Indiana University-Purdue University Indianapolis

Indianapolis Faculty Council (IFC)

Minutes

February 2, 2016 ~ CE 409 ~ 3:00 – 5:00 p.m.


Agenda Item I: Welcome and Call to Order
IUPUI Faculty Council Vice President Ed Berbari called the meeting to order at 3:00 p.m.

Agenda Item II: Adoption of the Agenda as the Order of Business for the Day
The Agenda was adopted as the Order of Business for the Day.

Agenda Item III: Memorial Resolution: Professor David A. Flockhart
Circular 2016-01 appended to the minutes.

A moment of silence was given by the assembly, and the resolution was entered into record.

Agenda Item IV: [Action Item] Approval of the IFC November 3, 2015, December 1, 2015, and January 12, 2016, Minutes
The minutes of the November 3, 2015; December 1, 2015; and January 12, 2016, IFC meetings were approved and entered into record.

Agenda Item V: Updates/Remarks from the Chancellor
Nasser Paydar, Indiana University Executive Vice President and Chancellor of IUPUI

Paydar welcomed everyone back following the New Year and reported on the following:

- The Black Student Union hosted the annual Dr. Martin Luther King, Jr., Dinner on January 17. His remarks can be found at https://chancellor.iupui.edu/communications/speeches/2016/20160117-01.html.
• North Hall (student residence hall) is visible, on time, and under budget at this time. Housing numbers are even better this year. Last year, there were 600 on a waiting list with 290 living off campus. He is confident there will be 100% occupancy when housing opens in the fall.

• Strategic Plan: Diversity and Inclusiveness on Campus:
  o A task force was formed to look at the recruitment and retention of African-American students on campus. The task force has issued their report, and he will send it to various groups to begin implementation. The next task force to be assigned will be on the recruitment and retention of Latino students followed by the recruitment and retention of Latino faculty and staff.
  o A consultant was asked to review diversity and inclusiveness on campus. How are we doing at the campus level? In the schools? In the units? They looked at all of our activities, websites, programs, etc., and issued a report that will be shared with all bodies on campus.
  o Another consultant, Daryl Smith, was hired to work with deans on their diversity plans. A meeting on campus climate was held with our deans, faculty, and staff, and LGBT community on January 13. The focus was on sensitivity. The first of two town hall meetings will be on February 15 to provide a forum for diverse and open dialogue.

• Welcoming Campus Initiative: We want to create an environment on this campus so that students and employees feel welcome and respected. We want to create a welcoming campus. Five task forces will be appointed that will look at what being welcome means: one focusing on students; one focusing on faculty and staff (What does it mean for these groups to feel welcome? What issues do we have?); one focusing on cultural identity and multicultural competence (diversity and inclusiveness); one focusing on alumni and community (Are we open to visitors? Is it a safe place? Are there things that will bring the community to us?); and one focusing on the physical environment (Do we look nice? Do we have things we all need to make us feel proud to be here?). The Faculty Council, Staff Council, and other groups have been invited to look at the proposal to comment.

Agenda Item VI: Updates / Remarks from the IFC President
Marianne Wokeck, IUPUI Faculty President

Wokeck welcomed the members to the spring semester and gave the following report:

• Thanked the staff supporting the IFC for the new sign-in method.
• The IFC Executive Committee heard a report from the Dean of Students Jason Spratt and the dean of Student Conduct Brian Tomlinson about the campus’s compliance of Title IX. They reported the campus is not perfect; however, the EC was reassured that we are getting to a culture on campus where if students feel there are issues of sexual harassment or other concerns, they know who to turn to and trust for the conversations and help needed. Some of the reports of assault and domestic violence are off campus where alcohol and drugs are not monitored by RAs. We need to be vigilant in helping students and the campus community be educated and informed on Title IX issues.
• The annual Campus Conversations between the Budgetary Affairs Committee, Campus Planning Committee, academic deans, and support units will be on February 24 and 25. Overall, we have learned from these.
• The Executive Committee has been having conversations with various schools about what might or should be in shared governance in IU Online programs. We do not know what best practices should be or how to structure faculty governance. She received an inquiry from Arizona State University, who already has a large system in place, about what we are doing. We tend to be unit focused and not system focused. We have changed and continue to change. Conversation will continue.
• President McRobbie opened the Berlin portal last year with the opening of an IU office in Berlin to help provide opportunities for student/faculty exchanges in universities. A meeting has taken place with a group on campus about how to work with the portal to encourage exchanges, research,
programs, etc. She invited the members to let her know of people with these areas of interest so they can get involved in the discussion.

- The IFC looks forward to participating in the five welcoming campus task forces the chancellor mentioned in his report.
- EVC/CAO Kathy Johnson will report on the university’s bicentennial celebrations at the next IFC meeting. She co-chairs the university steering committee.

**Agenda Item VII: Call for IFC or UFC Standing Committee Reports**

- Technology Committee: Sara Hook, committee chair, gave the charge of the committee: Examine overall planning, use, and funding of technology at IUPUI; and advise and act as liaison with administration, as well as faculty and other technology committees including those which are university-wide. The committee works alongside the Distance Education Committee and has at least one joint meeting each year. The committee has focused on the IU Infrastructure Task Force, Canvass, One.IU, Unizin, the future of Oncourse project sites, Blue evaluation initiative, classroom technology, IU Online, replacing the FAR system, update on Office 365, One Drive, Google Apps, 3D printers on campus, Next.iu.edu, and update on e-dossier system.
  - Additional topics for 2016: High definition connections, additional classroom support, and video conference support.
  - Question: What is the future of the Oncourse Project Site? Hook said curriculum related type functions can be done through Canvass, but Google Apps and IU Box would be used for other projects. This has not been fully decided yet and continues to be on the committee agenda every meeting as it is so important to faculty. Berbari asked who makes the decision. Hook thought it was UITS.

