Community Scholar, International Affiliate, Assistant Dean, and Associate Dean. NOTE: an Academic Specialist may not serve as an Associate Dean for Academic Affairs or in a similar capacity by a different title if the position has direct authority over academic programs such as curriculum or academic personnel. Other titles may be approved by the Dean of the Faculties.

**Description**
This classification has been designated for appointees with academic responsibilities who do not themselves offer courses for credit, or act as principal investigators in research. Such roles will include specialized academic functions such as curating collections, directing specialized academic advising, directing, scheduling, managing, or coordinating courses (but not curriculum development), administering research protocols, overseeing civic engagement, and general academic administration. Appointment in this classification will reflect the individual’s significant and meaningful contributions to meeting the educational objectives for the unit and campus. These may often be less than full time positions, but they may be coupled with actual teaching or research positions to total a full-time, benefits-eligible appointment for an individual.

**Qualifications**
Individuals serving in an Academic Specialist position must have at least a Masters degree in a field that will provide them the required expertise to perform the administrative or collaborative work required in their area.

**Appointing Unit**
An Academic Specialist may hold appointment in a department, school, center, or academic service unit subject to the approval of the senior academic administrative officer of the unit and the Dean of the Faculties.
Term
Appointments may be multi-year and are renewable, as permitted by funding. These are not tenure-eligible positions. Appointments at less than 100% FTE may be held in conjunction with other academic appointments to total 100% FTE. Required notice of termination is one pay period prior to termination date; it is expected that notice will be three months in the first year of appointment, six months in the second year of appointment, and 12 months thereafter.

Appointment Procedures
The unit wishing to create an Academic Specialist appointment must follow the general procedures for first creating the position – developing a job description for the vacancy and submitting it for approval through the FAA and Office of Equal Opportunity (OEO). When the unit is ready to make an offer to an applicant, a draft offer letter, three letters of recommendation, a description of the position responsibilities, and a copy of the candidate’s curriculum vitae should be sent to FAA for review and approval. In the case where an individual has performed such responsibilities in a staff capacity and the decision is made that the position should be converted to that of an Academic Specialist from a Professional Appointment (PA), then such a proposal should be made in writing to the Dean of the Faculties. If the unit wishes to keep an incumbent in the role, a request for exception to a search must be made to the Director of OEO. If approved, documentation must accompany the offer packet. A Background Check must be performed for all new employees of Indiana University.

Responsibilities, Rights and Privileges
Academic Specialists are employees of Indiana University and are subject to IUPUI and Indiana University regulations, including academic policies, to include, but not limited to, policies regarding Academic Ethics, Sexual Harassment, and Non-Discrimination. Academic Specialists have the privileges of computer access, library resources, parking, and other use of facilities granted academic appointees at IUPUI. They are eligible for fringe benefits, if working at an appropriate FTE, and leaves. They have the right to notice of termination as noted above. They are not eligible to participate in faculty governance, and are not eligible for tenure.

Administrative Titles for Academics

Associate Dean
Specific duties are identified for each area of responsibility, such as Associate Dean for Research, for Undergraduate Studies, for Academic Affairs, for Financial Affairs, for External Affairs, for Development, for Technology Services, for Clinical Programs, for Civic Engagement, and so forth.
Classification
This is a title used within a School or other academic unit, which is the “appointing unit.” It is not itself a classification, and the title can be used only in conjunction with an academic appointment. The duties of an academic appointee as associate dean may be part-time, but the actual academic appointment ordinarily must be full-time (e.g., as associate dean might have half-time administrative duties and teaching, research and professional service duties for half-time). The holder of this title will have a full-time academic appointment. If the appointee is not a tenured faculty member, the appointment may be a full time academic appointee as lecturer, professor (any rank), clinical professor (any rank), research professor (any rank), or academic specialist or may be a mix of academic and faculty appointment, such as an academic specialist with a part-time co-appointment as a lecturer or assistance or associate professor. Clinical faculty or academic specialist may not hold appointment as Associate Dean for Academic Affairs, although appointees in these classifications may hold associate dean titles in other areas. In the special case of an Associate Dean for Academic Affairs, the rank of tenured associate or full professor is required. Academic Affairs includes full or partial responsibility for academic appointments, promotion, tenure, or annual review of other academic appointees, new course or degree approval, program review, or other functions that directly impact the academic mission of the unit.

Description
This position is associated with oversight for administrative work such as teaching, research, civic engagement, student services, financial management, development and so forth. As noted above, academic units will be best served by requiring that those individuals holding administrative appointments with direct authority for academic programs have the full range of academic qualifications associated with the tenure track, as well as the fuller protection of academic freedom that tenure provides.

Qualifications
A tenured faculty appointment is required for an Associate Dean for Academic Affairs (or other Associate Dean position with responsibility for academic affairs as defined above). The masters degree is the minimum academic credential for all associate dean titles. Other requirements appropriate to the particular responsibilities of the Associate Dean may be added by the school.

Appointment Procedures
Associate Deans may be recruited from within the school or external to IUPUI. In either case, normal academic search procedures must be followed, and a recommendation to offer appointment must be approved by the Dean of the Faculties.

Term
The term of an Associate Dean appointment will be stipulated at the time the appointment is made, but the title may be discontinued at any time at the discretion of the appointing officer. Non-reappointment or termination of appointment is made in accord with the policies applicable to the academic appointment. In each instance, the notice requirements will be observed as stipulated for each academic classification. Tenured faculty may have the title reviewed at the discretion of the appointing officer but may be terminated from the faculty appointment only in accord with applicable policies.

**Responsibilities, Rights and Privileges**
Appointment as Associate Dean will not alter, increase or diminish the responsibilities, rights and privileges already inherent in the fact of being an academic employee of IUPUI and Indiana University. The title of associate dean is awarded by the appointing officer after approval by the Dean of the Faculties and may be removed by the appointing officer by the same process at any time without affecting the right to notice or a grievance procedure as appropriate to the academic appointment.

**Assistant Dean**
Specific duties are identified for each area of responsibility, such as Assistant Dean for Development, for Resource Management, for Facilities, for Advising, or for Student Services.

**Classification**
The Assistant Dean position may be posted as either an academic position or a professional administrative position (PA). If it is posted as a PA position, it must be at the rank of PA04 or higher. If it is an academic appointment, the appointee must qualify for an academic classification. In the instance of academic appointments, the appointee must have a full-time appointment in an academic classification; duties as Assistant Dean may be part-time in combination with other academic responsibilities.

**Description**
This position/title may be used for administrative duties associated with the coordinating and management functions of the school.

**Qualifications**
If position is to be PA, then consultation with Human Resources must be held in order to create the position at the appropriate level (PA04 or higher). If position is to be academic, the expected academic credential associated with the position is minimally a masters degree. (If the academic specialist classification is used for the position, a master’s degree is required.) However, in some cases, exceptions will be made for candidates holding only a baccalaureate, based on the duties of the position and relevant past experience of the candidate; in these instances, the appointment will be as a PA.
Appointment Procedures
Assistant Deans may be recruited from within the school, or external to IUPUI. In either case, if the appointment is to be academic, normal academic search procedures must be followed, and the recommendation to offer must be approved by the Dean of the Faculties. If the position is to be a professional administrative staff appointment, the appointing unit must follow the guidelines set by Human Resources and also submit the proposed candidate’s documentation to the Dean of the Faculties for approval.

Term
If the appointment is a professional administrative staff appointment, there is no stipulated term definition required. Notice of non-reappointment or termination is made in accord with established HR policies. In the case of an academic appointment, the title may be discontinued at any time at the discretion of the appointing officer. Non-reappointment or termination is made in accord with the policies applicable to the academic appointment. In each instance, the notice requirements will be observed as stipulated for each academic classification. Tenured faculty may have the title reviewed at the discretion of the appointing officer but may be terminated from the faculty appointment only in accord with applicable policies.

Responsibilities, Rights and Privileges
Appointment as Assistant Dean will not alter, increase, or diminish the responsibilities, rights and privileges already inherent in the fact of being either an academic or professional administrative staff employee, as applicable, of IUPUI and Indiana University. The title of assistant dean is awarded by the appointing officer after approval by the Dean of the Faculties and may be removed by the appointing officer by the same process at any time without affecting the right to notice or a grievance procedure as appropriate to the academic appointment or the PA appointment as appropriate.

Other Academic Appointments

Scientist/Scholar
While a complete description of appointments to the research ranks is available in the Academic Handbook, it is important to note that in certain cases alternative titles may be used for the Scientist/Scholar ranks. For individuals serving as primary investigators and applying for external grants, it may be advantageous to have a “professorial” title. Therefore it may be requested that the following titles be assigned:

Assistant Scientist/Scholar = Assistant Research Professor
Associate Scientist/Scholar = Associate Research Professor
Senior Scientist/Scholar = Senior Research Professor
Research Associate
Research associates are professional academic appointees who are full-time researchers, but do not qualify for appointment to a research rank. On the IUPUI campus, they have representation on the Staff Council, and special grievance procedures have been developed for research associates and other academic staff who do not have access to Faculty Board of Review procedures.

Adjunct Faculty
These are faculty appointed to short term (up to one year) assignments. No appointment, whether initial or recurring, may exceed 60% of full-time load (typically one three-credit-course equals a 20% appointment), inclusive of appointments in all units, without written prior approval of the Dean of the Faculties; appointments in excess of 60% will ordinarily not be approved for more than one semester. The review by the Office of the Dean of the Faculties is intended to avoid situations where cumulative appointments for a single individual in several schools might exceed allowable guidelines.

Each part-time appointee is entitled to receive a written offer of appointment which states the term, salary, and any particular conditions imposed by the appointing unit for the appointment. If the appointment is contingent on a certain threshold level of student enrollment in a course, for example, this condition must be stated; if part-time academic staff may be "displaced" by full-time faculty, this procedure should be explained.

Associated Academic Roles at IUPUI
The following roles are honorary academic appointments, without remuneration. They may be held in a department, school, center, or academic service unit subject to the approval of the senior academic administrative officer of the unit and the Dean of the Faculties.

These individuals are not employees of Indiana University and are not subject to IUPUI or Indiana University regulations except that they will voluntarily consent to observing IUPUI policies regarding Academic Ethics, Non-Discrimination, and Sexual Harassment. Contingent on the scope and nature of their affiliation, they may be asked to observe other specific policies upon initial appointment or renewal of appointment. They may be accorded certain academic privileges as specified in the letter of appointment. They do not have any rights or privileges implied by an employment relationship and may be terminated at any time at the discretion of the appointing unit. Renewal or extensions of appointments are to be based on a review of mutual benefit.

The initiative for such appointments may come from either the candidate or an IUPUI faculty member or academic administrator. In either case, a complete application for
initial appointment will include (1) a completed cover sheet; (2) a letter from the responsible academic administrator stating how the nominee is prepared to contribute to the educational goals of students, courses, programs, initiatives, centers, or other activities at IUPUI based on previous experience; (3) a resume of the candidate’s professional background and documentation of at least one year’s prior involvement with the academic mission of the campus; and (4) a draft letter of appointment. Recommendations for appointment should be made through the usual academic appointment process and be completed with the entry of an electronic appointment form. Any international appointment involving residence at IUPUI that requires a visa will need to be cleared in advance with the Office of International Affairs. Initial appointments require the approval of the dean of the academic unit (or equivalent) and the Dean of the Faculties. Renewed appointments need only approval of the dean or appropriate academic administrator.

Community Appointments
Civic engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service.

Community Scholar
The Community Scholar title may be modified by the use of one of the terms: Teaching, Research, or Service. Alternative titles: Field Experience Supervisor; Clinical Supervisor.

Description
A Community Scholar represents a formal, flexible, and term appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful contributions to meeting educational objectives for IUPUI students through community-based learning activities, research, or professional service. A Community Scholar is expected to engage in these activities though such roles as supervising community-based internships, clinical placements, field experiences, or service learning; teaching in an approved curriculum of the Community Learning Network or other formally recognized school-based continuing education program; serving as a co-teacher from another state or nation via distance education; participating in organized research conducted under the auspices of an academic or academic service unit; or providing direct services at a high level of responsibility in cooperation with an academic unit of the campus. The Community Scholar is differentiated from an adjunct appointment by not having direct responsibility and authority for teaching (i.e., awarding credit), research (i.e., receiving grants or contracts), or implementation of service (i.e., obligating the institution).

Qualifications
Community Scholars are expected to have expertise through experience or training that prepares them to contribute to the educational achievement of students in community-
based learning. A record of sustained participation with academic units of IUPUI is expected, ordinarily of at least a year’s duration. Recommendation for appointment should be based on a documented record of significant contribution (e.g., certification as a qualified internship or field experience supervisor). The candidate’s expertise and training must be broadly consistent with clearly understood learning objectives, research objectives, or professional service. Ordinarily, a Community Scholar will hold a baccalaureate or advanced degree.

**Term**

Appointments are for up to five years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment whether full or part-time.

**Community Associate**

**Description**

A Community Associate represents a formal yet flexible appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful alignment with and support of the mission of IUPUI in cooperation with full time faculty or academic administrators. Community Associates, however, do not have direct responsibility for supervising IUPUI students or staff.

**Qualifications**

Community Associates are expected to have specific knowledge and expertise deriving from their roles in the community that allow them to support the mission of IUPUI.

**Term**

Appointments are for up to two years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment whether full or part-time.

**Visiting Community Associate**

**Description**

A Visiting Community Associate represents a formal yet flexible appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful alignment with and support of the mission of IUPUI in cooperation with full time faculty or academic administrators. Visiting Community Associates, however, do not have direct responsibility for supervising IUPUI students or staff. The visiting status is designed to accommodate a person with a short-term involvement with IUPUI of six months or less. It may appropriately be used for members of program review or accreditation teams, for government officials with specific limited needs and roles, members of a task force or commission, and the like.
Qualifications
Visiting Community Associates are expected to have specific knowledge and expertise deriving from their roles in the community that allow them to support the mission of IUPUI.

Term
Appointments are for up to six months. Appointments may be renewed but persons with involvement beyond a short time should be appointed as a Community Associate or Community Scholar. This appointment may not be held concurrently with any other academic appointment whether full or part-time.