- Campus Planning Committee: Miriam Murphy, committee chair, reported on the upcoming Campus Conversations:
  - Participants will be: IFC Executive Committee, IFC Budgetary Affairs Committee (BAC), IFC Campus Planning Committee (CPC), Academic Deans, Vice Chancellors, and Associate Vice Chancellors.
  - Process for the Campus Conversations:
    - BAC and CPC faculty draft questions
    - IFC-EC reviews and selects the questions to be submitted
    - Deans and vice chancellors receive questions as part of budget planning documents from EVC Johnson
    - Chancellor and EVC meet with each academic dean regarding the budget
    - IFC participants review answers to questions
    - IFC participants meet with deans and vice chancellors
    - CPC and BAC write up reports on the meetings for the IFC
  - Format for 2016 Campus Conversations:
    - Meeting with vice chancellors as a group on February 25
      - Vice chancellors will make brief presentations
      - Discussion among vice chancellors
      - Open discussion with IFC participants
    - Meet with deans as a group on February 24
      - “World Café” format – participants rotating among tables
      - 7 to 8 tables with 8 to 10 participants at each table
      - 4 sessions of 20 minutes each
      - Open discussion with IFC participants
  - Questions to be asked during the Campus Conversations:
    - How do you prepare students to compete in the employment market place? To what extent is this based on feedback from employers?
- What are your current and planned doctoral degree programs? How are you addressing the need for IUPUI to receive credit for doctoral programs?
- Describe the collaborations between IUSM and your school, and how these collaborations are supported/funded.
- One additional question to be determined.
  o The CPC also provided the chancellor feedback on the Welcoming Campus Initiative.

**Agenda Item VIII: [Information Item] Report on Campus Safety**

Carlos Garcia, Director, Emergency Management & Continuity
Kevin Figg, Captain, UPD-Indianapolis

Garcia and Figg reported as follows:

- **Responsibility for Public safety on IUPUI**
  o Who owns it? Everyone does. Everyone has a stake. IUPD is responsible for upholding and enforcing university policy, and the laws established by local, state, and federal government levels. IU emergency management is responsible for support in the overall emergency preparedness activities for the campus.
  o How will we know about emergencies? IU Notify uses a platform called Rave Mobile as a means to connect to specific groups, a campus, or all of IU; connects to email, phone, test/SMS, Facebook, twitter and campus websites; while IU EM is responsible for maintaining the system, others use it regularly (IUPD, CFS, EHS, INLOOC).
  o When is IU Notify Used? For those potential incidents that threaten the safety of the campus community, an IU Notify message will be issued as is required by federal law (Jeanne Cleary); Incidents such as armed persons or other violent crimes get an immediate broadcast. Data collection – from the sources at our disposal or from those of our partners – ask the questions – is there danger to campus? Is this credible? How quickly can it be resolved? For any decision made, it has to be communicated to the larger community – that is crisis communication - the right audience, the right message, and potential protective actions. Campus leadership (chancellor and his cabinet) have the final say regarding the course of action – class cancellations, event postponement, campus closure. Information is sent out every 30 minutes, if available.

- **Emergency Alerts and Warnings**

- **Key Terms and Their Definitions**
  o Shelter-in-place: The practice of staying in an interior room (whether in classroom or office) within a facility for refuge from an incident until an all-clear is given (severe weather, HazMat, etc.)
  o Safe/Secure Shelter – The practice of finding a location or area within a campus facility which offers the best protection from an emergency.
  o Armed Assailant (aka Active Shooter/Hostile Intruder) – A person who appears to be actively engaged in killing or attempting to kill people in a populated area – typically employing the use of firearms.
  o Evaluation – Organized, phased, and supervised withdrawal, dispersal, or removal of civilians from dangerous or potentially dangerous areas and their reception and care in safe areas. For facilities with medical or dental care, you can stay where you are if you are doing a procedure. A floor warden will notify someone in charge that you are still in the building, where you are, and what you are doing.

- **Run, Hide, Fight**
  o A person with a weapon is reported on campus – what do you do? This depends on where you are on campus, where the person was reported, and what’s going on around you. But you have three options:
- Run – Get away from the area – do not stop until you are safely away from the incident
- Hide – If you cannot get out of the area - find a room or location where you are that you can get out of sight.
- Fight – If you have no option, attempt to take the perpetrator out – use ONLY as a last resort.
  - These are not sequential steps – these are options – each situation is different and what works in one location may not work for another.

- Personal Preparedness
  - There are many things you can do to ensure your own personal safety and level of preparedness.
  - Be aware of changing weather conditions, issues with traffic on and off campus, and ensure someone knows what your schedule is.
  - Understand your immediate surroundings – whether at the office or in the classroom – know at least two ways to exit the building.
  - Obtain as much information and training on the hazards or threats potentially facing the campus.

- Protect.iu.edu

Questions:
- Dean Rhodes: What would be helpful to us as we talk to prospective students and families is comparative data to help them feel their student will be secure here. Figg said he could provide data that show the campus is safe. He spoke of the three robberies in December that were not on campus, but close to it. We had to send out an IU Notify per law. Sometimes those reports make the campus look unsafe, but it is not true.
- Miriam Murphy: Who makes the tornado shelter-in-place decision? Response: We will walk through a building and identify the potential locations that fall in the guidelines we have (FEMA, Federal Fire Marshal, etc.) When there is a tornado warning, we will use IU Notify, website, etc. to go to the designated shelter space for the building you are in.
- Kate Thedwall: Did the Lecture Hall gets locks on their doors? The response was that they did not know. Thedwall said there is faculty concern for that building. Broeker said they should have, but she will check on that.

**Agenda Item IX: [Information Item]: Report on Internationalization**
Gil Latz, Associate Vice Chancellor for International Affairs

Latz spoke to the appended presentation. Bill Schneider asked about the number of faculty engaged in research here and international. Latz felt the number was probably very small. Schneider said these data should be involved in the plan. Are there numbers of faculty? Latz said one of the recommendations is to use the in transition faculty review and increase the number of faculty teaching and research in global activities. We have the information, but it isn’t as robust as we would like. It is a recommendation that has been added to the plan. Schneider said there is a recommendation on the number of international students. Latz said that is true, but faculty is key to the internationalization process. It is an important priority as we move forward. He would be happy to develop specific targets if everyone agrees. Schneider will help him with that.

**Agenda Item X: [First Read] Election Slate: Faculty Grievance Advisory Panel**
L. Jack Windsor, Chair, Nominations Committee

Windsor read the following slate for the Faculty Grievance Advisory Panel. The term begins February 1. The election will be online after the March 1 IFC Meeting.
IUPUI Faculty Council: Slate for Faculty Grievance Advisory Panel
Term: February 1, 2016, through January 30, 2018
Number to Elect: 4; Number to Slate: 8

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Rank</th>
<th>School</th>
<th>Department Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dynlacht</td>
<td>Joseph</td>
<td>Ten FT2</td>
<td>Medicine</td>
<td>Radiation Oncology</td>
</tr>
<tr>
<td>Goebl</td>
<td>Mark</td>
<td>Ten FT1</td>
<td>Medicine</td>
<td>Biochemistry/Molecular Biology</td>
</tr>
<tr>
<td>Haug</td>
<td>Steve</td>
<td>Ten FT1</td>
<td>Dentistry</td>
<td>Prosthodontics</td>
</tr>
<tr>
<td>Murphy</td>
<td>Miriam</td>
<td>Ten FL2</td>
<td>Law</td>
<td>Library</td>
</tr>
<tr>
<td>Palmer</td>
<td>Kristi</td>
<td>Ten FL2</td>
<td>University Library</td>
<td>Center for Digital Scholarship</td>
</tr>
<tr>
<td>Vidal</td>
<td>Ruben</td>
<td>Ten FT1</td>
<td>Medicine</td>
<td>Pathology/Laboratory Medicine</td>
</tr>
<tr>
<td>Windsor</td>
<td>L. Jack</td>
<td>Ten FT1</td>
<td>Dentistry</td>
<td>Oral Biology</td>
</tr>
<tr>
<td>Wokeck</td>
<td>Marianne</td>
<td>Ten FT1</td>
<td>Liberal Arts</td>
<td>History</td>
</tr>
</tbody>
</table>

Agenda Item XI: Question / Answer Period
There were no questions.

Agenda Item XII: Unfinished Business
There was no Unfinished Business.

Agenda Item XIII: New Business
There was no New Business.

Agenda Item XIV: Report from the IUPUI Staff Council
Jim Klenner, President

Klenner reported on the following:
- At the January SC meeting, Chief Bob True spoke about the HAWK light on New York Street near the Natatorium. The light is designed to stop road traffic and allow pedestrians to cross the street. When a pedestrian pushes the walk button, the lights begin to flash red, then a steady red.
- Retreat is on July 22 at the Indianapolis Zoo
- April 7, July 2, October 12 are the blood drive dates.
- The SC participated in the MLK Dinner as well.
- The SC Committee on Diversity, Equity, and Inclusion is planning a Diversity Fair for 2016. The chair of the committee sent a survey to staff about the past diversity fair and their knowledge of it. It was found that most staff did not know about it, so more planning will be done this year to have better participation.
- June 7 is the second annual Mini Conference to be held in the Campus Center, Rooms 450B and C.
- Kati Reeves is the new Staff Council coordinator. The transition is going nicely.
- The council is working with Parking Services to have a more proactive stance in communicating with faculty, staff, and students on changes in parking.

Agenda Item XV: Final Remarks and Adjournment
With no further business appearing, a motion was made and seconded to adjourn. The motion passed and the meeting was adjourned.

Minutes prepared by Faculty Council Coordinator, Karen Lee
AD 5002N / 274-2215 / f council@iupui.edu / http://www.iupui.edu/~fcouncil
COMMITTEE ASSIGNMENTS FOR 2015-16

Report on Council Actions 2015-16 (per Bylaws Article 1. Section C.3)

Items that have been completed by the committees follow the Assignments for committees.

Committee Assignments

**Academic Affairs Committee**
- Campus Policy on Limits in Withdrawal: Policy to be voted on by AAFC, EC, and IFC fall 2013. *(Oct. 2013: The AAC agreed that the policy was too restrictive. They agreed that students should not be allowed to enroll and withdraw (or fail) a given course numerous times. Perhaps an alternative solution is to block registration for such students, initiated at the unit level. The registrar will investigate creating lists of students who enroll repeatedly in the same course. These lists could be provided to the appropriate unit for action (registration block), if the units choose to do so. Annual Report 2014: The proposed policy was put on hold pending further fact-finding and deliberation.)*
- Grade Forgiveness Policy *(Annual Report 2014: Carried over from 2012-2013. Should this assignment be eliminated?)*
- Investigate what, if any, “University Sanctioned Events” should be included in the Registrar’s list of Course Policies *(Annual Report 2014: Committee added this assignment for the 2014-15 AY.)*
- IUPUI Policy on International Teaching Assistants
- Potential Policy on Credit Hour Overlap Between Minor to Major or Major to Second Major *(Porter email of 5-14-14)* *(Reported at February 3, 2015, IFC Meeting: The committee concludes that the academic units should have such policies and is generating a draft.)*
- Evaluation of IUPUI Withdrawal Deadlines
- For 2015-16: Decide whether or not common questions should be adopted for course evaluations at IUPUI. Determine how common questions should be handled within core schools at IUPUI.
- Best Practices for Accelerated Programs