International Appointments
International engagement at IUPUI takes many different forms and draws upon both institutional and external resources to meet educational objectives across teaching, research, and service.

International Appointees may have the privileges of academic appointment with regard to computer access with a personal account, library resources, identification card, business cards, use of facilities, and other privileges as may be stated in the letter of appointment approved by the Dean of the Faculties. Except for occasional short visits to IUPUI, International Scholars are located in other nations, making remote access to resources important. International Scholars are not eligible for salary, fringe benefits, leaves, tenure, participation in faculty governance, or other privileges reserved for employees. International Scholars may be terminated for cause at any time. Extensions or renewals of appointment are to be based on a review of mutual benefit.

International Scholar

Title
The International Scholar title is similar to the Community Scholar and should be viewed as its equivalent. On occasion, the title may be modified by the use of one of the terms: Teaching, Research, or Service.

Description
An International Scholar represents a formal, flexible, and term appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful contributions to meeting IUPUI’s mission through internationally-based learning activities, research, or professional service. An International Scholar is expected to engage in these activities through such instructional roles as supervising students in study abroad programs including service learning, internships, and clinical rotations, serving as a mentor to graduate students or faculty in sustained academic work in a nation or region outside the United States, or participating in an instructional program through distance education on more than an occasional basis; through
collaborating in research that continues beyond a year; or through providing direct services at a high level of responsibility in cooperation with an academic unit of the campus. The International Scholar is differentiated from an adjunct appointment by not having direct, sole responsibility and authority for teaching (i.e., awarding credit), research (i.e., receiving grants or contracts), or implementation of service (i.e., obligating the institution). The International Scholar will have another nation as the principal site for work and living and will not hold any permanent visa status with the United States.

Qualifications
International Scholars are expected to have expertise through experience or training that prepares them to contribute to the academic mission of IUPUI. Recommendation for appointment should be based on a documented record of prior significant contribution for a sustained period (e.g., certification as a contributor to distance learning, collaboration on externally funded research) or an expectation that the candidate will be in residence at IUPUI for at least a semester. The candidate’s expertise and training must be broadly consistent with clearly understood learning objectives, research objectives, or professional service. Ordinarily, an International Scholar will hold a terminal degree appropriate for a professorial appointment.

Term
Appointments are for up to five years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment at IUPUI, whether full or part-time.

International Associate

Description
An International Associate designation represents a formal yet flexible appointment with academic status at IUPUI. The appointment reflects a colleague’s significant and meaningful alignment with and support of the international dimensions of the mission of IUPUI in cooperation with full time faculty or academic administrators. International Associates, however, do not have direct responsibility for supervising IUPUI students or staff.

Qualifications
International Associates are expected to have specific knowledge and expertise deriving from their roles in their home nations or international organizations that allow them to support the mission of IUPUI. Ordinarily, an International Associate will hold a terminal degree appropriate for appointment at the lecturer or higher rank.

Term
Appointments are for up to two years. Appointments may be renewed. This appointment may not be held concurrently with any other academic appointment at IUPUI, whether full or part-time.
International Affiliate

Description
An International Affiliate represents a formal yet flexible appointment with academic status at IUPUI for a short term of six months or less. The appointment reflects a colleague’s significant and meaningful alignment with and support of the international dimensions of the mission of IUPUI in cooperation with fulltime faculty or academic administrators, typically in conjunction with a project, course, or activity during a semester or summer session. International Affiliates, however, do not have direct responsibility for supervising IUPUI students or staff. The Affiliate status may appropriately be used for members of program review or accreditation teams, for government officials with specific limited needs and roles, members of a task force or commission, persons teaching in a distance education course for a year or less, a collaborator on a research project for a year or less, and the like.

Qualifications
International Affiliates are expected to have specific knowledge and expertise deriving from their roles in the community that allow them to support the mission of IUPUI. Ordinarily an advanced degree is expected but exceptions can be made.

Term
Appointments are for up to six months. Appointments may be renewed but persons with involvement beyond a short time should be appointed as an International Associate or International Scholar. This appointment may not be held concurrently with any other academic appointment at IUPUI, whether full or part-time.

Reviews and Reappointment Procedures
for Probationary Faculty

Types of Reviews
Following is a description of the various types of reviews conducted during a faculty member’s probationary period:

Annual Reviews
IUPUI observes a mandated annual review policy for all faculty. This review is normally conducted by the principal administrative officer of the department or school in which the faculty member holds an appointment. The purpose of the annual review is to provide input on the faculty member’s progress in the areas of teaching, research, and service, leading to the tenure review year (or, for non-tenure track faculty, to
reappointment on a long-term contract) and to promotion. Annual reviews also provide information for use in salary recommendations and other assessments. To be most beneficial to the faculty member, these reviews should be candid and critical appraisals of the faculty member’s work, and should call attention to weaknesses as well as strengths. Although campus and university policies do not require annual peer reviews, they are strongly recommended, and some school bylaws may make such a provision.

Reappointment Recommendations
Inherently, the reappointment recommendation constitutes a written form of review. After the period of initial appointment, reappointment is considered annually until the end of the probationary period, and thereafter, for non-tenured faculty, at intervals one year prior to the end of a multi-year appointment. Most schools base reappointment recommendations on the annual review, but faculty subject to annual appointment should become familiar with the procedures followed in their respective units. Although campus and University policies do not require committee reviews for reappointment, some school bylaws make such a provision.

Three Year Review
To ensure that all tenure-probationary faculty members benefit from helpful and meaningful assessments of their progress toward promotion and tenure near the midpoint of their probationary period, a THREE-YEAR FORMATIVE REVIEW shall be conducted on all such faculty members during the spring semester of the third year of their appointments in accordance with the following guidelines.

This policy applies to all tenure-probationary faculty members at IUPUI, with the exceptions noted immediately below. The term “third year” refers to the third full academic year of the tenure-probationary faculty member’s appointment. However, faculty members who enter with one year of credit toward tenure are in their “third year” during their second full academic year of appointment, and those who enter with two years of credit are in their “third year” during their first full academic year of appointment. Those who enter either with tenure or with more than two years of credit toward tenure are exempt from the REVIEW.

The chief purpose of the REVIEW is to provide tenure-probationary faculty members with feedback from the school or unit level review committees regarding their cumulative progress toward promotion and tenure. Hence, other than the department chair or school dean, involvement by the department’s Primary Committee (where applicable) and/or the school’s Unit Committee (where applicable) in the REVIEW is essential.

Fourth Year Review
If requested by the faculty member or required in current school policies when a faculty member’s three-year review revealed significant issues, a fourth-year review should be conducted. The purpose of this review is to give continuing feedback about the candidate’s progress toward tenure and promotion.
Tenure Review
The tenure review involves separate and independent evaluations and is distinctly different in form and substance from either annual review or reappointment recommendations. The annual reviews are predictive, but they do not constitute a cumulative record indicative of the results of the separate tenure review. The three year review does provide a multi-year assessment, and should provide specific feedback in time for the candidate to take corrective action if needed prior to the tenure review. The tenure review is a multi-level review, conducted at the primary, unit, campus, and university levels.

Faculty Annual Summary Report
IUPUI faculty are required to complete a Faculty Annual Summary Report. The format and contents of this report is determined by the academic unit, as is the due date (although most schools require completion of this annual report in January for the previous calendar year, the School of Medicine requests that this be completed based upon the fiscal year). The information provided by the faculty member is used in completing the Annual Review, determining awards or bonuses if offered, and providing reports to school, campus and university administration on faculty achievements.

IUPUI Tenure and Promotion Policies and Procedures
University policies regarding tenure and promotion may be found in the University Academic Handbook. In accordance with these policies, the IUPUI Dean of the Faculties annually sends out guidelines to the academic deans and directors and they are posted on the Dean of Faculties’ web site (found at http://faa.iupui.edu/newFaa/resources/2009PTGuidelinesCLEAN.pdf). Faculty members and librarians should become knowledgeable about dossier contents and preparation as early as possible during their probationary period. The campus and schools annually present workshops related to preparing for promotion and tenure and candidates should take advantage of these resources.

Subject to customary review and approval, schools establish their own internal procedures and policies for reviewing faculty for promotion and/or tenure consistent with university policy.

Earlier-than-Normal Tenure Recommendations
The Academic Handbook. The following provides additional information about procedures for early tenure at IUPUI.
In supporting a campus recommendation to the Board of Trustees regarding the granting of earlier-than-normal tenure, the Office of the Vice President and the Dean of the Faculties must be convinced that the following conditions exist or will be met:

(a) An extraordinary case, justifying a shortening of the probationary period, does exist.

(b) The case must be made on the merits of the candidate's accomplishments.

(c) The exceptional case will have been subjected to the same rigorous evaluation by faculty committees and administrators at all levels that currently pertains to a normal recommendation regarding tenure.

(d) Candidates for earlier-than-normal tenures must recognize that the review of their case may result in a recommendation of non-reappointment; there is no assurance of being eligible for review in a subsequent year if the earlier-than-normal review is unsuccessful.

(e) No promise, either expressed or implied, regarding early tenure should be made, including promises at the time of initial appointment.

A candidate for earlier-than-normal tenure should discuss the review with the department chair or dean to ensure that the candidate understands the process and realizes that the review may result in notice of non-reappointment.

Reviews for earlier-than-normal tenure will be conducted as a part of normal procedures, at the same time, with the same requirements for documentation, and with the same regard for the criteria normally applied. Early tenure cases will ordinarily be referred to the campus level faculty review committee for evaluation. Purdue mission faculty should be recommended for promotion (or already hold the rank of associate professor or professor) to be considered for earlier-than-normal tenure, in accord with Purdue University policies.

**IUPUI Policy on Stopping the Tenure Clock**

Under unusual circumstances an untenured probationary faculty member or librarian may request in writing an extension of the time preceding his or her tenure review. Such an extension is ordinarily not to exceed one calendar year. Either a professional or a personal emergency (e.g., a substantial change in one’s health or work environment, or in one’s public service or care giving responsibilities) may be an appropriate reason for requesting such an extension. (Within each academic unit, faculty may also recommend unit-specific policies and procedures.) Any probationary period extension must be approved in writing by one's department chair (if applicable) and academic dean and by the Dean of the Faculties, and is to be recorded as an addendum to the faculty
member’s or librarian’s “Notice of Terms of Initial Appointment.” This policy is separate from policies regarding leaves of absence without pay (or with partial pay).
(Approved by the Faculty Council February 3, 1994)

Teaching Loads at IUPUI

Teaching Loads: Full-Time Faculty
The Trustees of Indiana University have established an expectation that faculty in most schools on the IUPUI campus will teach six sections per academic year, taking into account the higher research expectations of this campus in comparison with the regional campuses where eight sections per year is the norm. However, the Trustees also recognize that the actual teaching assignment for an individual can best be determined at the department or school level. For this reason, the Trustees have set specific expectations for departments and schools in terms of the whole unit instead of individuals. As long as the department or school is meeting its expected capacity, individual faculty may have differentiated teaching assignments to take into account research, administration, professional service, and service to the University. Department chairs and deans are responsible for establishing the teaching assignments of individuals consistent with the expected capacity of the whole unit.

Overload Teaching: Full-Time Faculty
Credit and non-credit courses taught in addition to a normal load are considered overload. "Normal load" is evaluated in the context of the faculty member’s academic unit and is equivalent to the unit’s and the institution’s estimate of a full-time combination of teaching, research, and service functions. Overload teaching is considered to be in the category of outside activities, and will be counted as part of the 20 percent allowable outside activities for faculty.

Therefore, amounts of time and remuneration are not to exceed the guidelines stated in the policy on Outside Activities and Extra Compensation in the University Academic Handbook. Prior notice to, and approval by, the faculty member’s administrative officer are required before the beginning of overload activity. Generally, overload is not permitted within the faculty member’s school or division. That is, a faculty member may not teach regularly on an overload basis within the department or school to which that faculty member has been appointed. Questions concerning faculty overload which cannot be resolved at the academic unit level should be referred to the Dean of the Faculties Office at IUPUI.
Faculty Awards
Faculty Appointments and Advancement (FAA) promotes and administers campus awards for faculty such as the Chancellor’s Award for Excellence in Teaching, the Bynum Mentor Award, the Irwin Research Scholar Award, and the Chancellor’s Professorships.

FAA also serves as the IUPUI conduit for the selection procedure for system awards such as the President’s Distinguished Teaching Awards, the W. George Pinnell and John W. Ryan Service Awards, and Distinguished Professorships. Dossiers of successful applicants for these awards are available for review online at the FAA website. For more information on eligibility, award criteria, deadlines, nomination procedures, and dossier examples see http://faa.iupui.edu/awards.asp#void.

Trustees Teaching Award (TTA)
In June 2000, the Trustees of Indiana University reviewed the Teaching Excellence Recognition Award (TERA), which had been established by the Trustees in 1997. The Trustee’s review process considered surveys of faculty across the campuses of IU and resulted in a series of recommended changes. The Trustees formally replaced TERA with the Trustee’s Teaching Award (TTA) in June 2000 and amended the new award in January and February 2001. It is to be awarded before the completion of each academic year to tenured and tenure track faculty and to clinical faculty and full-time lecturers. The TTA is to be awarded to those from among these groups who have demonstrated they are the best teachers. The amount of the award will be $2,500, and it will be given to no more than 6% of the total eligible faculty in each of the two categories.

Salary Information
IUPUI Campus Salary Policy for Faculty and Librarians
In April 1989 the University Faculty Council adopted a set of principles guiding the Indiana University faculty and librarian salary policies. Whereas these principles apply to all of Indiana University’s eight campuses, formulation of salary policies remains an individual campus prerogative. Consistent with the five-year academic plan of each school, as expressed in the 1988-2000 IUPUI development plan, and in keeping with the dispersion of operational control inherent in adoption of Responsibility Center Management, authority for implementing salary policies is delegated to the individual units. Annual performance review to assure adherence to the principles, as well as to the academic plans, will be carried out at the campus level by the Chancellor and the Dean of the Faculties, in consultation with the Budgetary Affairs Committee of the IUPUI Faculty Council
1. All responsibility centers will establish their own written salary policy (which should be reviewed annually), consistent with University policies and procedures found in the Academic Handbook (August, 2008, pp. 115-116). These individual unit salary policies will also be reviewed annually by the Dean of the Faculties in consultation with the Faculty Council Budgetary Affairs Committee.

2. The dean of each school (or director of each responsibility center) will be responsible for administering all unit allocations. The dean or director of each unit will allocate salary increases in accord with the unit’s written policy statement and based on the unit’s available resources. Salary increases for faculty should be based on merit and performance. University priorities should be addressed in salary decisions, subject to resource availability. Each expenditure budget will be reviewed for consistency with the unit’s annual academic plan by the Dean of the Faculties.

Salaries as Public Records

IUPUI Dismissal Procedures for Tenured Faculty and Librarians

In accord with University policy, dismissal of tenured faculty or librarians shall occur only for reasons of incompetence, serious personal or professional misconduct, or extraordinary financial exigencies of the University.1 Faculty who are not yet tenured but earning credit toward tenure are subject to review and reappointment during their probationary periods. A separate policy applies to these faculty: “Policies Governing Reappointment and Non-reappointment During Probationary Periods.”

The purpose of tenure is to protect and preserve academic freedom and to provide economic security. In no case shall the exercise of academic freedom be construed as professional incompetence or misconduct. University policies shall be observed, particularly concerning equal opportunity, academic freedom, academic ethics, and discrimination. No dismissal of a faculty member or a librarian shall be based on:

1. One's age, sex, color, race, national origin, religious preference, status as a veteran, political preference or allegiance, or sexual preference;

2. One's physical or emotional condition, whether legally a handicap or disability or not, except and only insofar as this condition demonstrably and seriously limits one's
professional competence and was either unknown or nonexistent at the time of one's original employment (nothing in this statement precludes the faculty member's or librarian's right to disability coverage or the University's responsibility to place disabled employees on leave in accord with established policies);

3. One's performance in an area which one has been assigned without sufficient opportunity to prepare;

4. One's understanding of, or approach to, or method of pursuing an area of expertise as invidiously compared to what is considered merely preferable by others in the same or other similar discipline;

5. One's salary as an employee of the University;

6. Sources of income or other support available to one from sources other than the University unless there is a clear link to the allegation;

7. The retirement benefits for which one is eligible;

8. Unsubstantiated complaints either from within or from outside the University, even if job-related.

To the extent possible, all dismissal proceedings shall be kept confidential.

If a faculty member or librarian requests a review of an administrative action through the Faculty Grievance Procedures (Bylaws of the IUPUI Faculty Council Article IV), the procedures described within the dismissal documents will proceed concurrently with the Faculty Grievance Procedures.

Once exonerated, a faculty member or librarian shall not be required to answer repeated charges based on substantially the same facts.

I. ALLEGED PROFESSIONAL INCOMPETENCE

Professional competence involves the ability to perform adequately on a continuous basis during the years of appointment the required tasks of a university professor or librarian. The required tasks of a faculty member are defined with regard to teaching, research, and service, as understood in a faculty member’s academic unit and particularly in his or her discipline. The tasks of a librarian are defined with regard to performance, professional development, and service responsibilities as understood within the particular library environment. One's status as a tenured faculty member or librarian at IUPUI establishes a presumption of being professionally competent throughout one’s career through continuing professional growth and development. This presumption is further strengthened by the terms and conditions stated at the time of
one's initial appointment, by one's professional accomplishments documented in ways established by department, school, library and campus policies, and by any changes in one’s professional responsibilities mutually agreed to during the course of employment as a member of the faculty or as a librarian. It is recognized that both institutions and the individuals who comprise them have a mutual responsibility to evolve with changes in the knowledge and practice bases of our respective disciplines.

Professional incompetence on the part of a faculty member or a librarian, respectively, is the demonstrated continuing inability to perform adequately the ordinary duties of teaching, research, and service as expected of faculty within the academic unit or the ordinary professional responsibilities expected of librarians within the unit. The burden of documenting the professional incompetence of a tenured member of the faculty or of a tenured librarian rests with the dean of the academic unit in consultation with the department chair, library director or other appropriate administrator. For this purpose, only information or evidence that relates to the alleged professional incompetence may be considered.

Notice Period Procedure:

A faculty member or librarian must be given adequate primary official notice of alleged deficiencies serious enough to warrant consideration of dismissal proceedings on the grounds of professional incompetence, and the individual must have an adequate opportunity (a notice period of at least two years) to correct deficiencies which may have contributed to professional incompetence. During the notice period, the faculty member or librarian shall have access to the provisions of Plan A of the Policy on IUPUI Faculty and Librarian Review and Enhancement. Participation in a development plan shall not extend the notice period. In an extraordinary situation, the notice period can be set for less than two years, if:

• The faculty member has a history of annual reviews that document performance which does not meet the specific responsibilities described in the Indiana University Code of Academic Ethics, and
• The Dean can justify the determination that attempts to remediate the performance deficits are unlikely to be successful, and
• The Chancellor determines that a shorter notice period is required to protect the interest of the members of the University Community.

Primary official notice must be given in written form to the individual by the dean of the academic unit in consultation with the department chair/library director or other appropriate administrator (all hereafter referred to as “the administrator” throughout this document), and the written notice must specifically mention all alleged deficiencies and also the possibility of dismissal.
The primary official notice should be given in confidence to the faculty member or librarian, but the person must be informed of the means whereby he or she may request an immediate peer review by the appropriate promotion and tenure committee. But, if a majority of the duly constituted promotion and tenure committee is appointed, then the departmental (or unit) faculty or the librarians shall elect a special committee for this purpose as needed. Ordinarily, for faculty the committee to be consulted under this procedure is at the departmental level, but in smaller schools without departments the appropriate committee is the school committee. Librarians may request a review by the Indiana University Librarians Promotion and Tenure Committee. The faculty member or librarian need not request peer review at this stage and may choose to work solely and privately with the dean and the administrator.

When requested by the faculty member or librarian, the appropriate promotion and tenure committee shall review the concerns addressed in the primary official notice and review the individual's performance to assess whether the issuance of the primary official notice of deficiencies was warranted. The committee will prepare a confidential written report of their proceedings and opinion, with a copy going to the dean of the unit, the administrator, and the faculty member or librarian. The report should be submitted no more than 30 days following the submission of the request by the faculty member or librarian. (In cases in which the notice period is less than 30 days, the administrator may proceed with the initiation of the formal proceedings while the committee completes its work.) If the committee finds that the accusation of professional incompetence is not warranted, the dean may withdraw the official primary notice, and if so must send a written notice of such action to the faculty member or librarian and the administrator in a timely manner.

The intent of this notice period is to allow the faculty member or librarian an opportunity to correct any deficiencies contributing to an inability to perform adequately and to seek solutions other than dismissal. Issuance of the primary official notice may not by itself be used as a reason for changing the terms and conditions of his or her employment. However, documented evidence of performance may be used to establish annual salary increases (in accord with university, campus, unit, and departmental written salary polices) or to change work assignments.

If the primary official notice is not withdrawn, the faculty member or librarian may submit evidence of having corrected the alleged deficiencies to the administrator at any time during the notice period. If the administrator believes that all deficiencies have been corrected, he or she shall notify the dean. If the dean agrees that all deficiencies have been corrected, the dean will send a written notice to the faculty member or librarian stating that such is the case and that the question of professional incompetence is closed. If the administrator can demonstrate to the dean that the faculty member is not making progress toward remediating deficiencies during the notice period, the administrator with express permission of the dean of the academic
unit may undertake formal proceedings for dismissal on grounds of professional incompetence.
Formal Proceeding Procedures:

If, at the end of the notice period, in the judgment of the administrator the alleged deficiencies have not been corrected, the administrator with the express permission of the dean of the academic unit may undertake formal proceedings for dismissal on grounds of professional incompetence. The administrator must send written notice of his or her decision to the individual faculty member or librarian in a timely manner.

The administrator will confer with an elected peer committee before issuing any final written recommendation for dismissal. For this stage of the procedure, a special five member peer committee must be elected by the unit faculty and librarians from among the unit’s tenured members holding the rank of professor, associate professor, librarian, or associate librarian, according to procedures established by the faculty of the unit. If the committee is not elected within 30 days after the administrator calls for the formation of a committee, the unit’s promotion and tenure committee will serve as the committee.

The peer committee shall notify the faculty member or librarian that proceedings have been initiated. A faculty member or librarian may request a hearing before the peer committee before that committee makes a recommendation. The request must be made within thirty days of receipt of notification from the administrator, and the faculty member or librarian shall be afforded at least thirty additional days to prepare a presentation to the peer committee. A hearing, if any, should occur no later than 60 days following the administrator’s written notification to the faculty member initiating formal proceedings. The committee deliberations must be concluded and the report filed within 90 days following the initial written notification to the faculty member initiating formal proceedings.

The peer committee will meet privately to the extent permitted by law, examine all evidence, and arrive at a recommendation regarding whether or not the faculty member or librarian is professionally incompetent. At all points in this process, the faculty member or librarian is entitled to know the sources and nature of the evidence, to be present (except during initial organizational meetings and final deliberations) and to confront those alleging incompetence, to have outside experts testify, to be represented by counsel or anyone else of his or her choice, and to present evidence. Similarly, the administrator has a right to be present at meetings (except during initial organizational meetings and final deliberations), to interview witnesses, to have outside experts testify, to be represented by counsel if he or she chooses, and to present evidence.

The peer committee will make a written report regardless of its findings. The committee must file its report within 90 days of the faculty member’s initial notification by the
administrator; the administrator may proceed with the dismissal process after 90 days regardless. If a majority of the peer committee finds that the faculty member or librarian is professionally incompetent, the written report shall state this and the basis for its determination. If the charge of professional incompetence is unsubstantiated, the committee will state this conclusion and the basis for its determination. The written report will be forwarded simultaneously to the faculty member or librarian, to the administrator, and to the dean of the academic unit.

If the peer committee finds that the faculty member or librarian is not incompetent, the committee will recommend that the proceedings terminate and that the administrator withdraw the allegation in writing. If the administrator proceeds with the process despite the peer committee’s findings, the peer committee must be notified and be afforded an opportunity to comment to the dean. All commentary from the peer committee must be a part of the record considered by all subsequent reviewers, who must explicitly address the peer committee’s findings if they disagree with the written record. The administrator must keep in mind that the burden of proof that adequate cause exists rests with the institution and will be satisfied only by substantial evidence in the record considered as a whole.

If the peer committee finds that the faculty member or librarian is professionally incompetent, the administrator shall send his or her written recommendation for dismissal on grounds of professional incompetence to the dean of the academic unit. Within thirty days of the receipt of the administrator’s written recommendation, the dean may proceed with dismissal procedures by forwarding the recommendation along with the peer committee report and his or her own comments to the Dean of the Faculties. The dean of the academic unit will provide a copy of his or her written recommendation to the faculty member or librarian. The Dean of the Faculties will add his or her own recommendation and will forward the entire file, along with any additional comments or responses from the faculty member or librarian, to the Chancellor. The faculty member or librarian must be provided with a copy of all administrative comments and recommendations before they are forwarded to the Chancellor. The Chancellor may choose to proceed with the dismissal of the faculty member or librarian. If so, the Chancellor shall issue via certified mail a written notice of dismissal, which will state with reasonable particularity the grounds for dismissal for professional incompetence. The notice shall state the effective date of dismissal.

In lieu of the one year notice period as required by the dismissal policy stated in the IU Academic Handbook, the faculty member or librarian may be offered an amount equal to his or her salary and fringe benefits for one year unless some other mutually agreeable arrangement is negotiated. The faculty member or the librarian shall have the right to resign at any point in the proceedings prior to notification of dismissal by the Chancellor.
Within ninety days of receipt of the notice of dismissal from the Chancellor, the faculty member or librarian may request a hearing before an IUPUI Faculty Board of Review. In the alternative, a librarian may choose to be reviewed by the Indiana University Librarians Review Board.

(Revised by the Faculty Council 1999)

II. ALLEGED MISCONDUCT

Dismissal of a tenured faculty member or librarian on grounds of misconduct shall be sought only with respect to behavior which constitutes such serious and willful personal or professional wrongdoing as to demonstrate the faculty member or librarian's unfitness to hold his or her academic appointment. The following acts exemplify, but do not exhaust the sort of activity which might constitute misconduct: acts which constitute a felony; acts which constitute a flagrant breach of University rules or academic ethics and which involve moral wrongdoing; acts of academic dishonesty such as plagiarism and falsification of reports or research; theft or misuse of University resources; persistent neglect of duties or persistent failure to carry out the tasks reasonably to be expected of a person holding the position involved. Malicious or knowingly false accusations of misconduct shall be considered serious misconduct on the part of the accuser(s). In the course of dismissal for misconduct proceedings, only information or evidence that relates to the alleged misconduct may be considered.

Where the ability of the faculty member or librarian to perform effectively is clearly and seriously impaired by the nature of the misconduct, or where the work of the department, school or library clearly would be disrupted or if immediate harm to himself, herself, or others is threatened by continuance, the faculty member or librarian may at any time be suspended by the dean with pay until the matter is decided.

Informal Discussion Period:

Actions for dismissal on the grounds of misconduct must be initiated by an administrator at the rank of dean or above, but the dean of an academic unit may base this action on the recommendations of a department chair, library director or other personnel who may be responsible for or knowledgeable about the conduct of the faculty member or librarian alleged to have engaged in misconduct. Where misconduct is suspected, the faculty member or librarian will first, as early as possible, be invited by the dean who is considering initiating action to discuss and respond to the allegations in person. The dean is obligated to collect such information and evidence as to have a reasonable and plausible belief that dismissal may be warranted by the facts; however, to the extent possible, the accusation of misconduct is to be kept confidential by the administration and those consulted. Several meetings may be required, and the faculty member or librarian must have been apprised of all allegations and evidence and been
given a reasonable opportunity to respond to them prior to the end of the final exploratory meeting of the dean with the faculty member or librarian.