**Budgetary Affairs**
- Assessments (School of Medicine)
- RCM Review/Banded tuition
- Cluster Conversations
- Monitor parking revenue / parking in general

**Campus Planning Committee**
- Cluster Conversations
- Review IUPUI Strategic Plan including performance indicators and identify important goals and formulate priorities recommendation from the faculty’s point of view. *(Update: review ongoing.)*
- Review and comment on National Survey of Student Engagement (NSSE) survey and other tools that gather information about students and faculty. *(May 2014/May 2015: No action taken due to priority given to other action items.)*
- Review and comment on PULSE surveys; the data gathered by these surveys may be reviewed by this committee; examples: campus safety; diversity; common theme. *(May 2014/May 2015: No action taken due to priority given to other action items.)*
- Review and comment on Continuing Student Campus survey (first and second years – in house); (every third year NSSE is administered) *(May 2014/May 2015: No action taken due to priority given to other action items.)*
- Advise IUPUI Administration: Planning and Institutional Improvement Administrative Liaison on outcomes. *(May 2014: Ongoing.)*
- Monitor parking on campus. Is the current plan working?
- Monitor crosswalk document in regard to IUPUI Strategic Plan and Bicentennial Strategic Plan.

**Constitution and Bylaws Committee**
- Verbatim Minutes: Review proposal to exclude notation of taking verbatim minutes if a recording is being made during council meetings. *(Committee discussed this item; will suggest wording.)*
- Nominations Committee: Review and change bylaws so that the Nominations Committee is made up of faculty governance leaders of the schools. *(Update 7-10-14 and 6-10-15: keep on agenda.)*
- Review and rewrite the charge to the Constitution and Bylaws Committee. *(Update 7-10-14 and 6-10-15: keep on agenda.)*
- Recognition of Honors College *(Update 7-10-14 and 6-10-15: Recognition was discussion, but until the school submits a constitution and bylaws for review, no action is taken. Keep on agenda until C&B submitted by Honors College.)*
- FGAP Bylaws revision (problem raised by Handbook Committee/Faculty Affairs Committee in 2013-2014). *(Update 7-10-14 and 6-10-15: keep on agenda.)*
Undergraduate Curriculum Advisory Committee: (Update 2-25-14: With the Strategic Plan, this committee is under review by the Academic Affairs Committee and Faculty Affairs Committee as they determine whether the IFC should endorse an Undergraduate Curriculum Committee. The Constitution and Bylaws Committee will be asked to make a motion to remove the UCAC from the IFC Bylaws should the IFC endorse the new committee. The committee has been endorsed, so a motion is needed.)

Limit the amount of time a Board of Review can be heard before time runs out once it has been assigned by the IFC-EC. (Motion made by IFC-EC on March 27, 2014.) (Update 7-10-14 and 6-10-15: keep on agenda.)

Metropolitan Affairs Committee: The committee has been inactive for several years and no member appointed. Does it still need to be a committee of the IFC?

Add the usage of Senior Academy faculty when considering composition of Boards of Review (Assigned per IFC-EC at 5-21-15 meeting).

Look at coordinating with IUB the University Policies and how they interact with each other. (Also assigned to Faculty Guide Committee.)

Review Constitution Article 1. Faculty Membership. Section A1. to reflect members of the faculty are those whose tenure home is IUPUI regardless of where they primarily work.

Distance Education Committee
- Continue work to include IUPUI’s voice in decision making at the university/system level
- Canvas transition
- IU Online Updates
- Follow-up on policies related to online testing and pilot software
- Review of video technologies utilized in distance education
- Connect with CTL on faculty development related to online education
- Replacement of Oncourse Project Sites

Faculty Affairs Committee
- “Term Contracts” in the School of Medicine for faculty not complying with standards set by the school. (Update from annual report: Committee not able to identify the problem to be addressed during 2014-15.)
- School of Medicine policy issued for compensation guidelines for tenured faculty. (Update from annual report: Lengthy discussion eventually foundered on the question of academic freedom. Is the SoM required to bow to the university in deciding standards?)
- How is tenure viewed in the School of Medicine and how is it tied to the practice plan?
- Review draft Adjunct Faculty Policy/Procedures for Promotion (On 4-21-14 committee agenda. Lavitt gave to Koskie on 2-2-15 by email. Will be addressed at the September 2015 meeting.)
- Definition of Tenure Status (Update from annual report: Not able to identify the problem to be addressed.)
- Report from the Task Force on Non Tenure Track Faculty (The task force will be asked to report to the FAC on its report; the FAC will also be asked to look into the role of “academic specialists” and “full-time academics” and then prepare a proposal for voting by the IFC.)
- What does the word “faculty” mean in policies in the Faculty Guide? Who are the policies for? (“Faculty” appears to mean something different depending on the policy.)
- How can we involve more faculty in faculty governance (who can serve, who can vote, Faculty Guide, develop new faculty to serve in governance as the more senior faculty retire)?
- Statement on Academic Freedom
- Sexual Violence Prevention Rules – compliance and review

Faculty Guide Committee
- Archive Faculty Guide on July 1, 2016.
- Look at coordinating with IUB the University Policies and how they interact with each other. (Also assigned to Constitution and Bylaws Committee.)