In cases in which the dean of the academic unit and the faculty member or librarian disagree as to whether the alleged misconduct has been properly characterized as "serious misconduct" warranting dismissal proceedings, the dean shall offer to bring this conduct characterization issue before an impartial committee composed of at least three faculty members or librarians who are jointly acceptable to the dean and faculty member or librarian against whom the allegations have been made. If the dean and faculty member cannot reach agreement on at least three members to form the impartial committee after considering all eligible members of the academic unit, the following process will be used. If the Dean and the faculty member or librarian agree on two members from the academic unit, the chair of the IUPUI Faculty Mediation Committee (or the chair's designee) will serve as the third member of the committee. In all other circumstances, the committee will be composed of one member of the academic unit selected by the Dean, one member of the academic unit selected by the faculty member or librarian, and the chair of the IUPUI Faculty Mediation Committee (or the chair's designee). This group, referred to subsequently in this document as “the Committee”, shall elect its own chair. If this offer for early assistance is accepted by the faculty member or librarian, the Committee, after meeting with the dean and the faculty member or librarian, is only to render an opinion as to whether the nature of the alleged conduct may properly be characterized as "serious misconduct" as defined in Section II. If the Committee deems pursuit of dismissal proceedings to be inappropriate, it should so state. In that case, the Committee may weigh the interests of the faculty member or librarian and of the unit and suggest, if possible, alternative ways to accommodate those interests. In the spirit of informal resolution, all parties are expected to maintain collegiality, but nothing in these procedures precludes a faculty member, librarian or administrative officer from being represented by counsel or anyone else of choice. The entire procedure described in this paragraph should be completed within a reasonable period of time, which ordinarily would be one week. The faculty member or librarian and the dean shall be apprised of the Committee's determination before any formal proceeding may begin.

In an instance when the Ethics in Research Committee has conducted a review of an allegation of research misconduct and made a recommendation to the Chancellor for dismissal, the requirement for an informal discussion period will be set aside. In this instance, the process of dismissal will then begin with formal written notice being sent to the faculty member or librarian by certified mail by the dean as specified in the section titled, “Formal Proceeding Period,” unless the Chancellor decides to act directly. Ordinarily, the Chancellor will refer a recommendation for dismissal from the Ethics in Research Committee to the dean for action, but the Chancellor may reserve the right to act directly on the recommendation of the Ethics in Research Committee after notifying the faculty member or librarian and the dean and allowing 30 days for a response from either party.
Formal Proceeding Period:

If the preceding discussions do not resolve the matter, the dean, having a reasonable and plausible belief that dismissal is warranted, shall, within ninety days after the final informal meeting with the faculty member or librarian, provide that individual with written notice of intent to initiate formal proceedings to investigate possible misconduct; copies of the notice shall be given to the department chair/library director or other appropriate administrator, to the Dean of the Faculties, and to the Chancellor. This notice shall detail the specific nature of the allegations and list the witnesses, statements, documents and other evidence on which they are based.

The formal written notice shall be sent to the faculty member or librarian by certified mail. When a formal, detailed notice has been issued, the faculty member or librarian will be allowed 30 days from date of receipt to present to the dean or other administrator initiating the dismissal proceedings, written information in response to the allegations; will be allowed to be represented by counsel or anyone else of his or her choice; and is entitled to full access to all relevant information regarding the case possessed by the dean or other administrative officers, including the names and location of all witnesses. No information to which the faculty member or librarian is denied access shall be used by the administration.

After consideration of the written response, or if no response is received at the completion of the 30 day period, the dean may proceed. If the dean believes that the faculty member or librarian is guilty of serious misconduct and wishes to pursue the dismissal of the individual, the dean must forward a written recommendation for dismissal with supporting documentation to the Dean of the Faculties, who will add his or her recommendation and comments and then forward the entire file to the Chancellor. A copy of all materials forwarded, must be provided to the faculty member or librarian, who must be given an opportunity to provide comment and evidence in defense to the Chancellor.

If the Chancellor supports the recommendation for dismissal for misconduct, the Chancellor shall issue via certified mail a written notice to the faculty member or librarian stating the effective date of dismissal and stating with reasonable particularity the grounds on which the action is being taken.

The faculty member or librarian shall have the right to resign at any point in the proceedings prior to notification of dismissal by the Chancellor. The faculty member or librarian shall have 30 days from receipt of the notice of dismissal from the Chancellor to request a hearing before a Faculty Board of Review (as per IUPUI Academic Handbook Supplement 1993-95, Bylaw Article IV)2 regardless of the date of dismissal.

Nothing in this policy shall prevent designated University officers, including the Affirmative Action Officer or the Director of Internal Auditing, from conducting
investigations as specified by University policies. Nothing in this policy shall prevent the University from referring matters of possible misconduct to city, state, or federal agencies that may have jurisdiction in the investigation of possible misconduct.

(Revised by the Faculty Council 1999)

Grievance Procedure for Designated Academic Appointees
(Grievance procedures are governed by the IUPUI Faculty Council Bylaws, Article, IV.)

At IUPUI all full and part time academic appointees except lecturers with less than a fifty percent appointment are eligible to seek redress for grievances through the established informal grievance process and, if informal measures fail, to seek a Faculty Board of Review. Lecturers with less than a fifty percent appointment are entitled to a grievance process within the employing unit, which will have the final authority for addressing such grievances.

(Revised by the Faculty Council May 2, 2006)

1. A resolution will first be attempted at the program or department level and the grievance will be heard by the director or department chair. In schools without departments or programs, the dean or designee will consider the grievance. Grievances must be initiated within a reasonable time after the cause of the complaint but not longer than six months. Grievances which are not filed in a timely fashion may not be considered.

2. If the grievance cannot be resolved at the department or program level, the employee has 10 days following a meeting with the chair or director (or designee) to submit the grievance in writing to the dean. The dean will respond in writing within 30 days of receiving the written statement. The dean may hold meetings, appoint panels, and review evidence in reaching a resolution. The employee has the right to present information, to be present for formal proceedings, if any, convened to make a recommendation to the dean, and to have access to any documents or information considered as a part of the process.

3. The Faculty member may contact the President of the Faculty at any time to seek advice about a potential grievance.

4. IUPUI Faculty Grievance Advisory Panel (FGAP) is an elected group of senior faculty available early in the course of developing or potential grievances. They serve as impartial consultants who can give confidential informed advice and help resolve situations informally. The President of the IFC may refer a potential grievant to an FGAP representative for assistance prior to a formal grievance being filed. A faculty member or librarian may request that a grievance be
considered by a Faculty Board of Review (FBOR) without first presenting it to FGAP.

5. The faculty member must submit a written request for a FBOR, stating:
   a. The category or categories of the grievance action involved (dismissal, academic freedom, non-reappointment, tenure, promotion, salary adjustment, and/or nature and conditions of work.),
   b. A concise summary of the grievance scenario,
   c. Steps taken to redress the grievance prior to contacting the President, and
   d. The redress sought.

6. The grievant may withdraw a complaint at any time.

7. The President of the Faculty, when a formal request for a FBOR is received, shall immediately inform the Associate Dean of the Faculties, who will be given two weeks to seek an administrative resolution of the grievance. An extension of the administrative resolution time can be sought with the approval of the grievant and the President of the Faculty when progress toward resolution is expected.

8. If no administrative resolution is gained, the President then will seek a decision by the IFC-EC on whether to empanel a FBOR. The complaint must be brought within one year, for good cause and must fall within the purview of a FBOR. Bylaws procedures for creating a FBOR shall be followed.

9. Once created, the FBOR must conduct hearings and issue a final report, following the specific requirements in the bylaws of the IFC. Members of the FBOR will maintain confidentiality of the proceedings and final report.

10. The findings of the FBOR shall be forwarded by the President of the Faculty to the Chancellor for action within four weeks of receipt.

Further appeal by the grievant may be made to the President of the University and the Board of Trustees.

Policy on Dealing with the Effect of Financial Difficulties Upon Faculty at IUPUI

I. GENERAL PRINCIPLES

Academic organizations face the risk of serious financial problems which can be solved only by extraordinary means. As one of those extraordinary measures, suspension or dismissal of any faculty or librarians should be approached with great care and implemented according to plans and policies developed outside an atmosphere of impending financial stress. Removal of the people with the primary responsibilities for carrying out the mission of the university places into immediate jeopardy the vitality of
the institution and its ability to respond to the needs of its constituents. Accordingly, consideration of removal of persons with tenured or tenure-track academic appointment should never be considered as a tactic to be employed before other measures have been given a chance to work, much less as a routine or automatic step in the process of financial recovery, but rather as a measure of last resort, and the proponents of such action bear the burden of proving its necessity.

Risks of impairment to the mission and stature of the institution are posed by remedial measures and must be borne across the campus when an even greater threat to its very survival exists. Faculty should be prepared to bear part of that risk equitably with the other components of the institution. If such extraordinary circumstances exist, and reduction in force becomes a reality, all constituents of the university must be assured that faculty and administrators have worked together to address the problem and alternative solutions. Starting with the proposition that precipitous and ad hoc measures taken in the face of financial difficulties magnify the risk of institutional degradation, this statement of policy has been developed to guide the cooperative efforts needed to avoid or repair the difficulties. Persons whose jobs have been eliminated as part of the measures for ameliorating financial difficulties should be able to draw some assurances from these guidelines that their sacrifices are indeed extraordinary and that all lesser measures with any reasonable potential for addressing the financial difficulty have been implemented prior to the call for their sacrifice.

Because of the many different kinds and levels of financial difficulty that can arise on a campus as large and complex as IUPUI, it is necessary to have a flexible policy for response. To minimize the likelihood of an abrupt or sudden financial exigency and to assure members of the university community that all measures have been taken to remedy the financial problems, it is essential to outline a structure for the responses to all levels of financial problems and assure broad cooperative participation in the development and implementation of the process. In light of the financial structure of IUPUI, it is imperative that the focus of solutions to problems should be as close to the source as possible. The following statement of guidelines is organized to respond to financial difficulties as a process that can develop from relatively minor problems to matters of grave and serious concern.

II. FACULTY INVOLVEMENT IN BUDGETARY AFFAIRS

The Chancellor has ultimate responsibility for the financial health and integrity of the campus. Accordingly, the Chancellor and those administrative officers who are directly responsible to the Chancellor are expected to be directly involved in campus budgetary affairs. However, faculty also should be routinely involved in monitoring the financial health of the institution. The respective roles of faculty and administration in budgetary affairs provide a basis for collective action in addressing problems when the financial health of the institution is in question.
Early responses to impending financial difficulties hold potential for maximizing corrective effect at minimal cost. Conditions affecting the financial health of an educational institution certainly can change suddenly and with little or no warning. However, most conditions of financial difficulty are predictable with careful monitoring of the budgets of both academic and support units over a significant period of time. Overall or broad-based developments such as declining enrollments can also have obvious negative financial impact and must also be monitored. The predictability derived from the monitoring process can expand the lead time for response, allow remedial measures to be exerted at an early date in the development of conditions producing the difficulty, and allow the negative impact of remedial measures to be spread over a wider time frame.

Effective responses to financial difficulties require the cooperation of administration and faculty. The best way to ensure informed faculty participation is through faculty budget committees at the school or unit level and at the campus level which have ongoing responsibilities in the development and review of budgeting. The nature and role of the school or unit budgetary committee provides decision makers immediate perspective on the financial difficulty and its history as well as a concrete view of the ramifications of solutions. The complexity of organization and financial management principles on the campus also makes it crucial that faculty be involved at the earliest stage of identification and consideration of alternative solutions to the problem.

The nature of the campus Budgetary Affairs Committee places it in a unique position to detect impending financial difficulties. It can bring to bear the experiences and insights of a broad base of faculty representatives knowledgeable in budgetary matters for review or development of plans to address financial problems at the campus, school or unit level. While the annual budget hearings in which the campus Budgetary Affairs Committee participates is the best mechanism for monitoring overall developments, budget committees at the school or unit level should be encouraged to communicate to the campus Budgetary Affairs Committee any information and concerns pertinent to the early stages of financial problems whenever they arise.

III. IMPENDING FINANCIAL DIFFICULTIES

For a particular school or unit, financial difficulties can be recognized by administration and faculty at two different levels:

A. internally through the efforts of deans and faculty-selected school or unit budget committees; or

B. externally through the review function of the campus Budgetary Affairs Committee and the campus administration.
When the problem arises and is recognized or predicted at the school level, the dean and school committee should analyze it and formulate a plan for correction at their earliest opportunity. The information pertinent to the problem and the corrective plan should be communicated to the Chancellor's office.

At this early stage of consideration of financial difficulties, for example, when it is anticipated that financial reserves are exhausted; when flexibility from carryover is gone, a range of actions that hold promise of averting the problem should be considered and implemented. Discussion of corrective measures should give strong consideration to measures that increase revenues as well as those that reduce costs for such things as physical plant and equipment, or administrative and support services and personnel.

If the problem or the plan for addressing it arise from or affect other schools or other support units, the information pertinent to the problem and the plan for correction must be sent to the campus Budgetary Affairs Committee for review and recommendations prior to implementation. The plan will also be reviewed by the campus Budgetary Affairs Committee when the school or unit budget committee and dean are in disagreement. At any time, the school or unit budget committee may request information from or communicate its concerns to the campus Budgetary Affairs Committee.

When the problem arises within or from actions taken by a support center, faculty review may take place at the unit level in the appropriate faculty advisory committee. In any case, since financial difficulties in a support unit will inevitably affect other support and academic units, the problem and plans for its remedy will be reviewed by the campus Budgetary Affairs Committee.

Whenever the campus Budgetary Affairs Committee is involved in the formulation or review of a plan for correction of financial difficulties, the final plan should be the product of joint efforts of the committee and representatives of the Chancellor's office and the Deans’ Council.

IV. FINANCIAL CRISIS:

Conditions may arise which will indicate that the financial difficulty presents a financial crisis that if not addressed decisively and with dispatch could seriously jeopardize the financial health of the institution. Financial crisis exists when any one or a combination of the following conditions present themselves, but it may present itself in ways other than those listed here:

A. A problem presenting financial difficulty may be recognized in the early stages of analysis as one that cannot be resolved at the school or unit level.
B. An earlier recognized difficulty may not be responsive to measures designed and implemented at the school or unit level to correct it.

C. New circumstances may arise which actually worsen the financial condition despite the application of corrective tactics.

D. An unanticipated and externally-generated problem may suddenly present itself, for example, deep reductions might be mandated by the General Assembly or sudden and unexpected enrollment declines might occur.

In crisis conditions it becomes necessary to broaden lines of communication and to consider more serious remedial steps. Since the problem can arise from and affect both school or unit and campus levels, the remedial tactics to address the crisis will be formulated by different constituent groups and have different attributes depending upon the level of origin and effect. At minimum, the campus Budgetary Affairs Committee and the Chancellor will communicate the existence of the crisis to the Faculty Council, and allow opportunities for questions and discussion.

If the problem arises at the school or unit level, the school or unit budget committee and dean should proceed much in the same way as described in part III of this statement. However, under crisis conditions the participants will consider more drastic corrective measures, (such as adjustment of taxes for support units; reduction of administrative expenses; sale of university property; early retirement; reorganization, merger, or elimination of academic programs; and non-reappointment of faculty upon the expiration of the term of appointment).

In the extraordinary circumstances where the problem arises in a single school or unit and the financial condition of that school or unit, by the demands it places upon the resources of other components, threatens the mission of the institution, the Chancellor may initiate the process for declaring financial exigency.

Although crisis conditions are very serious, they are not grounds for involuntary dismissal of a faculty member or librarian with tenure or serving a term of an unexpired appointment as a solution to the crisis. Nor shall such dismissals be undertaken in the name of attaining greater efficiency or for purposes of reducing the proportion of faculty or librarian salary lines in school or unit budgets. When such drastic measures become necessary, they require invoking the process for the declaration of a state of financial exigency described below in this policy statement. Reductions in force in support units should take place prior to dismissal of tenured faculty or librarians, non-reappointment or termination prior to the expiration of a term of appointment.

The steps to be followed under conditions of financial crisis at the school or unit level are as follows:
1. After notification of the Chancellor's office of the circumstances that indicate the existence of a crisis, the school or unit budget committee and the dean must participate jointly in the formulation of a plan to address the problem.

2. Upon the development of a plan, the dean will submit the plan to the Chancellor's office and shall include a statement indicating the faculty's position on the plan. In the event of a disagreement between the dean and the faculty, the disagreement and the basis for it should be clearly stated.

3. Upon receipt of the plan, the Chancellor's office will forward a copy of the plan, including a statement of the faculty's position on the plan, together with supporting documents and information, to the campus Budgetary Affairs Committee for review and recommendations for modification. In the event of disagreement between the dean and the faculty, the disagreement and the basis for it should be clearly stated.

4. Upon receipt of the recommendations of the campus Budgetary Affairs Committee, the Chancellor will implement the plan (as modified or approved).

If the problem arises from or affects more than a single school or unit, including support units, the crisis carries broader implications and must be addressed with remedial measures on a commensurate scale. When conditions of a crisis arise from or affect multiple components of the university, it may be appropriate to utilize university reserves to remedy the conditions. Consequently it is imperative that the campus Budgetary Affairs Committee be involved as early as possible in a collaborative effort with central administration, schools, and administrative service units as necessary to formulate the remedial plan. No tenured faculty member or librarian shall be involuntarily terminated for reasons of financial crisis. Upon the issuance of a letter to a faculty member or librarian giving notice of non-reappointment upon the expiration of a term of appointment for reasons of financial crisis, the responsible dean or director, the Chancellor, and the Executive Vice Chancellor and Dean of the Faculties shall report that fact to the Faculty Council at its next regular meeting. The report shall include assurances that the responsible dean or director has given every reasonable consideration of alternatives to dismissal.

V. FINANCIAL EXIGENCY

A. Declaration of financial exigency

The most extreme financial difficulty is that of financial exigency: when conditions pose an imminent threat of indefinite duration such that the central mission of the campus is in jeopardy, American Association of University Professors, Recommended Institutional Regulations on Academic Freedom and Tenure §4 (c) defines "financial exigency" as "an imminent financial crisis which threatens the survival of the institution as a whole and which cannot be alleviated by less drastic means." The Chancellor, upon becoming
apprised of evidence that the financial condition of the institution has reached or is about to reach this stage, will share the pertinent information with the campus Budgetary Affairs Committee and ask it to consider the possibility of a declaration of financial exigency.

Upon receipt of the Chancellor’s request, the campus Budgetary Affairs Committee shall consult with the Faculty Affairs Committee and representatives of other affected groups to obtain any other information necessary to consider the advisability of declaring financial exigency.

Within 30 days of the request from the Chancellor, the campus Budgetary Affairs Committee shall submit to the Chancellor and the Faculty Council a written report on its deliberations, its judgment on the severity of the situation, and its recommendations. If in the judgment of the campus Budgetary Affairs Committee the severity of the financial condition warrants a declaration of financial exigency, it shall state that judgment in the report.

Upon receipt of the report from the campus Budgetary Affairs Committee, the Chancellor shall discuss its recommendations with the President or designee of Faculty Council and the chairs of the campus Budgetary Affairs and Faculty Affairs Committee. If this discussion leads to the conclusion that the institution is suffering a financial exigency, the Chancellor shall, in a forum open to all faculty, declare that the campus has reached a state of financial exigency and present a summary of the reasons and evidence supporting that declaration. After making the declaration, the Chancellor shall allow ample opportunity for questions and discussion.

B. Formulation, review, and reporting of a detailed plan for meeting financial exigency

1. Formulation:

Only when financial exigency has been declared is consideration of the most drastic of remedial measures appropriate. While it must be recognized that it may be necessary to dismiss tenured faculty or librarians, not reappoint, or terminate prior to the expiration of a term of appointment, such action should be taken only as a last resort.

The Chancellor has the responsibility for dismissal of faculty or librarians with tenure, non-reappointment, and termination before the expiration of a term of appointment. It is imperative that decision makers at the school or unit level be involved in the consideration of such actions as remedial measures for financial exigency, and that they and their respective faculties have in place detailed policies and procedures well in advance of the occurrence of financial exigency. However, deans and school or unit faculty committees do not have unilateral authority to implement such measures. Because financial exigency reflects the condition of the campus as a whole and permits the dismissal of faculty or librarians whose tenure originally was approved by the
President and Trustees of Indiana University, the dismissal of tenured faculty or librarians for financial exigency must be authorized by the President and reported to the Indiana University Trustees.

Termination of faculty or librarians with tenure, non-reappointment or termination before the expiration of a term of appointment under circumstances of financial exigency is a fundamentally different process from dismissal of tenured faculty or librarians for misconduct or incompetence because the elements of individual fault and responsibility are not present in the former. Accordingly, no tenured faculty members or librarians will be dismissed for reasons of financial exigency prior to the formulation of a Financial Exigency Plan developed by all affected schools or units. The Chancellor, in consultation with the campus Budgetary Affairs Committee, will determine which components of the university will be involved in developing the plan to resolve the state of financial exigency.

Preparation of the detailed written plan shall be the collaborative responsibility of a task force which will include the appropriate administrative officers and representatives of the faculty groups or librarians who will be affected by the implementation of the plan. The composition of the task force will be guided by the campus Budgetary Affairs Committee’s identification of the affected schools. The Chancellor will appoint the members, and such appointments must include some faculty members drawn from a list of recommendations submitted by the President of the IUPUI Faculty Council. Each affected school or unit will submit specific recommended actions, including identification of the specific individuals who are to be dismissed under the terms of the financial exigency.

The faculty of each unit in consultation with the unit head shall adopt standing policies and procedures which will guide the development of the detailed plan described above. Included in these policies will be the criteria for selecting the departments, programs or units within the school or individuals to be dismissed. These policies and procedures should be generated prior to the development of a state of financial crisis within the school or unit.

The criteria for selection of components of a school must consider the centrality of the component to the school or to other schools, its mission, its quality, the complementary of the component to other components within the school or campus, duplication of work done in other components, and student or community needs. Relative to the dismissal of individuals, the weighting of factors such as rank, seniority in rank, length of service at IUPUI are to be included. The criteria must stand the test of fairness and equity without regard to age, sex, color, race, national origin, religious preference, status as a veteran, political preference or allegiance, or sexual preference. In addition, given the university’s long range commitment to diversity, such dismissals should consider the sexual and racial balance of faculty and librarians in the unit.
If this policy for responding to financial difficulties has been followed, by the time financial exigency is declared, in addition to faculty and librarians with tenure or serving an unexpired term of appointment, only those administrators, support personnel and non-tenured faculty deemed essential to the central mission of a unit designated for reduction will remain. Dismissal of a faculty member or librarian with tenure in favor of retaining a faculty member or librarian who has not attained tenure is a departure from AAUP policy and jeopardizes the academic freedom and economic security implicit in tenure that is acknowledged by Indiana University. (Indiana University Academic Handbook, "Faculty and Library Tenure" 1992 p.21) It can be justified only in the extraordinary circumstances where a serious distortion of the academic program would result. Any plan that retains untenured personnel while dismissing tenured personnel must clearly and convincingly justify the departure from policy. Changes in the status quo of an academic program, such as reduction in the number of course sections or increase in student-to-faculty ratios, of themselves do not constitute "serious distortion." However, all personnel who remain should recognize that their assignments and duties may be affected by actions that are consistent with the policy on reassignment and reorganization.

The IUPUI Columbus faculty and librarians hold tenured appointments through the departments and schools of the IUPUI campus, but the budget which covers their salaries is separate and distinct. This policy for financial difficulties applies to IUPUI Columbus.

2. Review

The task force will consolidate the recommendations received from each school and unit into a final plan. The task force may request modification of individual school or unit plans before incorporating the recommendations into the final plan. The final plan, along with any comments from the affected schools' and units' committees, is forwarded to the Chancellor, who within one week will present the plan to (1) the Budgetary Affairs Committee for final review and comment on the budgetary aspects, (2) the Faculty Affairs Committee for review and comment on the consistency of the application of the school or unit's policies and procedures for identification of the faculty or librarians to be dismissed, (3) the Faculty Council Executive Committee for information purposes and (4) other appropriate campus offices. The Budgetary Affairs Committee and the Faculty Affairs Committee will submit comments and recommendations to the Chancellor within one month of receipt of the final plan.

After receiving the recommendations of the Budgetary Affairs Committee and the Faculty Affairs Committee, and other bodies whom the Chancellor might consult, such as the Council of Academic Deans, the Chancellor will finalize the Financial Exigency Plan and notify appropriate administrators, faculty representatives, the President, and Indiana University Trustees.
3. Reporting

The Chancellor will ensure that timely, accurate, and comprehensive information about the status of the development and implementation of the Financial Exigency Plan is provided to the entire academic community throughout the process. The Chancellor will report to the IUPUI Faculty Council regularly for the duration of the financial exigency.

C. Procedures

1. Notification of Dismissal

The Chancellor has responsibility for implementing the plan by notifying tenured faculty and librarians of their dismissal and by directing other campus administrative officers to take the steps required to implement the plan. The Chancellor will have responsibility for notifying tenured faculty or librarians that they are dismissed under conditions of financial exigency and shall issue the notice of dismissal in writing via certified mail. The notice will include a summary of the conditions which led to the declaration of financial exigency. A tenured faculty member or librarian will have at least two years’ notice prior to dismissal or receive an amount equal to at least two years’ salary and benefits or a combination of the two. Other employees affected by the financial exigency, including other academic appointees, shall be entitled to such notice as normally applies for any termination except misconduct.

2. Faculty Board of Review

Within a reasonable time following receipt of the notice of dismissal from the Chancellor, the individual faculty member or librarian may request a hearing before an IUPUI Faculty Board of Review. The issues in this hearing may include the following:

a. Whether the policy and procedures for declaring financial exigency have been followed. The burden will rest on the administration to prove compliance. The findings of Faculty Boards of Review in previous proceedings involving issues of the adherence to policy and procedures for declaring financial exigency may be introduced in subsequent board of review hearings if, but only if, all information identifying the grievant has been removed from the findings to be introduced.

b. The validity of the judgments made at the school or unit level and the criteria developed by the school or unit for identification of an individual for termination, but the recommendations of a faculty body on these matters will be considered presumptively valid and will be overturned only upon a clear showing by the grievant that the recommendations were fundamentally unfair.

c. Whether the criteria are being properly applied in the individual case.
The purpose of a faculty board of review proceeding upon an individual's grievance shall be to determine if the individual's selection for dismissal has been made fairly and in accordance with the policy and procedures specified in the Financial Exigency Plan. It is not for the purpose of opening up, on a wholesale basis, the merits of the declaration of a financial exigency, or the plan for addressing the financial exigency.

Any Faculty Board of Review convened for the purpose of reviewing a dismissal for financial exigency shall proceed to conclusion as soon as practicable, and must make its final report within three months of its receipt of a petition for review of such dismissal.

3. Replacement; reinstatement of dismissed faculty or librarians

Replacement and reinstatement of faculty dismissed pursuant to the financial exigency plan shall be governed by the following rules:

a. Vacancies created by dismissal of tenured faculty or librarians and faculty not reappointed or terminated prior to expiration of the term of appointment: For at least three years following dismissals, school or units that have terminated faculty or librarians pursuant to a financial exigency plan shall offer such person reinstatement and a reasonable time in which to accept or decline the offer prior to hiring a replacement for the dismissed faculty member or librarian.

b. Vacancies in tenured positions created by normal attrition: Within an affected school, unit, or University Libraries, essential replacement hiring to fill vacancies in tenured positions created by normal attrition shall be possible for the three year limitations periods above, and every consideration shall be given to hiring dismissed faculty or librarians if the possibility exists for retraining or adapting to the requirements in the area of need.

c. Replacements for part-time positions: Every reasonable effort should be made by the affected school or unit to reinstate dismissed tenured faculty members and librarians before hiring replacements for part-time positions. Replacement hiring for part-time positions is appropriate in cases where part-time positions have been a regular component of the school or unit’s workforce, and continuation of such positions is necessary to maintain the financial health of the school or unit.

d. Faculty Board of Review: Dismissed faculty or librarians have a right to request a Faculty Board of Review within the three year limitations periods above for the purpose of obtaining review of issues concerning hiring or reinstatement decisions that affect them.

4. Special Considerations for Dismissed Tenured Faculty and Librarians
Because faculty or librarians dismissed under financial exigency lose their position through no fault of their own, these individuals qualify for special considerations. As stated above, every consideration must be given to hiring dismissed faculty or librarians if the possibility of retraining or adapting to the needs of other units exists. Consideration for appointments in other units might include administrative or professional duties as well as teaching, research or service related to the faculty member's or librarian's areas of competence. The Dean of Faculties' office will also provide assistance in identifying positions on other campuses of Indiana University for these individuals. Faculty or librarians dismissed because of financial exigency will be notified of professional or administrative vacancies for which the individuals may be qualified for at least one year following termination of appointment. Every reasonable effort will be made to assist faculty or librarians in securing a comparable position at another institution.