Fringe Benefits Committee
- Benefits: Keep pushing to get the message out about benefits in a timely manner.
- Get the word out to faculty: Clinical Care Services at IUPUI (http://hr.iu.edu/benefits/CCServices/index.html)
- Comparison of benefits for IUPUI and IUB faculty.
- Maternity and Family leave
- Benefits for part-time faculty
- How does the IUPUI benefits plan compare to other institutions?

Library Affairs Committee
- Monitor Open Access – Better way to upload articles. Communication.
- Role of online journals – How do librarians judge the quality of journals? Legitimate/non-legitimate guide to reputable journals. Evaluation of reputable journals.
- Library resources for students their faculty member has. They are not the same within Oncourse or Canvas.
Research Affairs Committee
- New research policy that took effect August 2015: Dual Use Research of Concern: [http://policies.iu.edu/policies/categories/research/IU-Research-Policies/durc.shtml](http://policies.iu.edu/policies/categories/research/IU-Research-Policies/durc.shtml)
- Biomedical Research Institute
- Policy on Centers and Institutes
- Animal safety
- Update on the transparency and funding of programs
- Presentation of the Indirect Cost Recovery guidelines to the IFC.
- Return of NIH funds from the administrators to the PI.
- Other study approvals – especially biosafety approvals and IACUC as centralization of oversight continues.
- Center designation process – inventory of active/inactive centers as a first fact-finding step.
- IUCRG Program – faculty input into future directions/funding priorities if the program continues.
- Monitor aspects of compliance across the university
- Purchasing and expenses on grants – detailed reporting
- Administrative burden on signature centers.

Staff Relations Committee
- “Guidelines on Use of Legal Credentials by Professional Staff” (JD Degree)
- TIME Timekeeping System
- Health Insurance Rates
- Performance Management
- Service with Distinction
- Intergroup Dialogue and Campus Civility
- Campus Safety / Emergency Planning

Student Affairs Committee
- Academic Misconduct
- Student Wellness
- Sexual Assault and Prevention / Title IX
- Awareness of students living on/off campus (Title IX and other issues)
- Climate Survey and LGBTQ Students
- Sexual Violence Prevention Rules – compliance and review

Technology Committee
- How does the committee become informed about policies, guidelines, recommendations, proposed technologies, etc., and how can it provide input before a decision is made? (Discussed, concerns expressed to various administrators about the lack of input until it is too late and the decisions about technology are already made. Same issue was discussed by the IFC Distance Education Committee. Continue discussion in 2015-2016.)
- Blue course evaluation system (Continue discussion in 2015-2016.)
- FAR system (Continue discussion in 2015-2016.)
- Oncourse project sites – what do we use now?
- One place to find a myriad of information. Communication. One.IU is not the answer.

Items Completed:

Academic Affairs Committee
- Interpretation of “first undergraduate degree” in the Grade Replacement Policy (10-14-15: Reported on and approved by the IFC. The interpretation is to mean “first baccalaureate degree” The clarification is effective fall 2015.)
MEMORIAL RESOLUTION
ON BEHALF OF
DAVID A. FLOCKHART, MD, PHD
EDITH GLADSTEIN PROFESSOR OF CANCER EPIDEMIOLOGY AND GENETICS,
PROFESSOR OF MEDICINE,
ADJUNCT PROFESSOR OF MEDICAL AND MOLECULAR GENETICS, PHARMACOLOGY AND TOXICOLOGY,
OBSTETRICS AND GYNECOLOGY
DIRECTOR, THE INDIANA INSTITUTE OF PERSONALIZED MEDICINE (IIPM)
DIRECTOR, DIVISION OF CLINICAL PHARMACOLOGY
INDIANA UNIVERSITY SCHOOL OF MEDICINE

David Alastair Flockhart, MD, PhD was born July 22, 1952, in Edinburgh, Scotland, the eldest of five children to Pamela Ellison Flockhart (née Macartney) and the Rev. D. Ross Flockhart. His parents originally hailed from Australia and emigrated to Scotland in 1951 where Ross studied Divinity at Edinburgh University. Both parents were committed to working for social justice by serving the community and as such the family home had an open door policy. The strong current of diverse dinner table discussion and a deep concern for the wellbeing of those less fortunate was an established part of David’s early years and throughout the rest of his life. David took great pride in his Scottish heritage and frequently took his three children to visit the family home in East Lothian and the tranquil Isle of Iona – where his family spent many holidays when his father served with the ecumenical Iona Community [courtesy of Carola Akindele-Obe].

As a child, Dr. Flockhart was tutored by a governess, and later attended junior school in Aberdeen. He attended George Watson’s College in Edinburgh for the majority of his secondary schooling before earning his undergraduate degree in biochemistry at the University of Bristol in England in 1973 and a Ph.D. from the Welsh National Medical School, UK, in 1976.

After completing his PhD, Dr. Flockhart moved to the United States to do a postdoctoral fellowship in physiology at Vanderbilt University. Following his fellowship, he traveled to New York where he served as Visiting Assistant Professor of Cell Biology at Rockefeller University for one year before returning to Tennessee to take a position as assistant professor in the department of physiology at Vanderbilt University. At this time Dr. Flockhart developed a strong interest in translating basic findings into patient care. In pursuit of this goal he decided to study clinical medicine and enrolled in medical school at the University of Miami from 1984 to 1987. Upon graduation he moved to Washington DC and Georgetown University Medical Center where he completed a medical residency and served as Chief Medical Resident. After completing his residency in 1991, he immediately enrolled in Georgetown University’s Clinical Pharmacology fellowship program. He subsequently joined the Division of Clinical Pharmacology, Department of Pharmacology at Georgetown University as a faculty member. There he gained valuable clinical pharmacology experience under the tutelage of internationally recognized scientists including Drs. Darrel Abernethy, MD, PhD, Carl Peck MD, and Raymond Woosley MD, PhD. He went on to serve as acting Chief and then the Director of the Division of Clinical Pharmacology and held the Francis Cabell Brown Professorship.