For the three year period following dismissal for financial exigency or until appointment to a comparable position is achieved, the individual will be considered to have "affiliated" faculty or librarian status which, at a minimum, entitles the individual to use of the library; use of campus facilities under the same applicable fee structure that applies to other faculty members; purchase of health insurance under the same regulations that apply to faculty or librarians on sabbatical or unpaid leaves; office space where the office would not otherwise be occupied; use of office equipment that would not otherwise be used or disposed of, to include computers, printers, copy machines, telephones, etc.; and access to university computing services to the same extent as prior to dismissal or to the same extent as applies to retained faculty or librarians (e.g., e-mail, Internet, dial-up privileges). To the extent permitted by the terms of contractual obligations undertaken by the University with third parties in providing for retirement plans, faculty members and librarians dismissed pursuant to a Financial Exigency Plan shall retain rights to contribute to retirement funds.

D. Duration of declared state of financial exigency; report of actions

Although it may take a long time for the remedies to financial exigency to take effect, it is a condition based on future expectations which can change more quickly. As a result, the declared state of financial exigency will lapse after one year, at which time a report on the steps taken and resulting changes in financial conditions and projections must be made by the Chancellor to the campus Budgetary Affairs Committee, Faculty Council, the President of the University and University Trustees. Any further consideration of dismissal of faculty or librarians with tenure, non-reappointment or termination prior to the expiration of a term of appointment for reasons of financial exigency will require a new declaration of financial exigency, preparation of a Financial Exigency Plan, and adherence to the principles and procedures set out in this document.

A final report of the actions taken under financial exigency will be prepared by the Chancellor and kept on file in the Faculty Council office and the Office of the Chancellor.
The report will be filed when all actions are complete or within one year of the date of declaration of financial exigency, whichever occurs earlier.

The IUPUI Senior Academy

The Academy is an independent association of retired faculty and staff who contribute their expertise and experience to the University and to the greater community. Founded in 1994, membership is open to all retired faculty and staff who agree to make a commitment to the activities of the Academy and pay the annual dues. The Academy, with the assistance of the University, encourages and assists scholarly, professional, educational, and service interests of the members, enabling them to contribute to the campus and its programs.

The IUPUI Senior Academy is an organized response to current and potential interests shared by the campus and its retirees, with emphasis on mutually beneficial volunteer activities. The Academy Office is located in Room 126 in the IUPUI Administration Building, 355 N. Lansing Street, Indianapolis, IN 46202-2896. The telephone number is (317) 278-2444.
Section Three:

Academic and Administrative Policies

The Indiana University Academic Handbook (2008) is the primary source of academic policies and procedures. This Guide for IUPUI Faculty contains campus specific policies and procedures.

Academic Calendar

The Academic Calendar for IUPUI is developed by the Calendar Committee, chaired by the IUPUI Registrar. The Calendar Committee is a subcommittee of the Academic Policies and Procedures Committee. The recommendation of the Calendar Committee is forwarded to the Academic Policies and Procedures Committee (an administrative committee) and to the Academic Affairs Committee of the IUPUI Faculty Council. Following the review and recommendations of these committees, the proposed calendar is forwarded to the IUPUI Faculty Council for action. Calendars are recommended and approved a number of years in advance. The Calendar Committee is responsible for reviewing and recommending the action stated above. The Academic Calendar can be viewed at: http://registrar.iupui.edu/accal.html.

Sexual Harassment Policy and Complaint Procedures

IUPUI is subject to and governed by the Indiana University Policy Against Sexual Harassment, adopted by the Indiana University Board of Trustees on June 15, 1998, which sets forth the general definitions, provisions and enforcement principles regarding sexual harassment on all IU campuses. Pursuant to Section D.1. of that Policy, IUPUI shall adhere to the following Sexual Harassment Complaint Procedure.

On the IUPUI campus, the director for the Office of Equal Opportunity (OEO) is vested with the responsibility to ensure compliance with the Indiana University Policy Against Sexual Harassment and the IUPUI Sexual Harassment Complaint Procedure. In the performance of that responsibility the OEO provides information, education, and training on University policies and procedures regarding sexual harassment; serves as a resource to those who may be affected by sexual harassment; investigates and resolves all complaints of sexual harassment; and undertakes action necessary to eliminate offensive behavior. Justice requires that the rights and concerns of both the complainant and respondent be fully assured. The Affirmative Action Officer (AAO) will make every effort to protect these rights, and to assure that no action is undertaken that threatens or compromises them.
Within a reasonable time, faculty, staff, or students who believe that they have been sexually harassed should notify either their supervisor, an academic or student services dean or official, and/or the director of the Office of Equal Opportunity. Complaints and other information regarding possible incidents of sexual harassment received by administrators or supervisors shall be forwarded to the OEO for investigation and resolution. In the event an individual believes he or she has been sexually harassed by a supervisor, professor or other individual to whom he/she reports, he/she may notify the OEO directly. The director can be contacted in the Union Building (UN 117), 620 Union Dr., Indianapolis, Indiana, VOICE (317) 274-2306 or TDD (317) 278-2200.

Both formal and informal procedures shall be utilized to resolve complaints and other incidents of sexual harassment to assure that the offensive behavior is eliminated and necessary corrective and/or disciplinary action is taken. Further, the director must and will address all complaints and incidents of sexual harassment, regardless of the willingness or unwillingness of the complaining party to file and/or sign a written complaint or otherwise cooperate in the matter.

Within fourteen days of receipt of a formal written complaint, the director shall proceed with conducting an investigation of the complaint for the purpose of affecting a resolution. Because of the sensitive and discriminatory nature of charges of sexual harassment, complaint procedures will observe the following principles and guidelines:

1. A direct personal interview will be held with the complainant or victim for the purposes of completing a "Formal Complaint Form," and to gather specific information detailing the nature of the allegations.
2. Efforts will be made to restrict information regarding complaints to the complainant, the accused party, and those persons directly involved in the resolution of the matter.
3. All records, memoranda, correspondence, and other information/materials related to complaint investigations conducted by the IUPUI Office of Equal Opportunity shall be retained for a period of no less than three years.
4. Complaint investigations will be conducted as promptly as possible, and the results will be reported in writing to the complainant and those persons party to the resolution of the matter.
5. If a complaint is found to be valid, action will be taken through appropriate channels of the University to rectify the situation and to reasonably ensure that such incidents do not occur in the future. University complaint channels for appeal will be open to the complainant or the accused party.
6. University policy prohibits retaliation against an individual because of the filing of a complaint, or cooperating with a complaint investigation. Such incidents will be considered seriously and action will be taken expeditiously to prevent such conduct.
Indiana’s Sex & Violent Offender Registry Search
IUPUI does not hire applicants for faculty or staff positions, nor retain in employment, faculty or staff personnel who have been convicted of certain sexual offenses. A search of the The National Sex Offender Public Registry, coordinated by the Department of Justice, is completed before hire.

IUPUI Alcohol and Drug Policies
IUPUI policy related to alcohol and drugs is based on the Drug-Free School and Community Act amendment of 1989, enacted by Congress as Law 101-226.

The University prohibits the unlawful manufacture, distribution, dispensation, possession, use, or being under the influence of controlled substances or alcohol on university property or in the course of university activities. For further information, the IUPUI class schedule can be consulted for the Drug-Free Campus Policy for Students or Human Resources Administration can be contacted for information on the Indiana University Alcohol and Drug-Free Workplace Policy for employees. The IUPUI Police Department enforces all state and federal laws pertaining to alcohol and illegal drugs, including the state underage drinking laws.

The University provides training to recognize employees in need of assistance, to provide basic assistance, and/or to facilitate referrals to community resources offering assistance. The following is a partial list of offices that can facilitate assistance or referrals. The Drug-Free Campus Policy for Students provides a more complete list of referral sources within the metropolitan area.

Student Activities 274-3931
Student Affairs 274-2546
Counseling and Psychological Services 274-2548
Human Resources Administration 274-8931
IU Employee Assistance Services Plan 1-888-IUEAP

For further information contact:

Indiana University Police Department, Indianapolis Division
430 N. University Boulevard
Indianapolis, IN 46202-5184
317 274-2058 (Voice), 317 274-1387 (TDD)
For Emergencies (Voice and TDD) 274-7911

Equal Opportunity Policy
Memorandum to IUPUI Faculty, Staff, and Students from Chancellor Charles R. Bantz on May 1, 2004

At IUPUI, diversity means three things:

1. diversity is an educational and social asset to be reflected in our learning and work objectives;
2. the persons who comprise our academic community reflect both the current diversity of our service region as well as the evolving demographics of a state and city that aspire to participate fully in a global society; and
3. IUPUI's social and physical environment will enable all of its members to succeed to the fullest extent of their potential.

(Vision for Diversity at IUPUI, Adopted 2001)

Having diversity in classrooms, research labs, clinical practice settings, and places of work is essential to the fundamental work of the university. If students are to learn, they must be encouraged to ask questions, seek knowledge from those with whom they disagree, and take part in open and honest debate. The ability to learn from and use diverse perspectives is instrumental to constructive problem solving and good citizenship, so it is essential that the campus have an environment that encourages interaction among individuals of diverse backgrounds. Our employees, too, expect and deserve to work in a healthy, supportive atmosphere that respects differences.

To help accomplish this, the Trustees of Indiana University adopted an equal opportunity/affirmative action policy that is based on resolutions dating from 1969 and reaffirmed unanimously in 1995. The trustees stated, "In reaffirming this policy, which has served us well, we must advocate and perpetuate performance which reflects this commitment. We must and will hold ourselves accountable for our decision and action."

Each year, IUPUI reaffirms its commitment to this policy and to observing requirements embodied in federal and state laws, executive orders, guidelines, and regulations designed to promote affirmative action and assure equal opportunity. As part of that reaffirmation, we expect deans, directors, and others who have administrative responsibility and authority to carry out the policies of the trustees and to pursue our shared diversity goals effectively. In addition, individual employees are to display an attitude of collaboration and cooperation by performing their duties in a manner that clearly reflects the principle of equal opportunity in every aspect of university life.

Our policy at IUPUI prohibits discrimination against anyone for reasons of race, color, religion, national origin, sex, sexual orientation, marital status, age, disability, or status as Vietnam-era or special disabled veterans. We will continue to promote and provide equal opportunity in education and training programs, employment, admissions, and all other activities for faculty, staff, and students. All personnel actions, such as compensation and fringe benefits, transfer, promotion, training for employees, as well as all university-sponsored social and recreational programs, will be administered in accordance with our equal opportunity policies.
I have assigned responsibility for communicating, interpreting, and monitoring this equal opportunity policy to Kim D. Kirkland who directs the OEO at IUPUI. This office maintains a comprehensive program which has been accepted by all relevant agencies of the federal government. It is located in the Administration Building, 355 North Lansing Street, Room 127. For copies of the official university policies, for information on these policies, or for complaint procedures, call (317) 274-2306.

The Americans with Disabilities Act

Background and Summary
The Americans with Disabilities Act (ADA), Public Law 101-336, was enacted on July 26, 1990, "to provide a clear and comprehensive mandate for the elimination of discrimination against individuals with disabilities." This federal legislation requires equal treatment of disabled persons in employment, public services and transportation, public accommodations, and telecommunications services.

Indiana University-Purdue University Indianapolis (IUPUI), a public entity, as set forth in Title II of the ADA, is subject to the requirements of the Americans with Disabilities Act. Title II of the ADA prohibits discrimination against qualified individuals with disabilities with regard to the services, programs and activities at IUPUI. The University is also prohibited from discriminating against qualified individuals with disabilities in its employment practices pursuant to Title I of the Americans with Disabilities Act.

IUPUI Nondiscrimination Policy for People with Disabilities
Indiana University-Purdue University Indianapolis is committed to the spirit and letter of the Americans with Disabilities Act. Heretofore, the University has been subject to the nondiscrimination provisions of Sections 503 and 504 of the Rehabilitation Act of 1973. Under Sections 503 and 504, the University has instituted various administrative policies, practices and procedures to ensure nondiscrimination against individuals with disabilities. These policies, practices and procedures have been amended to comply with the requirements of the Americans with Disabilities Act.

Accordingly, "no qualified individual with a disability shall, by reason of such disability, be either excluded from participation in or be denied the benefits of the services, programs, or activities" of Indiana University-Purdue University Indianapolis. Moreover, no qualified individual with a disability shall be discriminated against because of the disability of that individual with regard to job application procedures, the hiring or discharge of employees, compensation, advancement, job training, and other terms, conditions and privileges of employment.

Compliance Responsibility
Responsibility for coordinating IUPUI's compliance with the requirements of the Americans with Disabilities Act resides with the Director of Equal Opportunity.

Complaint Procedure
Individuals who have complaints regarding the University's compliance with particular provisions of the Americans with Disabilities Act should contact the Director of Equal Opportunity, Union Building, 620 Union Dr. Room 117, (317) 274-2306 or TDD (317) 278-2200. Complaints will be promptly acknowledged and investigated with the purpose of equitable resolution.

IUPUI Smoking Policy
Smoking is prohibited on university owned and operated property within 30 feet of building entrances, exits, partially or fully enclosed walkways and ventilation systems.

All buildings, doorways, and fully or partially enclosed walkways connecting buildings will be smoke free. Exceptions may be granted for specific auxiliary enterprises.

Smoking is not permitted in university owned, leased, or operated licensed vehicles.

Advertising, sale, or promotion of tobacco products and the sponsoring of campus events by tobacco companies or tobacco-promoting organizations for the purpose of promoting tobacco related products is not permitted.

Enforcement of this policy will depend upon all members of the campus community to comply with and encourage others to comply with this policy in order to ensure a healthy environment to work, study and live. This policy will be incorporated into the IUPUI supplement of the Faculty Handbook, the Staff Handbook, and the Student Code of Conduct. Complaints regarding violations of this policy should be referred to the appropriate administrative office: For faculty the Office of Academic Policies, Procedures and Documentation; for staff, Human Resources Administration; or for students, the Office of The Dean of Students.