In 2001, Dr. Flockhart was recruited by Dr. D. Craig Brater to lead the Clinical Pharmacology program at the Indiana University School of Medicine (IUSM) in Indianapolis, Indiana. In addition to serving as the Chief of the Division, Dr. Flockhart held faculty appointments in the departments of Medicine, Medical and Molecular Genetics, Pharmacology and Toxicology and Obstetrics and Gynecology and he held the Edith Gladstein Chair in Cancer Epidemiology and Genetics. He was the Associate Director for
Therapeutics of the Indiana Clinical and Translational Sciences Institute (CTSI). In 2011, he founded the Indiana Institute for Personalized Medicine (IIPM) and served as its director. The IIPM is one of the nation’s first such institutes focused on tailoring treatments for individual patients.

Dr. Flockhart was a board-certified clinical pharmacologist and an internist. He was a key player in activities that enhanced the field of clinical pharmacology and translational sciences and he achieved national and international prominence through his pioneering contributions that spanned the entire translational spectrum, but particularly in the areas of drug-drug interactions, pharmacogenomics and personalized medicine. His research touched nearly every aspect of medicine, from cancer to cardiology, and obstetrics to infectious disease.

His early research focused on genetic causes of drug-induced toxicity in humans, including cardiotoxicity caused by antipsychotic drugs (e.g., pimozide). His group was the first to show that pimozide is metabolized mainly by CYP3A, which allowed prediction of its drug-drug interactions (e.g., with clarithromycin, a CYP3A inhibitor). This work also identified genetic polymorphisms of CYP2D6 as the key component in interindividual variability and QTc prolongation by pimozide which led to further study and notable contributions in identifying the genetic polymorphisms of CYP2D6 early on in the 1990s.

Another major contribution made by Dr. Flockhart and his group, and one that has directly impacted countless women around the world, has been the fundamental understanding of the modulation of the beneficial and adverse effects of the anti-estrogen drug tamoxifen, a widely used therapy for breast cancer and other endocrine disorders. His group identified endoxifen, an active metabolite of tamoxifen, and showed the heavy influence of CYP2D6 polymorphisms on the concentrations of this metabolite and tamoxifen treatment outcomes. This work also identified drug interactions that may compromise the efficacy of tamoxifen. These findings formed the basis for a change in the FDA label on tamoxifen and endoxifen is currently being evaluated as a potential new breast cancer therapy. This work also led to the discovery of other active metabolites of tamoxifen that form the basis for a whole new drug development program. Specifically, a group of structures have been identified that interacts with the estrogen receptor system and with aromatase, a sentinel observation that has implications for a number of therapeutic areas in women’s health.

Dr. Flockhart was a well-funded investigator, a PI of several U01s, RO1s, U54s, and T32s from the National Institutes of Health (NIH). For more than 10 years, he served as the Principal Investigator of the NIGMS Pharmacogenetics Research Network site at Indiana and as the lead investigator of the Consortium on Breast Cancer Pharmacogenomics (COBRA), an NIH-funded collaboration between the breast cancer programs at the Indiana University School of Medicine, the University of Michigan, Johns Hopkins University and Baylor College of Medicine.

Dr. Flockhart had a strong interest in advancing research in clinical pharmacology in pregnancy and pediatric populations. He co-founded PREGMED, the Indiana University Center for Pharmacogenetics and Therapeutics Research in Maternal and Child Health. This collaborative effort between obstetrics and pediatrics formed the basis for IU’s NIH-funded Obstetric-Fetal Pharmacology Research Units Network (U10) grant focused on new discoveries regarding individualized pharmacotherapy in pregnancy on which Dr. Flockhart served as PI. It also led to the awarding of an NICHD Specialized Center in Research in Pediatric Developmental Pharmacology Program (U54) grant on which he served as co-PI with his former trainee, Jamie Renbarger. This award seeks to improve the treatment of children with cancer by identifying the best combinations of biomarkers to predict response to anticancer chemotherapeutic agents and the creation of new dosing algorithms to optimize the treatment of this pediatric population.
In recent years his research was directed at efforts to implement pharmacogenomics as a means of improving drug therapy for the individual patient within short time frames. As PI of the INGENIOUS U01 project, funded by the NIH and part of the IGNITE network, Dr. Flockhart collaborated with other leading researchers from around the nation to study effective application of genomics in clinical care. He quickly assumed a leadership role within IGNITE, serving as the Chairperson of the group until his passing.

Dr. Flockhart’s infectious passion for discovery and patient care made him an exceptional teacher and mentor and he has trained a new generation of clinical pharmacologists. Throughout his career he actively mentored 7 medical and graduate students, 27 clinical and postdoctoral fellows and served as a primary mentor for 10 junior faculty members during the course of their career development awards (including RO1, K01, K08, K23, and K12 awards). Many of these junior faculty members have progressed from their K awards to become productive, independently-funded investigators. His mentees now hold academic and leadership position in academic medicine, pharmaceutical industry, and drug regulatory agencies in the USA and around the world.

In addition to his direct mentorship, Dr. Flockhart was exceedingly generous with his time and informally mentored graduate students, fellows and junior faculty throughout IU and beyond. He actively sought to expand the learning opportunities through NIH training grants and he served as PI on adult and pediatric training grants at IU and he developed a clinical pharmacology training program in Obstetrics and Gynecology. A critical component of the training program he developed at IU included a series of didactic lectures that was widely attended by division faculty, fellows and graduate students, as well as pharmacy residents and industry professionals, and he taught Clinical Therapeutics to fourth year medical students. Dr. Flockhart’s commitment to teaching extended beyond his work at IU and included organizing and teaching the American Society for Clinical Pharmacology and Therapeutics Curriculum Review Course and lecturing in the NIH Principles of Clinical Pharmacology course. He also developed a critical tool for the rational prescription of medicines that is used by physicians, pharmacists and scientists around the globe through his website, www.drug-interactions.com. Dr. Flockhart further shared his knowledge by lecturing around the world and through his publications of more than 250 articles, reviews, and book chapters.

As a physician and scientist, Dr. Flockhart understood the importance of treating the individual patient and he is widely recognized as a pioneer in promoting personalized medicine. His highly specialized clinical practice focused on adverse drug reactions and patients traveled from around the country, and from abroad, to benefit from his expertise.

D. Flockhart was a leader in the field of clinical pharmacology. He was a member of many professional organizations and he received several of the field’s most prestigious awards for his scientific contributions. These include the ASCPT Rawls Palmer Award for Progress in Medicine (2011), ACCP Nathaniel T. Kitt Award for Excellence in Research and Education (2009), William B. Abrams Lecture, FDA and ASCPT (2014), and Leon I Goldberg Memorial Lecture Lectureship Award, University of Chicago (2015). He served on NIH study sections for NIGMS, NIA, NIDA and NIMH, and completed a 5 year term as a member of the NCRR GCRC study section. In July 2010, he was appointed to the NCRR Advisory Council. In 2015, he became Chair of the National Human Genome Research Institute NIH/NHGRI IGNITE Network. He was the North American editor of British Journal of Clinical Pharmacology and he served as associate editor or editorial board member of several additional peer reviewed, prestigious pharmacology and pharmacogenomics journals.

Dr. Flockhart’s work in implementation of pharmacogenomics connected him with the Personalized Medicine Coalition, an advocacy group committed to the adoption and implementation of personalized
medicine. He was elected to Personalized Medicine Coalition’s Board of Directors just months before he passed away.

Dr. Flockhart formed a strong pharmacogenomics group at IUSM which broadened and deepened the opportunities for collaboration in both research and training across different basic and clinical specialties within the University and beyond. Under Dr. Flockhart’s leadership, the Division of Clinical Pharmacology and the Indiana Institute for Personalized Medicine has emerged as a vibrant, multidisciplinary, and energetic group of well-funded investigators drawn from a broad range of specialties. The multidisciplinary nature of this group is a critical element within the translational research enterprise of the Indiana University medical center. The division is unique and esteemed among clinical pharmacology divisions in the US.

Dr. Flockhart made immeasurable contributions to the field of clinical pharmacology, pharmacogenomics, and personalized medicine in particular. We have lost a generous and humble man, a friend and a colleague, a great scientist, mentor, and visionary leader. He has influenced immensely the lives and careers of countless scientists and patients and he made the world a better place. We will greatly miss him and Clinical Pharmacology is considerably poorer by his passing. Our sympathies go to his children (Andrew, Julia and Peter), his siblings (Patrick, Carola and Andy and their spouses) and Tara, his former wife.

Nimita Dave, PhD
Zeruesenay Desta, PhD
Christine McDonald
Todd Skaar, PhD

Adopted by the IUPUI Faculty Council at their meeting on February 2, 2016.
Background on IUPUI International Planning

1999: First IUPUI International Plan
- Focus: increasing study abroad and number of international students

2004-2006: ACE Internationalization Lab
- International Learning Outcomes developed

2007: IUPUI International Plan
- “Internationalization for all”—all schools and disciplines, all students, faculty, and staff
- Focus -- **Strategic Partnerships**--: Kenya: Moi University; China: Sun Yat-sen University; Mexico: UAEH
Results of 2007 Plan Implementation

Dramatic progress over past 8 years:

• 79 percent: international student growth

• 31 percent growth, study abroad participation

• 2008: International Citizen Award, IU/Kenya partnership

• 2009: Andrew Heiskell Award, International Education

• 2011: Sen. Paul Simon Award for Internationalization

• Robust partnership engagement: with SYSU, China; Moi Univ., Kenya, building on AMPATH success; Mexico

• Campus Health sciences focus extends to international activity, i.e., through IU-Kenya partnership
12 International Learning Goals: Summary Examples

IUPUI is dedicated to graduating students who understand and function well in the globally interdependent world of the 21st century:

• Good **working knowledge of broader world**, its natural systems and nations, and their characteristics, and relationships with each other.

• Detailed **awareness of the cultures, language, history**, and/or current condition of at least one country beyond the United States.

• Recognition of the many ways ‘**the global is reflected in the local**’ within the United States and beyond.

• Skilled **capacity to interact and collaborate** with individuals and organizations from other countries.

• Diverse frames of reference and **capacity to engage in international dialogue** to think critically and solve problems.