Effective July 1, 2004
http://www.iupuc.edu/admissions/other/smoke.asp

Research Compliance
Compliance Services is a central resource to ensure IU conducts its educational, research, and clinical activities in a manner that is consistent with regulatory, statutory, and organizational requirements. This collaborative effort throughout the entire IU community is designed not only to achieve compliance, but also to establish the highest ethical standards governing clinical and research activities. All research conducted at Indiana University-Purdue University Indianapolis (IUPUI) involving humans,
recombinant DNA, or biohazardous research must be reviewed and approved by the respective campus research risk review boards.

Policies on IUPUI Research Compliance can be found here: http://www.iupui.edu/~resgrad/spon/policiescontent.htm

Clinical Research: Clinical Research supports and promotes clinical research at the Indiana University School of Medicine and its affiliated hospitals. We serve investigators and their staff, research volunteers, and work as a liaison for industries looking to place clinical studies at our institution. Information about clinical research compliance can be found at:
http://www.clinicalresearch.medicine.iu.edu/body.cfm?id=43&SubDomain=true


Research Involving Animals: Fulfillment of Indiana University teaching and research missions requires a variety of approaches, among which is the use of animals. Living animals are used only when there is no valid alternative to their use, or when use may be required by law in a particular experiment or test. http://www.iupui.edu/~resgrad/spon/anpol.htm, Office of Research Administration. Last update July 10, 2008

Research Involving Recombinant DNA: must be approved by the Institutional Biosafety Committee (IBC). The IBC is responsible for reviewing this research to insure compliance with the NIH Guidelines for Research Involving Recombinant DNA Molecules and University policy. Research applications and instructions may be obtained from Research Compliance Administration. Last update July 10, (RCA).

http://www.iupui.edu/~resgrad/spon/dnapol.htm

Policy and Procedures on Research Misconduct

(By Action of the University Faculty Council: May 1, 2007)

Introduction
The primary mission of the university is to search for truth, and its members are strongly committed to this mission. Research conducted under the aegis of the university, therefore, must be guided by norms which facilitate this search, and which foster a spirit of creativity and honesty in the process. Because the conduct of research rests on the foundation of intellectual honesty, violations call into question not only the validity of the particular research project but the social context in which it is conducted. Scholars must be able to trust their peers, students must be able to trust their teachers, and citizens must be able to trust the integrity of the results of research performed in institutions of higher education.

The major responsibility for maintaining standards of intellectual integrity rests with individual scholars and with the departments in which they work. Accordingly, it is incumbent upon faculty members to exercise active leadership in their supervisory roles.
in mentoring, collaborating with, or directing junior colleagues, staff, or students.

The larger institution has a major role to play in three respects: (1) providing an environment for open inquiry in which research can be conducted appropriately, (2) declaring the standards which must not be abrogated, and (3) enforcing the standards on those occasions where violations may have occurred. The purpose of this document is to set forth the policies and procedures by which Indiana University seeks to maintain and enforce such standards through impartial fact-finding and fair adjudication of allegations of research misconduct. Policy and procedures described below are steps in an academic peer review and fact-finding process and are not intended or designed to represent rules of a judiciary proceeding or hearing. Principles of basic fairness and confidentiality shall be observed in these peer-review procedures.

Policies and Procedures on Research Misconduct are found in the University Academic Handbook. The Handbook clearly indicates where differences exist between IUPUI and the University as a whole. Additional information may be found at http://www.iub.edu/~ufc/docs/policies/ResearchMisconduct.pdf.

Research Misconduct.

(1) Research Misconduct means fabrication, falsification or plagiarism in proposing, performing, or reviewing research, or in reporting research results.
   (a) Fabrication is making up data or results and recording or reporting them.
   (b) Falsification is manipulating research materials, equipment, or processes, or changing or omitting data or results such that the research is not accurately represented in the research record.
   (c) Plagiarism is the appropriation of another person’s ideas, processes, results, or words without giving appropriate credit.

(2) On all campuses, except IUPUI, Research Misconduct also includes (in addition to those acts specified in paragraph 1 above):
   a) material failure to comply with applicable federal requirements for protection of researchers, human participants, or the public; or for ensuring the welfare of laboratory animals;
   b) material failure to disclose all real or perceived conflict of interests; or
   c) an abuse of confidentiality, such as the use (or release to others) of ideas or preliminary data of others which were given in the expectation of confidentiality, such as those gained from (i) access to privileged information through the opportunity for editorial review of manuscripts submitted to journals; and (ii) the opportunity for peer review of proposals by external entities or by internal committees such as the Human Subjects Committee, the Institutional Biosafety Committee or other committees through which one gains access to privileged research-related information.

Research misconduct does not include disputes regarding honest error or honest
differences in interpretations or judgments of data, and is not intended to resolve bona
fide scientific disagreement or debate. Research misconduct is also not intended to
include “authorship” disputes such as complaints about appropriate ranking of co-
authors in publications, presentations, or other work, unless the dispute constitutes
plagiarism (as defined above).

Indiana University Intellectual Property Policy

This policy implements the Indiana University “Statement of Principles on Intellectual
Property.” The policy has five basic elements: definitions, categories of intellectual
property, the distribution of revenues, dispute resolution within the university, and
implementation. Under this policy, the primary division of intellectual property is
between patentable and copyrighted works. Generally speaking, ownership of
patentable work is vested in the University. Copyrighted works are subdivided into
Traditional Works of Scholarship, ownership of which remains with the creator of the
work, and University Works, as to which the University retains ownership. The revenues
from intellectual property owned by the University are distributed according to the
formula set out in this policy.

Policies on Intellectual Property may be found in the University Academic Handbook
(2008, pp. 132-140) and at

Adopted by the University Faculty Council (U22-97) 4/8/97
Adopted by the Board of Trustees 5/9/97

Policy on Conflict of Interest

Amended & Approved by the IUPUI Faculty Council, October 6, 2005

These guidelines and procedures detail the IUPUI campus’ implementation of the
Indiana University Policy on Conflict of Interest for handling conflicts of interest related
to research activities http://www.research.indiana.edu/rschcomp/coi/policy.html.
Recognizing the scope of IUPUI Academic Appointees responsibilities these guidelines
and procedures are also applied to teaching and service activities and administration.

IUPUI encourages interactions and the establishment of relationships between
Academic Appointees with governmental entities (federal, state, and local), business
and industry, and non-profit organizations as an important part of the university mission
of teaching, research and professional service. The Academic Appointees at IUPUI have
a major responsibility to discover and transmit new knowledge through scholarly
activities. Financial support for such activities comes both from public and private
entities external to the University. Increasingly, alliances between Academic Appointees and external entities have become a significant feature of academic research and educational activities. As these relationships become more common and complex, possibilities for conflicts of interest increase.

A basic principle of these Guidelines and Procedures is the assurance of objectivity in research, teaching, professional service and administration to preserve the credibility of the University and the individuals engaged in these endeavors. While broader in scope, these guidelines are intended specifically to ensure that individual financial interests do not influence our commitment to uphold ethical standards for the protection of human subjects. Interactions with the private sector carry with them an increased potential for financial conflicts of interest, or at least the perception thereof. Just as integrity in teaching, research, and professional service is a personal responsibility of each individual, integrity in decision-making associated with financial and other business relationships with the private sector is a critical responsibility of those individuals and the administrators engaged in these relationships. As a steward of public funds, the university assumes the responsibility to assist the Academic Appointees in identifying activities that present potential for conflicts and in reducing or managing the conflicts to ensure they do not threaten the credibility of the University’s Academic Appointees’ core activities.

The IUPUI Guidelines and Procedures on Conflicts of Interest are designed: (1) to help identify conflicts of interest arising from relationships between Academic Appointees and external entities; (2) to assist Academic Appointees to either manage or avoid conflicts of interest; and (3) to establish a system for disclosure and review of relationships with external entities in order to identify and resolve actual and perceived conflicts of interest. Additional information may be found at http://www.iupui.edu/~fcouncil/documents/conflict_interest.htm.

Policy on Financial Conflicts of Interest in Research

(By Action of the University Faculty Council: September 12, 2000, March 9, 2004, April 27, 2004; Approved by the Board of Trustees: September 15, 2000, March 3, 2006)

The trustees, administration, faculty, and staff of Indiana University all bear the responsibility of serving the research mission of the University. That mission is enhanced by the sustained, active interaction of members of the University community with business, government, not-for-profit groups, professional societies, academic institutions, and other individuals and organizations. Moreover, the University recognizes that the members of the University community participate actively in community, political, religious, and cultural activities and institutions, and other personal and economic endeavors.
These many interactions inherently create the potential for conflicts of interest in which University employees’ external activities, income or other interests affect—or reasonably would appear to affect—the manner or extent to which those individuals pursue research within the University. Such real or apparent conflicts, when not appropriately disclosed and addressed, can undermine public and professional confidence in the integrity of University research and sponsored programs. The existence of a real or apparent conflict of interest does not necessarily mean that the outside activity at issue must be avoided or discontinued. Often, conflicts of interest can be dealt with effectively through disclosure or other steps to resolve or manage the conflict.

This document sets forth University policy on the avoidance, disclosure, management, and resolution of financial conflicts of interest regarding University research and sponsored programs. Recognizing the broad variety of both professional settings in which conflicts may occur and means of responding to them, this policy specifies only the basic principles that should guide the resolution of financial conflicts of interest.

Specific requirements necessary to conform with federal law regarding financial conflicts of interest in federally funded research, including the conflicts of interest regulations issued by the national Institutes of Health at 42 C.F.R. Part 50.601 et seq., and the National Science Foundation in its Grant Policy Manual at Section 310, are detailed in a separate Statement of Compliance with Federal Conflicts of Interest Rules, which is at: http://www.indiana.edu/~deanfac/acadbhk/acad_handbk_2008.pdf. It is the responsibility of the Chancellors to ensure that each Campus, or all of the Schools or Departments within a Campus, adopt specific conflict of interest procedures, consistent with University policy and Federal and State law.

Additional Conflicts of Interest Information

Guidelines and Procedures on Conflicts of Commitment for Academic Appointees

Policy on Financial Conflicts of Interest in Research
University Faculty Council, September 12, 2000; March 9, 2004; April 27, 2004;
Board of Trustees, September 15, 2000; March 3, 2006.

Policy on Conflicts of Commitment Involving Outside Professional Activities
University Faculty Council, November 29, 2005; March 28, 2006;
Board of Trustees, April 7, 2006.

Indiana University recognizes that participation by Academic Appointees with outside activities often complements research, education, and public service responsibilities. Sponsored activities supported by grants, contracts, or gifts from outside organizations and individuals provide a valuable source of funds, equipment, and topics for University research. Consulting arrangements and other contacts between Academic Appointees and outside organizations advance the University’s ability to provide high-quality...
Guidance for Faculty on Copyright, Publication, and General Research Dissemination

Indiana University Purdue University at Indianapolis Circular 96-23
April 23, 1996
Adopted by the IUPUI Faculty Council, September 5, 1996

The increasing complexity of research and publishing places a growing burden on all faculty members to conduct research responsibly and to make critical decisions about the best means for dissemination of findings. Each of us must keep in mind that the sharing of research results is the highest priority in the publication process, but that process is often burdened with procedural and legal pitfalls. The following guidelines are intended to help faculty avoid those pitfalls as they seek the best means for publishing articles, books, and other creative works.

These guidelines are the work of the Ad Hoc Committee on Copyrights, Publication and General Research Dissemination, which comprises faculty from diverse departments of IUPUI, a representative of the Indiana University Press, and the Director of the Copyright Management Center.

For further information about these guidelines, and to share your observations about them and about your research needs, please contact the Office of Faculty Development or the Center for Teaching and Learning.

This guidance is presented in two parts. First are general guidelines to advise faculty of how to avoid potential procedural and ethical dilemmas. Second is an outline of applicable copyright law provisions pertaining to issues of dissemination and republication of personal research and writing.

A. Guidelines for Faculty Regarding Dissemination and Republication of One's Own Research Findings and Writing
1. In publishing in academic journals, obtain and follow the journal's printed guidance to authors. If there is a provision with which you cannot comply, discuss the matter with the responsible authority at the journal.

2. Many academic journals will not publish an article on findings of empirical research if the findings have been announced in any media source, including any discussion of your findings on the Internet. Consult the policies of the journals in which you plan to submit your research papers to determine whether the journal has such a policy.

3. If you present empirical research findings as an abstract or poster at an academic conference and they are published in a copyrighted conference proceeding, attribute that presentation with a footnote or reference in later papers submitted for publication. Be aware that advance dissemination of findings may preclude publication in some academic journals.

4. If you quote or paraphrase substantial portions of your own writing published elsewhere, cite that source.

5. If you quote or paraphrase portions of writing of students working under your direction, be sure to appropriately credit the student work.

6. Many research findings are patentable, and premature disclosure may jeopardize your ability to secure a patent. If you suspect that your work may be patentable, consult with the Office of Technology Transfer at Indiana University before making any disclosure in publications, on the Internet, or at conferences. Please keep in mind that patents can include a wide range of novel and useful works, including designs and computer software. For more information about patents, see: Copyright Management Center: Patent Law.

7. Many academic disciplines or scholarly societies have developed ethical standards regarding the publication and use of research findings. Consult with your colleagues and with your professional societies for appropriate standards.

**B. Applicable Principles of Copyright Law Regarding Dissemination and Republication of One's Own Research Findings and Writing**

To facilitate the more orderly management and control of a faculty member's publication of intellectual works, please keep the following points of copyright law in mind:

1. Copyright law protects the original expression as embodied in diverse works, including books, articles, software, visual works, art, and world wide web pages.
2. Copyright does not protect facts and data, although it may protect an original arrangement or organization of data, such as a table or graph.

3. Copyright protection is automatic for predictable works, from books and artwork to software and Internet messages. Registration and the use of the copyright notice on copies are no longer required, although they are good practice. Registration and the copyright notice can provide some additional legal benefits in the unlikely event of a lawsuit to protect your work. For more information about registration, access the U.S. Copyright Office home page at [http://www.copyright.gov](http://www.copyright.gov).