• Understanding of the **global context of their profession** and ability to apply their international learning to the diverse communities in which they live.
Strategic Objective: Strengthen Internationalization Efforts

Vision:
IUPUI will become a global campus in close connection with Indianapolis becoming a global city
IUPUI Strategic Plan: 2014-2020

Our Commitment to Indiana and Beyond

• International vision aligns closely with two IUPUI Strategic Plan strategic objectives:
  • Deepen Commitment to Community Engagement
  • Promote an Inclusive Campus Climate
• Leverages our significant global community and economic engagement activities
• Creates supporting relationships with
ACE Internationalization Lab Process 2012-14

Inclusive planning process, invitational learning community

• Co-chairs Bill Blomquist and Gil Latz
• 50 advisors: faculty, staff, students, community members involved over 2 years
• Four sub-committees:
  – Curriculum Internationalization
  – International Partnerships
  – Engaging with the Community and Internationalizing the IUPUI Experience
  – Recruiting and Retaining International Students, Faculty, Staff and Visitors
ACE Sub-committee Findings: *Curriculum Internationalization*

- Continue to support established curricular offerings
- Institutionalize internationalization across all schools
- Establish a **Center for Curriculum Internationalization** to be the hub for:
  - Faculty development for short-term study abroad programs
  - Course assessment
  - Development of research proposals and projects and developing global learning assessment tools.
ACE Sub-committee Findings: International Partnerships

- Continue partnerships that create **mutually beneficial teaching, research and service opportunities**
- Provide **cultural competency training** to IUPUI community
- Establish a long-term **engagement strategy following IU’s countries of strategic priority**
- Develop **faculty incentives for internationalization leadership**, i.e., revising faculty promotion and tenure guidelines
- Create and maintain **comprehensive database on international activities** of faculty, staff, including alumni
- Develop **philanthropic relationships to develop endowments for global partnerships**
ACE Sub-committee Findings: Engaging with the Community and Internationalizing the IUPUI Experience

- Create comprehensive international database on:
  - Student study abroad participation (including participation by alumni);
  - International experience and expertise of faculty and staff, including language skills, and campus-community relations

- Expand study abroad programs in quantity and quality (commission White Paper)

- Develop ‘internationalization at home’; international learning opportunities for those who don’t or can’t travel

- Pursue involvement with Indianapolis’ eight sister-city relationships and initiatives like the Brookings/Indy Chamber global cities exchange initiative
ACE Sub-committee Findings:
Recruiting and Retaining International Students, Faculty, Staff, and Visitors

IUPUI’s 2020 international enrollment goal is 2,575 students or more, 8-9% of student population

- Seek ways to reduce cost barriers to attending IUPUI through scholarships and other financial initiatives
- Expand outreach efforts, adjust the 2+2 requirements, improve on-campus and off-campus welcoming and engagement
- Enhanced capacity for English language training (PIE) to attract and retain international students and promote their academic success
IUPUI International Plan 2014-2020: Overall Findings

We have progressed dramatically over the past 7 years, and we now have many international activities and assets to build upon, but a lot of them are very thinly resourced and exist largely because of the goodwill and commitment of particular individuals or offices, so in order to consolidate these gains and make them sustainable, we need to institutionalize their support, plus there are a few key high-priority, high-return initiatives that could substantially advance and transform the internationalization of IUPUI over the next seven years.
ACE Recommendation #1

Complete an IUPUI Internationalization Plan through the ACE process by 2014-15, with concrete goals, objectives, action steps and metrics, to transform IUPUI into a global campus by 2020.
ACE Recommendation #2

Expand study abroad, international service learning, and international internship opportunities, as well as opportunities for engagement with the international community in our metro area, focusing on IUPUI’s strategic international partnerships and on the countries and universities identified in *Indiana University’s International Strategic Plan.*
ACE Recommendation #3

Promote international opportunities for faculty and staff through exchanges, research, and service activities, focusing on IUPUI’s strategic international partnerships and on the countries of strategic priority identified in Indiana University’s International Strategic Plan.
ACE Recommendation #4

Develop curricula and co-curricular activities that make it possible for any IUPUI undergraduate or graduate student to have at least one meaningful international experience during his or her IUPUI career.
ACE Recommendation #5

Increase the recruitment, retention, and graduation of international undergraduate and graduate students studying at IUPUI through engagement.
ACE Recommendation #6

Continually improve existing strategic international partnerships in China (SYSU) and Kenya (Moi), build upon on our history of engagement with Southeast Asia, and develop one or more new strategic international partnerships by 2020 in Latin America (Mexico), Asia (India), or Southeast Asia.
ACE Recommendation #7

Lead the effort to make Indianapolis more welcoming and attractive to international students, faculty, staff, and visitors by expanding facilities and support services and working with organizations, businesses, and governments to improve the experience and integration of students as well as visitors and new arrivals to the region.
ACE Recommendation #8

Strengthen existing and create new partnerships with Indiana businesses and community organizations that have international activities and relationships, with the goal of making IUPUI an inter-cultural hub where campus and community work with one another and with the rest of the world.
ACE Recommendation #9

Affirm the need to endow international activities and, to the extent possible, pursue such fund-raising as one of the goals of the Indiana University 2020 Bicentennial Campaign.
Prioritization and Discussion: Next Steps

- **School-level Projects**
  - Internationalization Vision Statements due February 2016

- **Study Abroad:**
  - New level
    - IUPUI White Paper (January 2016) reinforces *Global Leader* theme, IU Bicentennial Campaign
  - Endowments

- **Curricular and Co-curricular Internationalization:**
  - Assessment
Thank You!

Gil Latz, Ph.D.

IUPUI Associate Vice Chancellor for International Affairs
Indiana University Associate Vice President for International Affairs

Professor of Geography, IUPUI
Affiliated Professor, IU Lilly Family School of Philanthropy

Office of International Affairs
Indiana University-Purdue University Indianapolis
Engaging the World through Education and Partnership