4. Authors generally own the copyrights to their works, although an employer may be the lawful copyright owner under the workmade-for-hire doctrine. For more information about works-made-for-hire, see: Copyright Management Center: What is a Work-Made-for-Hire?

5. Works created by hired researchers and student assistants may not automatically qualify as "work made-for hire." The copyrights to their works may continue to belong to the students or hired researchers. Therefore, you should have all such persons sign a copyright agreement, preferably before beginning work.

6. Some publishers require that the author assign to the publisher the copyright to articles and other works.

7. A copyright assignment must be in writing and signed by the transferor. An oral assignment, or a copyright notice placed on the publication, does not have the effect of transferring the copyright from the author to anyone else. For more information about transfers, see: Copyright Management Center: Can I Transfer My Copyrights to Someone Else?

8. The written publishing agreement for publication of your work is the most important instrument for determining the copyright owner.

9. The written agreement is also the best instrument for reserving specific rights of future use of your own work, if you need to assign the copyright to the publisher. Read your publishing contracts carefully! Feel free to ask questions and to negotiate terms that may be important to you.

10. If you need to assign the copyright to the publisher, you should consider the range of possible future uses you might seek to reserve, e.g., making copies for your teaching and research; copies for teaching and research by others at the same university; revising and republishing the work as an article, a book chapter, a conference paper; or putting the work on your World Wide Web home page.
11. If you assign the copyright to the publisher and you did not reserve specific rights, you could be infringing copyright when you use your own work, even if you cite the source and give full credit. "Fair use" allows limited uses of a copyrighted work, but not all uses, even for nonprofit education purposes, are allowed without permission from the copyright owner.

12. In addition to avoiding infringements of copyrights that may be held by publishers, you should consult with your colleagues and supervisors about the ethical appropriateness of reusing your own writings and research findings.

13. For further information about copyright, you will find a variety of materials available on the Copyright Management Center home page at: http://www.copyright.iupui.edu.
Section Four:

IUPUI Services, Resources, and Student Relations

Division of Student Life: http://life.iupui.edu/

Office of the Registrar: http://registrar.iupui.edu/

Academic Calendar: http://registrar.iupui.edu/accal.html

Principles for Undergraduate Learning: http://www.iport.iupui.edu/selfstudy/tl/PULs


RISE Initiative: http://www.iupui.edu/administration/acad_affairs/pace/

Faculty Sponsorship of Student for Admission as a Beginning Freshman (effective May 2003): http://www.iupui.edu/~fcouncil/documents/facultysponsorshipfinal.htm

Distance Education Policy and Guidelines

Policies and procedures for faculty participation in distance education are under development by a number of university distance education committees. Guidelines and current information about distance education and Indiana University may be obtained from the Dean of the Faculties.

Student Disciplinary Procedures for Academic Misconduct Involving the IUPUI Campus

Academic Misconduct Flowchart: http://www.iupui.edu/~fcouncil/handbook/studentmisconduct.html

Recommendation to Amend the UFC 3/27/01 Policy on 100/200 Level Course Transfers to IU from Two-Year Institutions: http://www.iupui.edu/~fcouncil/documents/2-year-transfer_proposal.htm

IUPUI Admission Policy
For freshman students:
http://enroll.iupui.edu/admissions/undergraduate/freshmen/admission.shtml

For transfer students:
http://enroll.iupui.edu/admissions/undergraduate/transfer/requirements.shtml

For returning students: http://returnto.iupui.edu/

For visiting students:
http://enroll.iupui.edu/admissions/undergraduate/non-degree/requirements.shtml

IUPUI Forgiveness Policy
The purpose of this policy is to establish an effective way to encourage capable, mature students to return to IUPUI when they have achieved poorly during an earlier attempt at higher education within Indiana University. The spirit of the policy is to provide a fresh start for Indiana University students in the same way accorded to students who transfer into IUPUI from other universities.

1. The IUPUI Forgiveness Policy applies to former IU students pursuing a first undergraduate degree who have been away from the IU system and have not attended any other college or university, including any campus of IU, for a minimum of the last three years. Each school may set a longer minimum if it so chooses. This policy first becomes available to students returning to IUPUI in the Fall of 1996.

2. Schools retain the right to grant forgiveness to their degree-candidates. Consequently, students must confer with each school about its specific policy. If a student changes schools, the new school may choose not to honor forgiveness granted by the student's previous school and may choose to count all courses and grades for purposes of admission to the school, granting of honors, or of meeting the minimum grade point average (GPA) required for conferral of the degree; the cumulative GPA would thus once again include all courses previously forgiven.

3. Students must make application for invocation of the policy upon application for admission to a degree-granting unit. If the student has not yet been admitted to a degree-granting unit, the student should submit a notification of intent to petition for academic forgiveness as part of the academic advising process.

4. The school will evaluate the student's transcript. If the petition is approved, all courses taken previously will remain on the permanent record. Only courses with grades of A+, A, A-, B+, B, B-, C+, C, P, and S may be counted toward degree completion, though the value of these grades will not be calculated in the student's cumulative GPA. The school may establish guidelines which define a GPA threshold above which a student may not petition for forgiveness.
5. If the petition is approved, the student starts with a recalculated cumulative GPA of 0.00 after which all the rules of academic probation and dismissal for the school will apply. After approval, the student must complete a minimum of 32 credit hours on the IUPUI campus after his/her return in order to meet the graduation residency requirement.

6. If the petition is approved, the dean of the school granting the petition has the authority to impose stipulations or conditions for continued enrollment of the student and may delegate to readmission committees or other administrative officers authority in these matters.

7. Forgiveness may be invoked only once. The policy is not available to a student pursuing a degree after a first baccalaureate degree, regardless of the level of the second degree or where the first degree was awarded.

8. Invocation of the forgiveness option does not preclude a student from using other available course-specific grade replacement options for work taken subsequent to re-enrollment.

9. Forgiveness is only available for courses taken at Indiana University. Schools retain the right to consider records of performance from other universities in determining admission to the school, granting of honors, or other matters.

IUPUI Faculty Council (11/23/93)
Student Affairs Committee (1/24/94)
Academic Affairs Committee (1/24/94)
Academic Policies and Procedures Committee (10/13/95)
Dean of the Faculties (10/26/95)
Academic Affairs Committee - approved clarified language (2/13/97)
Academic Policies and Procedures Committee - clarified language (2/21/97)
Dean of the Faculties (3/31/97)

**Grade Replacement Policy**

The purpose of this policy is to allow students who have done poorly in a course to repeat the course and remove the weight of the earlier grade from the student’s cumulative grade point average. The committee sees this policy as an expansion of the current FX policy by extending the replacement option to courses in which students receive any grade rather than just grades of F. Schools retain the right to consider the student’s complete academic record for purposes of admission to the school, granting of honors, or in meeting the minimum GPA required for conferral of the degree.

1. The effective date is the beginning of the Fall 1996 semester. Any course being used to replace an earlier taking of the course must be taken in the Fall of 1996 or later.
2. The provisions apply to students pursuing an undergraduate degree only.

3. A student may exercise the grade replacement policy for a maximum of 15 credit hours. The 15 credit hour limit includes any course previously replaced using the FX policy.

4. Grade replacement replaces use of the FX option. Grades previously granted FX will be honored subject to #3 above.

5. A student may exercise the grade replacement policy no more than two times for a single course. Each attempted replacement will count towards the 15 credit limit.

6. Once invoked, a student may not subsequently request reversal of the grade replacement granted to a particular course.

7. Any grade may be replaced. The replaced grade will then be excluded from the cumulative grade point average. However, the course listing and the replaced grade will remain on the student's academic record with an "X" denoting that the grade is excluded from the cumulative grade point average.

8. Invocation of the forgiveness option does not preclude a student from using grade replacement for work taken subsequent to re-enrollment as defined by the Forgiveness Policy.

Grade replacement is available only for courses taken at Indiana University. Schools retain the right to consider records of performance from other universities in determining admission to the school, granting of honors, etc. [Note: This assumes that if the student’s initial course was taken on another IU campus, that campus is willing to place the replacement flag on the course at our request.]

*Approved by Academic Affairs Committee, November 17, 1995*

*Approved by Academic Policies and Procedures Committee with the stipulation that academic units will interpret as appropriate for their unit or not implement if it is not applicable and in concert with their requirements, February 8, 1996*

*Approved by IUPUI Faculty Council, December 5, 1996*

**Policies Regarding Final Examinations**

Final examinations are given in all courses except those in which the instructor decides an examination is not necessary.
Students should consult the final exam schedule early in the semester to discover problems such as more than three exams in one day or insufficient time to cover the distance between successive exams. Final examination conflicts should be resolved with the course instructors.

Except for laboratory, clinical, studio, and other activity-based sections, final exams - whether comprehensive or not - are to be given at the appropriate scheduled time during the formal final examination week. Tests or major writing assignments may not be required during the week before the formal final exam week. However, papers, projects, or oral presentations may be due during the last week of class when assigned on the syllabus or announced at the beginning of the semester. Exceptions must be approved in advance by the dean of the particular school involved.

The final exam schedule is established to limit potential conflicts in a student’s final exam schedule. If an exam is given, it must be held on the day and time published. If the instructor changes the exam time, and that change creates conflicts for a student, he/she should first consult with the instructor. If the problem is not resolved he/she should report the change to the instructor’s department chairperson. If the problem is not resolved at that level, the student should contact the chairperson’s dean, or director. If the conflict is not resolved at that level the student may contact the Office of the Dean of Faculties. An instructor giving a final examination before the final exam period should be reported in the same way.

Approved by IUPUI Faculty Council December 2, 1999

**Cheating and Plagiarism**

Cheating on examinations or other course work, alteration of records, or illegal use of examinations is considered dishonesty. Anyone who permits or helps others to cheat is as guilty as the persons assisted. Plagiarism is the presentation of the work of another as one’s own. Honesty requires that ideas or materials taken from another source be fully acknowledged. The language or ideas taken from another may range from isolated formulas, sentences, or paragraphs to entire articles copied from books or from the writing of other students. The work of others should be clearly identified, generally through the use of quotation marks and footnotes.

A faculty member who suspects cheating or plagiarism initiates the process of determining guilt or innocence. No action is taken before the student has been informed of the charges and has had an opportunity to reply. This process may result in disciplinary action and dismissal from the university.
For further regulations, refer to the Indiana University Code of Student Rights, Responsibilities, and Conduct, which can be obtained from the School of Continuing Studies.

**Student Records: Release of Public Information**
http://registrar.iupui.edu/confiden.html

### Access to Academic and Administrative Policies Using Computer Networks

Continuing efforts are underway to increase the amount of information that can be accessed on the campus computer networks. Many academic and administrative policies and procedures, the Schedule of Classes, and facts about IUPUI as well as other IUPUI information such as library holdings are available on-line through the IUPUI computer network. Access can be obtained through the IUPUI Home Page (http://www.iupui.edu).

**Policy on Personal Web Pages:** http://kb.iu.edu/data/begk.html

**Publishing on the WWW:** http://kb.iu.edu/data/adbs.html

**Personal Home Pages:** http://kb.iu.edu/data/alcr.html

**Administrative Withdrawal Policy:** http://registrar.iupui.edu/withdrawal-policy.html
Section Five:

Support Services

Administrative Offices

- Division of Student Life: [http://www.life.iupui.edu/](http://www.life.iupui.edu/)
- IUPUI Faculty Council: [http://www.iupui.edu/~fcouncil](http://www.iupui.edu/~fcouncil)
- IUPUI Staff Council: [http://www.iupui.edu/~scouncil](http://www.iupui.edu/~scouncil)
- Office of Academic Affairs / Office of Executive Vice Chancellor and Dean of the Faculties: [http://www.iupui.edu/administration/acad_affairs/](http://www.iupui.edu/administration/acad_affairs/)
  - Faculty Appointments and Advancements: [http://faa.iupui.edu/](http://faa.iupui.edu/)
  - Services and Centers for Faculty (Center for Teaching and Learning, Research and Learning, Service and Learning.): [http://www.iupui.edu/administration/acad_affairs/cls/](http://www.iupui.edu/administration/acad_affairs/cls/)
  - Office of International Affairs: [http://www.iupui.edu/~oia/index.html](http://www.iupui.edu/~oia/index.html)
  - Research and Sponsored Programs: [http://research.iupui.edu/](http://research.iupui.edu/)
  - Libraries: [http://www.iupui.edu/about/libraries.html](http://www.iupui.edu/about/libraries.html)
- Office of External Affairs: [http://www.iupui.edu/administration/extaff/](http://www.iupui.edu/administration/extaff/)
- Office of Finance and Administration: [http://www.adfi.iupui.edu/](http://www.adfi.iupui.edu/)
- Office of Planning and Institutional Improvement: [http://www.planning.iupui.edu/](http://www.planning.iupui.edu/)
- Office of Research Administration: [http://www.iupui.edu/~resgrad/](http://www.iupui.edu/~resgrad/)
- Graduate Education: [http://www.iupui.edu/~gradoff/](http://www.iupui.edu/~gradoff/)
- Office for Women: [http://ofw.iupui.edu/](http://ofw.iupui.edu/)

Safety and Emergency

- Escort Service: [http://www.police.iupui.edu/](http://www.police.iupui.edu/)
- IUPUI Emergency Preparedness: [http://www.iupui.edu/~prepared/](http://www.iupui.edu/~prepared/)

Services

- Adaptive Educational Services: [http://www.iupui.edu/~divrsity/aes/](http://www.iupui.edu/~divrsity/aes/)
- Indiana Members Credit Union: [http://www.imcu.com/index.aspx](http://www.imcu.com/index.aspx)
- University Faculty Club in Indianapolis: [http://facultyclub.iupui.edu/](http://facultyclub.iupui.edu/)
Fitness and Recreation

- IUPUI Sports Complex: [http://www.sportcomplex.iupui.edu/](http://www.sportcomplex.iupui.edu/)
  - Natatorium: [http://www.iunat.iupui.edu/](http://www.iunat.iupui.edu/)
  - Indianapolis Tennis Center: [http://www.indytennis.iupui.edu/](http://www.indytennis.iupui.edu/)
- National Institute for Fitness and Sport: [http://www.iupui.edu/building/IF.htm](http://www.iupui.edu/building/IF.htm)